
LLM-Check: Investigating Detection of Hallucinations in Large Language Models

Gaurang Sriramanan
gaurangs@cs.umd.edu

Siddhant Bharti
sbharti@cs.umd.edu

Vinu Sankar Sadasivan
vinu@cs.umd.edu

Shoumik Saha
smksaha@cs.umd.edu

Priyatham Kattakinda
pkattaki@umd.edu

Soheil Feizi
sfeizi@cs.umd.edu

Department of Computer Science
University of Maryland, College Park, USA

Abstract

While Large Language Models (LLMs) have become immensely popular due to their outstanding performance on a broad range of tasks, these models are prone to producing hallucinations— outputs that are fallacious or fabricated yet often appear plausible or tenable at a glance. In this paper, we conduct a comprehensive investigation into the nature of hallucinations within LLMs and furthermore explore effective techniques for detecting such inaccuracies in various real-world settings. Prior approaches to detect hallucinations in LLM outputs, such as consistency checks or retrieval-based methods, typically assume access to multiple model responses or large databases. These techniques, however, tend to be computationally expensive in practice, thereby limiting their applicability to real-time analysis. In contrast, in this work, we seek to identify hallucinations within a single response in both white-box and black-box settings by analyzing the internal hidden states, attention maps, and output prediction probabilities of an auxiliary LLM. In addition, we also study hallucination detection in scenarios where ground-truth references are also available, such as in the setting of Retrieval-Augmented Generation (RAG). We demonstrate that the proposed detection methods are extremely compute-efficient, with speedups of up to 45x and 450x over other baselines, while achieving significant improvements in detection performance over diverse datasets.

1 Introduction

Over the past few years, Large Language Models (LLMs) such as GPT-4 [Achiam et al., 2023] and Llama [Touvron et al., 2023] have become immensely popular due to their excellent performance on natural language inference, question-answering and summarization tasks. Nonetheless, it has been observed that these models often produce outputs that are fallacious, incorporating fictional or insubstantial details that can be partly misleading or entirely fabricated [Ji et al., 2023, Zhang et al., 2023]. Moreover, it is often observed that such model generations seem plausible, appearing tenable before further scrutiny. This phenomenon in LLMs, known as hallucinations, poses a significant challenge to their deployment in applications where accuracy and reliability are critical. Thus, the detection and mitigation of hallucinations in LLMs have been subjects of critical interest. Furthermore, the relative difficulty of hallucination detection can vary significantly in white-box or black-box settings, depending on the extent to which access is available to the original LLM that was utilized for generation.

Uncertainty estimation based on output logit based metrics such as perplexity or logit entropy have been used towards analyzing errors in structured prediction and generations produced by language models [Malinin and Gales, 2021, Kuhn et al., 2023]. Furthermore, a more fine-grained approach can be used by considering the relevance of specific tokens in the generated text [Duan et al., 2023], or by directly obtaining quality or score assessments leveraging the zero-shot capabilities of LLMs [Fu et al., 2023], depending upon the task specifications. As an alternative approach, Wang et al. [2023] showed that self-consistency can be incorporated into the decoding strategy to improve upon naive greedy-decoding in the setting of chain-of-thought prompting, and demonstrate notable improvements in arithmetic and common-sense reasoning benchmarks. Specific to the setting of hallucination detection, consistency-based methods like SelfCheckGPT [Manakul et al., 2023] and INSIDE [Chen et al., 2024] proposed to analyze multiple responses of the same model given a common prompt. Indeed, SelfCheckGPT [Manakul et al., 2023] relies upon the fact that when LLMs are trained on a given concept, its output generations tend to be more consistent, while hallucinated information is less likely to be repeatedly generated across multiple stochastically sampled responses. Notably, SelfCheckGPT relies only upon black-box based API access to models such as GPT-3.5 [Brown et al., 2020] to assess hallucinations in a given sample sentence. Similarly, Chen et al. [2024] proposed the INSIDE detection method based on eigenvalue analysis of the covariance matrix over model embeddings generated across multiple responses of the same prompt, enabling hallucination detection at a population level instead of sample-level detection. Another setting studied towards the detection of hallucinations is that of methods using Retrieval Augmented Generation (RAG) [Lewis et al., 2021, Guo et al., 2022, Varshney et al., 2023, Niu et al., 2024], which assume access to a large external database of grounded information, pipelined with a fact-verification system.

A key limitation of these detectors is the assumption that they have access to multiple model generations or a large reference dataset. Consistency-based methods assume hallucinations are rare, and most generations are factually correct, even though a given LLM model often repeats similar errors. Moreover, these techniques induce additional overheads to generate multiple additional responses at inference time, or require large memory overheads to parallelize generations. Retrieval-based techniques rely on strong fact-verification and retrieval techniques, and may not be scalable for large databases due to the considerable inference costs involved overall. Furthermore, incorporating human-level annotation or manual fact checking is often not scalable in this setting.

This leads us to our research question — Is it possible to identify the presence of hallucinations within a single LLM response in both white-box and black-box settings without incurring additional computational overheads at training or inference time? Towards this, given the practical constraints involved in this problem setting, we seek to analyze the efficacy of hallucination detection using analyses of the internal attention kernel maps, hidden activations and output prediction probabilities of an LLM itself, using an auxiliary substitute model if white-box access is unavailable. Furthermore, we study the effectiveness of different hallucination detection metrics both in the zero-knowledge setting as well as RAG-based settings where ground-truth reference materials are assumed to be available at inference time. We demonstrate that the detection methods proposed are extremely efficient by using teacher-forcing without additional overheads, and is accompanied by significant gains in detection performance relative to baseline approaches. In summary, we make the following contributions¹ in this work:

- We analyze hallucination detection within a single LLM response using its corresponding internal attention kernel maps, hidden activations and output prediction probabilities. We utilize these diversified scoring methods from different model components to potentially maximize the capture of hallucinations amongst its various forms without incurring computational overheads at training or inference time.
- We analyze the problem in broad-ranging settings across diverse datasets: from zero-resource detection (FAVA [Mishra et al., 2024]), to the case when multiple model responses are available (SelfCheck [Manakul et al., 2023]), or when ground-truth references are indeed available at inference time (RAGTruth [Niu et al., 2024]).
- We demonstrate that the proposed method LLM-Check is indeed effective over these diverse hallucination detection settings, and achieves notable improvements over existing baselines.
- Furthermore, we demonstrate such performance gains while requiring only a fraction of the computational cost (speedups up to 45x and 450x), as the proposed method does not utilize multiple model generations or extensive external databases.

¹The codebase for LLM-Check is available at this URL

2 Related Works

Manakul et al. [2023] introduced SelfCheckGPT, a suite of hallucination detection methods in a zero-resource gray-box/black-box setting to assess the veracity of a LLM response, assuming only access to the output probability distributions. This is highly practical in real-world usage, since API calls often impede access to internal model activations. On the other hand, INSIDE [Chen et al., 2024] measures the self-consistency of the hidden states across multiple independent responses of an LLM, by performing a centered eigen-analysis of the covariance matrix of these hidden states. Notably, INSIDE follows a slightly different evaluation framework from other techniques which generally rely upon human annotations, wherein towards assessing the correctness of a model response INSIDE utilizes either ROUGE-L score or BERT-similarity scores being larger than a threshold with respect to a ground-truth. However, ROUGE-L is an n-gram based method that evaluates the longest common subsequence between the model response and the ground-truth. Thus, several hallucinatory words can be incorporated without a considerable change in the ROUGE-L score overall.

Kadavath et al. [2022] proposed to use the LLM itself to predict the probability of the generated response being True, referred to as Self-Prompt; this is primarily relevant for the context of multiple-choice-questions where the model explicitly has all the options in context. Furthermore, they also train models to predict the probability that a given multiple-choice-question will be correctly answered by calibrating its internal confidence values. Azaria and Mitchell [2023] proposed to train feedforward neural networks on the hidden activations of intermediate layers of LLMs on a True-False dataset of relatively short, simple sentences such as "The zebra uses flying for locomotion." This method is thus a white-box detection technique which also requires supervised training data to train the feedforward network (as noted by Manakul et al. [2023]); in contrast, we do not incorporate training of any sub-network or the LLM itself. Furthermore, it is fairly non-trivial to extend the technique towards more general settings such as when external references or multiple model responses are available at inference time. Yuksekgonul et al. [2024] investigate factual errors made by LLMs by modeling it as a constraint-satisfaction problem. Indeed, the factual query is specified by considering a sequence of Constraint-tokens and using a subsequent Verifier (such as ExactMatch or WikiData Search) to determine the satisfaction for each such constraint. This method is highly pertinent in settings where a fact-checking service is available for ready oracle-access that can provide feedback and annotations in real-time.

Mishra et al. [2024] introduced a fine-grained hallucination detection dataset (FAVA-Bench), wherein a novel hierarchy of hallucination types is identified and analyzed comprehensively. The authors first generate a synthetic hallucination training dataset by inserting specific errors using ChatGPT, and then fine-tune a Llama-2 model (FAVA) to explicitly detect these fine-grained hallucinations. The work also introduces a human-annotated dataset where the specific hallucination types are recorded with specified hallucination spans. We make extensive use of the FAVA datasets so released to compare multiple baselines on a common test-bed. Though retrieval augmented generation (RAG) helps to mitigate hallucination in LLMs, ungrounded generations still persist [Shuster et al., 2021, Li et al., 2024]. Recently, Wu et al. [2023] showed how popular LLMs still hallucinate on different tasks even with the integration of RAG, and compiled the RAGTruth dataset with span-level human annotation. Furthermore, they fine-tune an LLM on their training data to detect hallucinations and its span, but thereby incur a significant computational overhead at training time. Due to paucity of space, we extend our discussion of related works further in Section-D of the Appendix.

3 Taxonomy and Formalisms for Hallucination Detection

Notation: Let \mathcal{V} denote the vocabulary of an LLM, and let \mathcal{V}^* denote the set of all possible sequences obtainable using the same vocabulary. We denote an individual token as $x \in \mathcal{V}$, and a sequence of tokens as $\mathbf{x} \in \mathcal{V}^*$. For an autoregressive model f , let $p_f(\cdot|\mathbf{x})$ denote the next-token probability distribution based on input \mathbf{x} . Moreover, given two token sequences $\mathbf{x} = (x_1 x_2 \dots x_n)$ and $\hat{\mathbf{x}} = (\hat{x}_1 \hat{x}_2 \dots \hat{x}_m)$, let $\mathbf{x} \oplus \hat{\mathbf{x}} = (x_1 x_2 \dots x_n \hat{x}_1 \hat{x}_2 \dots \hat{x}_m)$ denote their ordered concatenation.

Given a query or prompt $\mathbf{x}_p = (x_1 x_2 \dots x_n)$, let the LLM response of a model f be denoted as $\mathbf{x} = (x_{n+1} \dots x_m)$. Thus, the broad goal of detection is to determine the presence or absence of hallucination in the output response \mathbf{x} , when the input prompt is \mathbf{x}_p . In practice, LLMs such as chat-models require additional system prompts to be included along with the user input; here we assume these are contained within \mathbf{x}_p for simplicity.

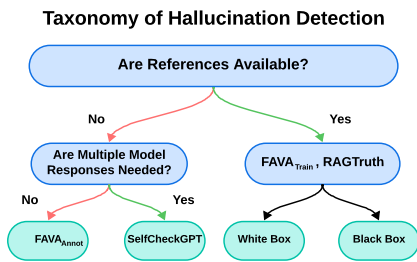


Figure 1: Taxonomy for different settings of hallucination detection, and the different datasets and data splits that correspond to each such setting.

Method	Train Indep.	Single Response	Efficient	Sample Specific	Retrieval Indep.
FAVA	✗	✓	✓	✓	✓
SelfCheckGPT	✓	✗	✗	✓	✓
INSIDE	✓	✗	✓	✗	✓
RAGTruth	✗	✓	✗	✓	✗
LLM-Check (ours)	✓	✓	✓	✓	✓

Table 1: Overview of Hallucination Detection Methods with FAVA, SelfCheckGPT, INSIDE, and RAGTruth. Key- Train Indep: does not require model fine-tuning/training, Single Response: does not require multiple LLM responses, Efficient: compute & memory efficient, Sample Specific: sample-wise instead of population-level detection, Retrieval Indep: does not require RAG or reference databases.

We present a broad taxonomy of the different settings in which hallucination detection is studied in Figure-1. Here, we discuss and analyze this classification in detail:

I. Without External References: First, we consider the setting wherein no external references are available to provide additional context to the LLM. Within this scenario, we can make a further classification based on whether multiple model responses can be potentially generated for the same prompt:

IA. Single Model Response: Here, only the original prompt x_p and fixed model output x is assumed to be available. Thus, in this setting, hallucination detection using LLMs relies upon the tacit assumption that the query topic is covered to some reasonable extent in the pre-training data of the LLM. We comprehensively analyze this setting using the FAVA Human-Annotated dataset to compare different approaches. Moreover, Mishra et al. [2024] provide a fine-tuned Llama-2-chat model, that can be used to annotate specific error types in the given response x . In our evaluations, we binarize these outputs to only detect the presence or absence of hallucinations.

IB. Multiple Model Responses: Given a prompt x_p and a fixed model output x , SelfCheckGPT additionally generates stochastically sampled responses x^1, x^2, \dots, x^k in order to compute different metrics such as BertScore, n-gram, Question-Answer and LLM-prompt score with respect to x , to assess the absence or presence of hallucinations in x . Notably, these scores can be computed with a black-box model with only external API access. On the other hand, INSIDE only considers the input prompt x_p , and computes eigen-decomposition of the covariance matrix of hidden activations across multiple generated samples x^1, x^2, \dots, x^k . This is used to then statistically infer the presence of hallucinations within this set of generations, based on a possible lack of self-consistency at a “population level” between samples within this specific set of k samples. Thus, INSIDE performs hallucination detection at a population-level of all the generated samples simultaneously, rather than detection on a given fixed (single) output response x .

II. With External References: In this setting, a broad-ranging set of data retrieved from external sources are used to affix additional context to assess the validity of the model response x . This is a highly pertinent setting, as the retrieved data can consist of up-to-date information from sources deemed reliable or trustworthy. Furthermore, with the inclusion of external data, LLMs can be used on topics not completely covered in its original pretraining data in a straightforward manner, without requiring computationally intensive rounds of additional training or fine-tuning.

IIA. White-Box: For a given prompt x_p , we consider hallucination detection in an output response x that is generated by a given LLM f . If the original LLM f is available and accessible to compute the internal model activations, the setting is considered to be “white-box”. For instance, INSIDE provides the population-level detection using the original source model so used, and thus falls in the family of white-box detection techniques.

IIA. Black-Box: If the original LLM f that generated the response x for prompt x_p is no longer available or inaccessible, an auxiliary substitute LLM \hat{f} can be utilized (such as open-source LLMs like Llama-2) to compute scores with internal activations and attention kernel maps. This can be achieved by using teacher-forcing on the substitute LLM, and this setting is considered to be “black-box”.

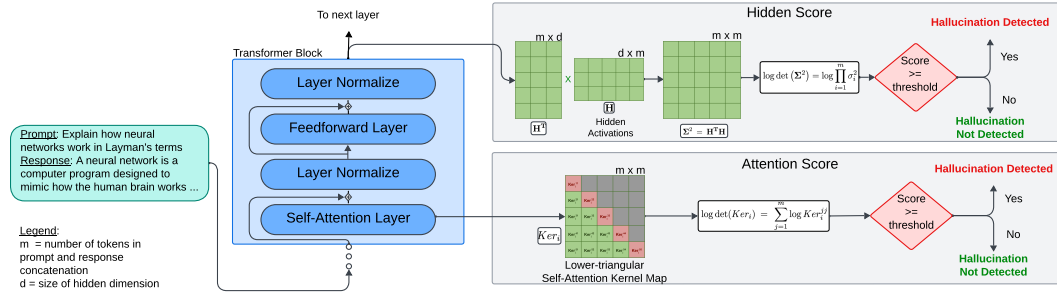


Figure 2: Schematic of detection pipeline using Eigenvalue Analysis of internal LLM representations.

4 Proposed Method

In this section, we describe our method LLM-Check in detail. Given that we wish to perform hallucination detection without any training or inference time overheads, we propose to analyze all model-related latent and output observables available with a single forward-pass of the LLM. For a broad family of autoregressive models using the Transformer architecture [Vaswani et al., 2017], the input token embeddings are transformed to a sequence of hidden representations at each layer of the model. For an LLM with L internal layers, let H_l denote the hidden representations at layer $l \in \{1, \dots, L\}$. The hidden representation at layer $(l + 1)$ is then computed as follows:

$$H_{l+1} = H_l + A_{l+1} + M_{l+1}$$

where A_{l+1} and M_{l+1} denote the attention and MLP contributions respectively. Additional operations such as layer-normalization are commonly carried out, but we omit their details here to be concise. The attention contributions represent the updates to the representation of a token at a given layer by attending to all token representations of the previous layer. In particular, if Q, K, V denote the Query, Key and Values in the attention mechanism, the attention contribution is given by computing the kernel similarity of Q and K , and masking the values V with this kernel, and is simplified to be written as:

$$A = Ker(Q, K)V = Softmax\left(\frac{Q \cdot K^T}{\sqrt{d_k}}\right)V$$

where d_k is the dimension of the keys and queries. Generally, LLMs utilize multi-headed attention, wherein the kernel maps are individually computed over each head and concatenated, and then cast back to the appropriate dimension using Output projection matrices before being aggregated together. We note importantly that for auto-regressive LLMs, the attention kernel maps are lower triangular for each attention head, since a given token can only attend to the internal model representations of previous tokens in the sequence.

While LLMs do hallucinate, they still have a significantly appreciable degree of world-knowledge grounded on truthful facts encountered in the training stage, which is reflected in the fact that hallucinations are absent in some of the autoregressively generated sample outputs when multiple model responses are considered for the same prompt. In this work, we propose to directly analyze the variations in model characteristics between truthful and hallucinated examples by examining the rich semantic representations present in internal LLM representations, since we observe that the LLM can often generate the truthful version, albeit with potentially lower frequency. Thus, we posit that the model is in fact sensitive to the presence of non-factual, hallucinated information in responses which would otherwise be truthful, based on grounded precepts encountered in its training and that this can then be efficiently leveraged to perform hallucination detection within a single model response itself.

1. Eigenvalue Analysis of Internal LLM Representations

The differences in model sensitivity to hallucinated or truthful information would reflect in the rich semantic representations present in the hidden activations and the pattern of attention maps across different token representations. To quantitatively capture these variations, we propose to analyze the cross-covariance for hidden representations, and the kernel similarity map of self-attention across different tokens, since these form the foremost salient characteristics of the LLM itself. Given that we wish to do hallucination detection in black-box settings as well, we utilize teacher-forcing to

obtain representations corresponding to $\mathbf{x}_p \oplus \mathbf{x}$, wherein system prompt tokens delineate the original prompt and model output response. Thus, for a given layer $l \in \{1, \dots, L\}$, let \mathbf{H} denote the hidden representations for input $\mathbf{x}_p \oplus \mathbf{x}$. If the token embedding dimension is d and $\mathbf{x}_p \oplus \mathbf{x}$ consists of m tokens, each hidden representation matrix \mathbf{H} is of shape $(d \times m)$. To be highly compute-efficient and enable real-time detection, we distill a simple yet salient scalar quantity - the mean log-determinant - from these variations in hidden representations using eigen-analysis. Theoretically, this is well-motivated as the eigenvalues and singular values capture the interaction in latent space between the different token representations corresponding to hallucinated and truthful sample sequences. We can compute the $(m \times m)$ covariance matrix Σ^2 , and compute its log-determinant as follows:

$$\Sigma^2 = \mathbf{H}^T \mathbf{H} \quad , \quad \log \det(\Sigma^2) = \log \prod_{i=1}^m \sigma_i^2 = \sum_{i=1}^m \log \sigma_i^2 = 2 \sum_{i=1}^m \log \sigma_i$$

where σ_i are the singular values of \mathbf{H} . In order to remove the explicit dependence on input-length, we propose to utilize the mean log-determinant of Σ^2 , that is, $\frac{2}{m} \sum_{i=1}^m \log \sigma_i$, which we term as Hidden Score. Here, we note crucial differences from the approach of INSIDE, which computes the centered covariance matrix *across multiple model responses* to check self-consistency of the set of responses, and performs hallucination detection at the population level for a set of responses, rather than a given fixed model response \mathbf{x} . We repeat this procedure across hidden representations of different layers; please refer to Section-F of the Appendix for detailed layer-wise analysis.

As an alternative approach, we now move towards utilizing internal components of the attention mechanism, namely the kernel similarity maps used within self-attention heads, to analyze hallucinations. If the number of self-attention heads utilized at each layer in the LLM architecture is a , the attention maps can be represented as tensors of the shape $(a \times m \times m)$ for an input sequence $\mathbf{x}_p \oplus \mathbf{x}$ of length m . If Ker_i represents the kernel similarity map corresponding to attention head i , Ker_i is a lower-triangular square matrix of size $(m \times m)$ for each $i \in \{1, \dots, a\}$. Since it is lower-triangular, the eigenvalues of Ker_i are just the values on the principal matrix diagonal, since they are the roots of the characteristic equation $\det(Ker_i - \lambda I) = 0$. Furthermore, since LLMs use the Softmax kernel, all the eigenvalues are also provably non-negative. We can thus obtain the log-determinant of Ker_i without using SVD or eigen-decomposition:

$$\log \det(Ker_i) = \sum_{j=1}^m \log Ker_i^{jj}$$

where Ker_i^{jj} represents the (j, j) diagonal entry of the square matrix Ker_i . Again, we obtain the mean log-determinant for each attention head, and aggregate them to obtain an alternative hallucination measure, which we call Attention Score. Here, we note that computing the Attention Score is extremely efficient, since it does not require any explicit eigen-decomposition. The overall pipeline used for hallucination detection using the Hidden Score and Attention Score is visualized in Figure-2. We further present a detailed illustrative example using the Attention Score in Section-C of the Appendix.

In black-box settings, we propose to utilize an auxiliary autoregressive LLM \hat{f} , where we use teacher-forcing to obtain the scores from the auxiliary LLM instead to perform detection. We also note that since the MLP component of a transformer block is applied to each position separately and identically [Vaswani et al., 2017], it does not capture cross-token interactions, and thus we do not consider this component for detection itself.

2. Output Token Uncertainty Quantification

Alongside the internal model representations, we now consider the probability distribution $p_f(\cdot|\mathbf{x})$ over the output response tokens as induced by the LLM. Given that LLMs are trained with next-token prediction, the probability distribution $p_f(\cdot|\mathbf{x})$ for a given token can be highly salient toward the relative choices available for completion. Indeed, specifically for factual sentences that are part of topics covered in the training dataset of an LLM, we expect that its completions are such that the model likelihood of the output sequence is high. On the other hand, if the sentences arise from a distribution far different from that encountered in the training regime, the model outputs are more likely to be ungrounded in nature due to the lack of specificity between different tokens in the vocabulary.

We thus present the output token-level analysis techniques for identifying hallucinations in LLM responses. We propose to analyze the use of perplexity of the response \mathbf{x} , given the prompt \mathbf{x}_p , as a

potential measure for detecting hallucinations:

$$\text{PPL}(\mathbf{x}) = \exp \left(-\frac{1}{m-n+1} \sum_{i=n}^m \log p_f(x_i | \mathbf{x}_p \oplus \mathbf{x}_{<i}) \right)$$

Furthermore, at each token position in the output response, we can consider the mean entropy of the probability distribution over all tokens (not just the token finally selected during autoregressive generation). We can further refine logit-entropy-based measures by considering only the top-k tokens rather than all possible tokens since the contribution from tokens of extremely low probability is likely noisy and non-salient. We refer to this as the Logit Entropy score, defined as

$$\text{LogitEnt}(\mathbf{x}, k) = -\frac{1}{m-n+1} \sum_{i=n}^m \sum_{j=1}^k p_f(x_i^j | \mathbf{x}_p \oplus \mathbf{x}_{<i}) \log p_f(x_i^j | \mathbf{x}_p \oplus \mathbf{x}_{<i})$$

where x_i^j is the token with j^{th} highest probability at output position i , conditioned on the prefix $\mathbf{x}_p \oplus \mathbf{x}_{<i}$. However, given that the entire sentence may not be hallucinatory, the length-average scores may not be as salient as we desire to predict hallucinations accurately. Thus, in light of this, we consider the Windowed Logit Entropy score, which computes the logit entropy scores across overlapping token windows and returns the logit entropy of the window with the maximum score. This score is thereby sensitive to short sequences of hallucinatory material and is not diluted by sequence length normalization.

We propose these two distinct lines of analysis towards hallucination detection, which we collectively term as LLM-Check, in order to adequately capture the extremely heterogeneous and diversified forms of hallucination displayed by modern Large Language Models over different domains. Towards this, the Eigen-analysis of internal LLM representations helps highlight the consistent pattern of modifications to the hidden states and the model attention across different token representations in latent space when hallucinations are present in model responses as compared to truthful, grounded responses. On the other hand, the uncertainty quantification of the output tokens helps analyze hallucinations based on the likelihood assigned by the model on the actual tokens predicted at a specific point in the sequence generated auto-regressively.

Before analyzing the quantitative experimental results in the following section, we first present qualitative comparisons of the proposed method with the most pertinent baselines in Table-1. We present various trade-offs and advantages in the table such as to whether the method requires fine-tuning of an LLM, if it inherently requires multiple model responses to detect hallucinations, if the method is computationally efficient, if it performs detection on per-sample basis or at a population level, and whether the method is inherently dependent on retrieval during inference time. We observe that our proposed approach is indeed qualitatively advantageous in all of the aspects so mentioned.

5 Experimental Results

Datasets and Detection Details: To analyze the efficacy of the proposed hallucination detection measures and perform a comparative analysis with existing baselines, we utilize the taxonomy and datasets presented in Figure-1. For the setting of detection without external references with a single model response, we utilize the FAVA-Annotation [Mishra et al., 2024] dataset, wherein we deem passages containing any form of hallucination to be hallucinated overall. Furthermore, we utilize the fine-grained classification of different forms of hallucination as annotated in the FAVA dataset to analyze the efficacy of the detection measures across these different types. In the setting of detection without external references with multiple model responses, we utilize the SelfCheckGPT dataset [Manakul et al., 2023], consisting of 1908 sentence level annotated samples, alongside 20 stochastically generated responses from GPT 3 (text-davinci-003). Moreover, to fairly compare across different detection methods, we also augment the originally released version of the FAVA-Annotation dataset by generating 20 additional responses from GPT 3 using the original prompt set. By doing so, we are able to obtain new baselines for SelfCheckGPT and INSIDE on the FAVA-Annotation dataset using the multiple responses so generated.

For our evaluations in the setting of hallucination detection with external references assumed available, we primarily consider the RAGTruth dataset [Wu et al., 2023]. In detail, we use the Summarization subset which was created by prompting six different LLMs with CNN/Daily Mail dataset and recent news, resulting in more than $5K$ samples. We use the span-level human annotation

Model	Measure	AUROC	Accuracy	TPR @ 5% FPR	F1 Score
Llama-2-7B	Self-Prompt	50.30	50.30	-	66.53
Llama-2-7B	FAVA Model	53.29	53.29	-	43.88
Llama-2-7B	SelfCheckGPT-Prompt	50.08	54.19	-	67.24
Llama-2-7B	INSIDE	59.03	57.98	13.17	39.66
LLM-Check (Ours)					
Llama-2-7B	PPL Score	53.22	58.68	3.59	68.33
	Window Entropy	56.90	56.59	2.99	42.52
	Logit Entropy	53.80	55.99	2.99	56.73
	Hidden Score (LY 20)	58.44	58.08	11.98	59.66
	Attn Score (LY 21)	72.34	67.96	14.97	69.27
Vicuna-7B	PPL Score	53.96	56.89	3.59	64.20
	Window Entropy	55.24	58.38	5.99	66.02
	Logit Entropy	52.29	55.69	1.80	57.31
	Hidden Score (LY 15)	58.22	59.28	10.18	66.99
	Attn Score (LY 19)	71.69	66.47	24.55	62.00
Llama-3-8B	PPL Score	53.22	58.68	3.59	67.40
	Window Entropy	56.90	56.59	2.99	55.52
	Logit Entropy	53.80	55.99	2.99	56.27
	Hidden Score (LY 15)	57.10	57.78	10.78	65.38
	Attn Score (LY 23)	68.19	65.87	15.57	70.53

Table 2: Detection results on the FAVA-Annotation Dataset wherein no External References are available. For methods such as INSIDE and SelfCheckGPT-Prompt, we utilize the multiple model responses generated by GPT-3.

so provided in the dataset and considered a sample is hallucinated if there exists any hallucinated span in the response. Additionally, we also consider white-box and black-box detection settings for the RAGTruth dataset, depending on the original LLM model used for generating the responses. Apart from RAGTruth, we also consider the training data-split of FAVA wherein several references are provided, and specific hallucination errors are edited in synthetically to obtain pairs of ground-truth responses with and without hallucinations. We consider a 500-sample subset of the FAVA train-data towards this setting of detection with external references.

Models and Metrics: We utilize popular open-source LLM chat-models such as Llama-2-7b Chat [Touvron et al., 2023], Vicuna [Zheng et al., 2023] and Llama-3-instruct [AI@Meta, 2024] as our autoregressive LLMs with their corresponding tokenizers provided by HuggingFace [Wolf et al., 2020], for both white-box and black-box evaluations. We compute the suite of hallucination detection scores proposed in Section-4, which we collectively term as LLM-Check, and present standard threshold based detection metrics such as Accuracy, Area under the ROC curve (AUROC), True Positive Rate (TPR) at low (5%) False Positive Rate (FPR) and F1 score. In each setting, we consider balanced datasets, with an equal number of samples with and without hallucinations present, except for the SelfCheck dataset where we follow the setup utilized in the original paper.

5.1 Detection Results on Datasets with no External References as Context

First, we analyze the setting of hallucination detection when no grounded references are provided as context. We present the consolidated detection metrics in Table-2 using the FAVA-Annotation dataset. To evaluate methods such as SelfCheckGPT and INSIDE, we make use of the stochastically sampled responses of GPT-3 that we augment to the original dataset. We observe that in this zero-context setting, many of the baselines perform fairly poorly in comparison to LLM-Check. We remark that while entropy-based scores were used for comparisons in the SelfCheckGPT paper, the best-performing variant was seen to be SelfCheckGPT-Prompt using GPT-3 itself, which was used to generate the original hallucinations. Here, we observe that when using Llama2-7B for SelfCheckGPT-Prompt, the results are much worse. This might be due to the fact that SelfCheckGPT is less effective at the passage level after aggregation is performed over the individual sentences. We observe that the Attention Scores are the most effective across different models, and obtains significantly higher detection scores.

Model	Method	AUC-PR	Accuracy	TPR @ 5% FPR
Llama-2	SelfCheck	72.84	51.44	4.81
Llama-3	SelfCheck	75.06	54.84	5.10
LLM-Check (Ours)				
Llama-2	Attn Score	80.04	58.91	9.41
Llama-2	Prompt	79.46	61.21	8.76
Llama-3	Attn Score	79.96	58.92	9.48
Llama-3	Prompt	78.49	58.54	7.11

Table 3: Detection results on the SelfCheckGPT Dataset wherein no External References are available, but when multiple model responses are indeed available. We report the results using the same data-split as the original SelfCheck [Manakul et al., 2023] paper, and thus the number of positive and negative samples are imbalanced in this table: 1392/1908 samples have hallucinations present.

We further analyze the layer-wise performance of scores obtained from internal model representations in Section-F of the Appendix. We observe that while the Attention Scores are generally the most effective, particularly around Layer 20, the oscillation in detection performance across different layers can be large, relative to the case of using the Hidden Score which is fairly more stable across layers.

In Table-3, we further analyze the performance of LLM-Check against SelfCheckGPT on the SelfCheckGPT Dataset, where multiple GPT-3 generated responses are available in addition to the original model response. We follow the setup used in the original paper with imbalanced classes, and similarly also report Area under the Precision-Recall curve in-place of AUROC. We again find that the Attention scores provide better detection performance over all three metrics of AUC-PR, Accuracy and TPR@5%FPR, despite not utilizing the additional model responses included in the dataset. Here, we present a Prompt-variant of LLM-Check, where instead of prompting the LLM to output "Yes" or "No" based on the additional model responses and then hard-coding to 0/1 scores as in SelfCheckGPT-Prompt, we compute Attention Scores of modified prompts which include the additionally generated model responses, and then aggregate over them as done in SelfCheckGPT-Prompt. We find that LLM-Check with Attention-Prompt performs similarly well, though the inference time is higher in this setting, due to the iteration over the different model responses.

5.2 Detection Results on Datasets with External References

We now analyze the setting wherein external references are assumed to be available to the model at inference time. In Table-4, we present evaluations obtained using a Llama-2-7b model in both white-box and black-box settings. For the black-box setting, we considered four different models – Llama-2-13b, Llama-2-70b, GPT-4, Mistral-7b. In the white-box setting, we observe that the Hidden Score achieves a higher F1 score compared to all other detection methods. The Attention scores are however better in the overall black-box setting, where we compute a weighted average since different models hallucinate at different frequencies on the same prompt data. Additionally, we also observe that LLM-Check performs better on larger models. For example, with Hidden score, our approach obtains 54.11%, 59.67%, and 59.31% AUROC on the 7b, 13b, and 70b variants of Llama-2 respectively. Furthermore, the AUROC increases to 61.87% for hallucinations arising from the GPT-4 model.

Lastly, we present the evaluations on 500 examples of the FAVA Train-split in Table-5. Notably, this dataset differs from RAGTruth in that the hallucinations in the generations are inserted synthetically using GPT-3, and thus potentially induce changes to the joint-distribution of the sequence level probabilities. Indeed, we observe that output-token uncertainty estimates using entropy out-perform even the attention-based scores in this setting. Thus, we observe that different detection methods may prove optimal depending on the problem setup and underlying data distribution.

5.3 Time-Complexity Analysis

We compare the overall runtime cost of the proposed detection scores with other baselines using a Llama-2-7b Chat model on the FAVA-Annotation dataset on a single Nvidia A5000 GPU in Figure-3. We observe that the Logit and Attention scores are indeed very efficient, while the Hidden Score is slightly slower since it uses SVD. We also observe that LLM-Check is considerably faster than most baselines with speedups of up to 45x and 450x, since it only uses model representations with teacher

Target Model	Measure	White-box	Black-box				
		Llama-2-7b	Llama-2-13b	Llama-2-70b	GPT-4	Mistral-7b	Overall
Hidden Score	AUROC	54.11	59.67	59.31	61.87	53.68	57.24
	Accuracy	56.33	59.66	58.42	68.52	54.15	57.62
	TPR@5%FPR	8.14	12.41	9.9	3.7	5.18	8.37
	F1 Score	61.51	50.42	66.14	67.86	32.58	47.45
Logit (Perplexity)	AUROC	53.73	52.46	56.97	52.13	52.11	53.27
	Accuracy	54.07	55.17	57.92	59.26	54.66	55.79
	TPR@5%FPR	7.69	8.97	6.93	0.00	4.15	6.01
	F1 Score	58.7	50.57	61.26	61.02	43.23	50.45
Logit (Win Entropy)	AUROC	52.08	55.71	56.38	55.83	52.61	54.58
	Accuracy	53.17	56.9	57.43	59.26	53.89	55.90
	TPR@5%FPR	4.98	15.86	1.98	7.41	10.36	10.08
	F1 Score	53.98	33.68	62.01	54.9	49.29	47.51
Logit (Log Entropy)	AUROC	53.95	51.18	55.14	50.34	50.43	51.68
	Accuracy	55.43	53.79	57.43	57.41	53.89	54.83
	TPR@5%FPR	7.24	9.66	4.95	0.00	6.22	6.65
	F1 Score	53.74	15.09	66.41	60	48.41	42.62
Attention Score	AUROC	54.19	60.05	60.01	63.51	55.37	58.30
	Accuracy	54.52	59.66	60.89	66.67	56.99	59.23
	TPR@5%FPR	5.88	14.48	12.87	7.41	5.18	9.87
	F1 Score	54.5	55.97	55.06	67.8	57.72	57.18

Table 4: Detection on the RAGTruth Dataset using Llama-2-7b model in white-box and black-box setting, with the “Overall” column presenting the weighted average results for the black-box models.

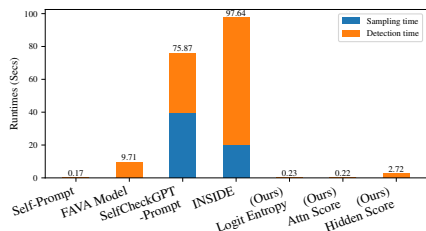


Figure 3: Averaged runtime analysis of different hallucination detection methods.

Model	Measure	AUROC	Accuracy	TPR @ 5% FPR
Llama-2	PPL Score	74.20	70.00	26.00
	Window Entropy	77.00	72.00	34.00
	Logit Entropy	74.36	71.00	26.00
	Hidden Score	51.44	54.00	4.00
	Attn Score	69.57	66.60	11.60
Llama-3	PPL Score	73.48	68.80	13.20
	Window Entropy	78.44	72.00	28.00
	Logit Entropy	79.24	73.60	28.00
	Attn Score	71.91	68.20	19.60

Table 5: Detection results on synthetic hallucinations on FAVA Train Split data with External References included.

forcing, without additional inference time overheads. This runtime analysis for the Eigenvalue based methods represents the **total time** for computing Attention and Hidden scores from **all 32 layers** of Llama-2-7b. That is, the Attention score computation for all 32 layers takes 0.22 seconds per example, and the Hidden score computation for all 32 layers requires 2.72 seconds per example, when averaged over the FAVA Annotation dataset. Since the overhead to compute scores for all layers is so small, we expect that they can be utilized for real-time detection of hallucinations in model responses.

6 Conclusions

In this work, we analyze the problem of detecting hallucinations within a single response of an LLM, and propose LLM-Check, an effective suite of techniques that only rely upon the internal hidden representations, attention similarity maps and logit outputs of an LLM. We demonstrate the efficacy of LLM-Check over broad-ranging settings and diverse datasets: from zero-resource detection to cases where multiple model generations or external databases are made available at inference time, or with varying access restrictions to the original source LLM. Moreover, we observe that LLM-Check obtains considerable improvements over baseline methods in these settings, without requiring fine-tuning or retraining of LLMs. Furthermore, by utilizing only teacher-forcing at inference time without additional overheads, we show that LLM-Check is extremely compute-efficient, requiring only a fraction of the runtime compared to other detection baselines, with speedups of up to 45x and 450x.

7 Acknowledgments

This project was supported in part by a grant from an NSF CAREER AWARD 1942230, ONR YIP award N00014-22-1-2271, ARO's Early Career Program Award 310902-00001, Army Grant No. W911NF2120076, the NSF award CCF2212458, NSF Award No. 2229885 (NSF Institute for Trustworthy AI in Law and Society, TRAILS), an Amazon Research Award and an award from Capital One.

References

- [1] J. Achiam, S. Adler, S. Agarwal, L. Ahmad, I. Akkaya, F. L. Aleman, D. Almeida, J. Altenschmidt, S. Altman, S. Anadkat, et al. Gpt-4 technical report. *arXiv preprint arXiv:2303.08774*, 2023.
- [2] AI@Meta. Llama 3 model card. 2024. URL https://github.com/meta-llama/llama3/blob/main/MODEL_CARD.md.
- [3] A. Azaria and T. Mitchell. The internal state of an llm knows when it's lying, 2023.
- [4] T. Brown, B. Mann, N. Ryder, M. Subbiah, J. D. Kaplan, P. Dhariwal, A. Neelakantan, P. Shyam, G. Sastry, A. Askell, et al. Language models are few-shot learners. *Advances in neural information processing systems*, 33:1877–1901, 2020.
- [5] C. Chen, K. Liu, Z. Chen, Y. Gu, Y. Wu, M. Tao, Z. Fu, and J. Ye. INSIDE: LLMs' internal states retain the power of hallucination detection. In *The Twelfth International Conference on Learning Representations*, 2024. URL <https://openreview.net/forum?id=Zj12nz1Qbz>.
- [6] J. Duan, H. Cheng, S. Wang, A. Zavalny, C. Wang, R. Xu, B. Kailkhura, and K. Xu. Shifting attention to relevance: Towards the uncertainty estimation of large language models, 2023.
- [7] J. Fu, S.-K. Ng, Z. Jiang, and P. Liu. Gptscore: Evaluate as you desire, 2023.
- [8] C. Gardent, A. Shimorina, S. Narayan, and L. Perez-Beltrachini. The WebNLG challenge: Generating text from RDF data. In J. M. Alonso, A. Bugarín, and E. Reiter, editors, *Proceedings of the 10th International Conference on Natural Language Generation*, pages 124–133, Santiago de Compostela, Spain, Sept. 2017. Association for Computational Linguistics. doi: 10.18653/v1/W17-3518. URL <https://aclanthology.org/W17-3518>.
- [9] N. M. Guerreiro, E. Voita, and A. Martins. Looking for a needle in a haystack: A comprehensive study of hallucinations in neural machine translation. In A. Vlachos and I. Augenstein, editors, *Proceedings of the 17th Conference of the European Chapter of the Association for Computational Linguistics*, pages 1059–1075, Dubrovnik, Croatia, May 2023. Association for Computational Linguistics. doi: 10.18653/v1/2023.eacl-main.75. URL <https://aclanthology.org/2023.eacl-main.75>.
- [10] Z. Guo, M. Schlichtkrull, and A. Vlachos. A survey on automated fact-checking, 2022.
- [11] K. M. Hermann, T. Kociský, E. Grefenstette, L. Espeholt, W. Kay, M. Suleyman, and P. Blunsom. Teaching machines to read and comprehend. In *NIPS*, pages 1693–1701, 2015. URL <http://papers.nips.cc/paper/5945-teaching-machines-to-read-and-comprehend>.
- [12] Z. Ji, N. Lee, R. Frieske, T. Yu, D. Su, Y. Xu, E. Ishii, Y. J. Bang, A. Madotto, and P. Fung. Survey of hallucination in natural language generation. *ACM Computing Surveys*, 55(12):1–38, Mar. 2023. ISSN 1557-7341. doi: 10.1145/3571730. URL <http://dx.doi.org/10.1145/3571730>.
- [13] S. Kadavath, T. Conerly, A. Askell, T. Henighan, D. Drain, E. Perez, N. Schiefer, Z. Hatfield-Dodds, N. DasSarma, E. Tran-Johnson, S. Johnston, S. El-Showk, A. Jones, N. Elhage, T. Hume, A. Chen, Y. Bai, S. Bowman, S. Fort, D. Ganguli, D. Hernandez, J. Jacobson, J. Kernion, S. Kravec, L. Lovitt, K. Ndousse, C. Olsson, S. Ringer, D. Amodei, T. Brown, J. Clark, N. Joseph, B. Mann, S. McCandlish, C. Olah, and J. Kaplan. Language models (mostly) know what they know, 2022.

- [14] A. Köpf, Y. Kilcher, D. von Rütte, S. Anagnostidis, Z. R. Tam, K. Stevens, A. Barhoum, D. Nguyen, O. Stanley, R. Nagyfi, et al. Openassistant conversations-democratizing large language model alignment. *Advances in Neural Information Processing Systems*, 36, 2024.
- [15] L. Kuhn, Y. Gal, and S. Farquhar. Semantic uncertainty: Linguistic invariances for uncertainty estimation in natural language generation, 2023.
- [16] T. Kwiatkowski, J. Palomaki, O. Redfield, M. Collins, A. Parikh, C. Alberti, D. Epstein, I. Polosukhin, J. Devlin, K. Lee, K. Toutanova, L. Jones, M. Kelcey, M.-W. Chang, A. M. Dai, J. Uszkoreit, Q. Le, and S. Petrov. Natural questions: A benchmark for question answering research. *Transactions of the Association for Computational Linguistics*, 7:452–466, 2019. doi: 10.1162/tacl_a_00276. URL <https://aclanthology.org/Q19-1026>.
- [17] R. Lebrecht, D. Grangier, and M. Auli. Neural text generation from structured data with application to the biography domain. In J. Su, K. Duh, and X. Carreras, editors, *Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing*, pages 1203–1213, Austin, Texas, Nov. 2016. Association for Computational Linguistics. doi: 10.18653/v1/D16-1128. URL <https://aclanthology.org/D16-1128>.
- [18] P. Lewis, E. Perez, A. Piktus, F. Petroni, V. Karpukhin, N. Goyal, H. Küttler, M. Lewis, W. tau Yih, T. Rocktäschel, S. Riedel, and D. Kiela. Retrieval-augmented generation for knowledge-intensive nlp tasks, 2021.
- [19] J. Li, Y. Yuan, and Z. Zhang. Enhancing llm factual accuracy with rag to counter hallucinations: A case study on domain-specific queries in private knowledge-bases. *arXiv preprint arXiv:2403.10446*, 2024.
- [20] K. Li, O. Patel, F. Viégas, H. Pfister, and M. Wattenberg. Inference-time intervention: Eliciting truthful answers from a language model. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023. URL <https://openreview.net/forum?id=aLLuYpn83y>.
- [21] A. Malinin and M. Gales. Uncertainty estimation in autoregressive structured prediction, 2021.
- [22] P. Manakul, A. Liusie, and M. J. F. Gales. Selfcheckgpt: Zero-resource black-box hallucination detection for generative large language models, 2023.
- [23] A. Mishra, A. Asai, V. Balachandran, Y. Wang, G. Neubig, Y. Tsvetkov, and H. Hajishirzi. Fine-grained hallucination detection and editing for language models, 2024.
- [24] C. Niu, Y. Wu, J. Zhu, S. Xu, K. Shum, R. Zhong, J. Song, and T. Zhang. Ragtruth: A hallucination corpus for developing trustworthy retrieval-augmented language models, 2024.
- [25] A. Paszke, S. Gross, F. Massa, A. Lerer, J. Bradbury, G. Chanan, T. Killeen, Z. Lin, N. Gimeshein, L. Antiga, A. Desmaison, A. Köpf, E. Yang, Z. DeVito, M. Raison, A. Tejani, S. Chilamkurthy, B. Steiner, L. Fang, J. Bai, and S. Chintala. Pytorch: An imperative style, high-performance deep learning library, 2019.
- [26] N. Rajani, L. Tunstall, E. Beeching, N. Lambert, A. M. Rush, and T. Wolf. No robots. https://huggingface.co/datasets/HuggingFaceH4/no_robots, 2023.
- [27] K. Shuster, S. Poff, M. Chen, D. Kiela, and J. Weston. Retrieval augmentation reduces hallucination in conversation. *arXiv preprint arXiv:2104.07567*, 2021.
- [28] H. Touvron, L. Martin, K. Stone, P. Albert, A. Almahairi, Y. Babaei, N. Bashlykov, S. Batra, P. Bhargava, S. Bhosale, et al. Llama 2: Open foundation and fine-tuned chat models. *arXiv preprint arXiv:2307.09288*, 2023.
- [29] E. Tulchinskii, K. Kuznetsov, K. Laida, D. Cherniavskii, S. Nikolenko, E. Burnaev, S. Baranikov, and I. Piontkovskaya. Intrinsic dimension estimation for robust detection of AI-generated texts. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023. URL <https://openreview.net/forum?id=8u0Z0kNji6>.
- [30] N. Varshney, W. Yao, H. Zhang, J. Chen, and D. Yu. A stitch in time saves nine: Detecting and mitigating hallucinations of llms by validating low-confidence generation, 2023.

- [31] A. Vaswani, N. Shazeer, N. Parmar, J. Uszkoreit, L. Jones, and A. N. Gomez. L. u. kaiser, and i. polosukhin, “attention is all you need,”. *Advances in neural information processing systems*, 30: 5998–6008, 2017.
- [32] X. Wang, J. Wei, D. Schuurmans, Q. Le, E. Chi, S. Narang, A. Chowdhery, and D. Zhou. Self-consistency improves chain of thought reasoning in language models, 2023.
- [33] T. Wolf, L. Debut, V. Sanh, J. Chaumond, C. Delangue, A. Moi, P. Cistac, T. Rault, R. Louf, M. Funtowicz, J. Davison, S. Shleifer, P. von Platen, C. Ma, Y. Jernite, J. Plu, C. Xu, T. L. Scao, S. Gugger, M. Drame, Q. Lhoest, and A. M. Rush. Huggingface’s transformers: State-of-the-art natural language processing, 2020.
- [34] Y. Wu, J. Zhu, S. Xu, K. Shum, C. Niu, R. Zhong, J. Song, and T. Zhang. Ragtruth: A hallucination corpus for developing trustworthy retrieval-augmented language models. *arXiv preprint arXiv:2401.00396*, 2023.
- [35] F. Yin, J. Srinivasa, and K.-W. Chang. Characterizing truthfulness in large language model generations with local intrinsic dimension, 2024. URL <https://arxiv.org/abs/2402.18048>.
- [36] M. Yuksekgonul, V. Chandrasekaran, E. Jones, S. Gunasekar, R. Naik, H. Palangi, E. Kamar, and B. Nushi. Attention satisfies: A constraint-satisfaction lens on factual errors of language models. In *The Twelfth International Conference on Learning Representations*, 2024. URL <https://openreview.net/forum?id=gffVATffPd>.
- [37] M. Zhang, O. Press, W. Merrill, A. Liu, and N. A. Smith. How language model hallucinations can snowball, 2023.
- [38] L. Zheng, W.-L. Chiang, Y. Sheng, S. Zhuang, Z. Wu, Y. Zhuang, Z. Lin, Z. Li, D. Li, E. Xing, et al. Judging llm-as-a-judge with mt-bench and chatbot arena. *arXiv preprint arXiv:2306.05685*, 2023.

Appendix

A Limitations and Future Work

1. Improving Hallucination Detection Performance: In this work, we consider different detection methods using the internal representations and output probabilities of a well-trained LLM. We thus assume access to at least one proxy open-source LLM such as Llama to compute our detection scores, which may not always be feasible. This is however a mild assumption, as we do believe that this covers a very large fraction of practical use cases encountered in the real-world. Moreover, the overall detection rates have significant scope for further improvement, especially towards improving the True Positive Rate at low False Positive Rates.

2. Mitigation of Hallucinations: While this work focuses on detection of hallucinations, the mitigation of hallucinations in LLMs itself is not addressed directly. We hope that future works can possibly build upon the scoring metrics proposed here towards reducing the frequency of hallucinations, which would be a potentially significant contribution overall. We anticipate that LLM-Check could be directly incorporated towards providing additional automated feedback in the fine-tuning stage of LLMs with Reinforcement Learning, wherein output sample generations that are detected to be hallucinatory in nature can be down-weighted appropriately. Additionally, the detection methods can assist in flagging samples in a highly efficient manner towards a customized human-feedback loop with RLHF, wherein annotators can introduce an orthogonal ranking which reflects the desired extent of factuality for the sample generations so considered.

3. Principled Incorporation of Retrieved Data: Furthermore, we are also hopeful that future work towards incorporating external references in a more principled manner could help in this detection task using Retrieval Based Augmentation [18]. For instance, the proposed detection methods can perhaps serve as an initial screening mechanism: if potential hallucinations are detected, a subsequent RAG-based query can be made. This process would be triggered when the detector flags any indications of hallucination, allowing for a deeper, context-aware verification of the content produced by the LLM with minimized running costs. This two-step method would not only enhance the precision of the detection itself, but also integrates additional grounded verification steps to ensure the reliability and accuracy of the model outputs.

4. Combining different Detection Techniques: We attempt to combine the different scoring methods proposed for hallucination detection, namely using Eigen-analysis of internal LLM representations and Output Token Uncertainty Quantification methods, towards a unified form of detection. We observe that this problem can be reduced to that of learning a classifier that takes the different scores as input and is trained to predict the absence or presence of hallucinations. We conducted preliminary experiments towards this on the FAVA Annotation dataset using Logistic Regression, but observed no appreciable gains over using the best performing individual method, the Attention Score, on this dataset. We seem to observe that learning an optimal combination of the different scores in a manner that it generalizes well across diverse truthful and hallucinatory inputs is a highly non-trivial task, especially considering the different forms of hallucination highlighted in the fine-grained taxonomy presented in the FAVA dataset. In this paper, we focus on fairly simple combinations of these scores, given the strong motivation to keep the overall compute cost for detection very low to enable its use in real-time systems. However, we do hope that future works can build upon the proposed methods fruitfully to achieve significantly higher detection performance, albeit with a potentially higher computational footprint.

B Broader Impact

In this work, we propose LLM-Check that can be used for efficient hallucination detection in critical scenarios even when the ground-truth reference or multiple model responses might not be available. We believe our work is an important step toward reliable hallucination detection in these constrained scenarios. Our work could positively impact studying and mitigating hallucinations in LLMs. Similar to other detection methods in the literature, a false detection with our method could lead to some model generations being flagged as hallucinations or some hallucinated contents not being detected as hallucinated. However, in our experiments, we show that our method LLM-Check reduces these errors

when compared to other baseline hallucination detection techniques. To the best of our knowledge, direct negative societal impacts due to our work are minimal.

C Visualizing the Attention Scores

To inspect the variation across hallucinatory and non-hallucinatory examples, we utilize the FAVA Train split dataset, since it consists of pairs of samples with and without hallucinations for the same exact prompt. We make use of the following illustrative example, which post-tokenization contains the same total number of tokens for both the hallucinated and truthful responses. This helps simplify the comparison since the length-normalization is not an additional factor towards differentiating the scores. We list the prompt x_p (with the actual references shown shortened here for visualization purposes, since they are fairly long), alongside the hallucinated Sample (HS) and the Truthful sample (TS):

Prompt x_p : Read the following references: Reference [1]: The Ballad of Big Al ... Reference [2]: ... Reference [3]: ... Reference [4]: ... Reference [5]: ... Based only on the references provided, write a blog article.	
Hallucinated Sample (HS):	"The Song of Big Al" is a special episode of the nature documentary series "Walking with Dinosaurs" that focuses on the life story of an Tyrannosaurus specimen called "Big Al". The story is based on a well-preserved fossil of Big Al, which lived during the Early Jurassic period approximately 145 million years ago. The episode was produced by the BBC Natural History Unit and partnered with the National Geographic Channel, ProSieben, and TV Asahi. Rumor has it that the episode was partially shot in Cresswell Craggs, UK. Additionally, a behind-the-scenes episode called "Big Al Uncovered" was aired alongside "The Song of Big Al"
Truthful Sample (TS):	"The Ballad of Big Al" is a special episode of the nature documentary series "Walking with Dinosaurs" that focuses on the life story of an Allosaurus specimen called "Big Al". The story is based on a well-preserved fossil of Big Al, which lived during the Late Jurassic period approximately 145 million years ago. The episode was produced by the BBC Natural History Unit and partnered with the Discovery Channel, ProSieben, and TV Asahi. Rumor has it that the episode was partially shot in Cresswell Craggs, UK. Additionally, a behind-the-scenes episode called "Big Al Uncovered" was aired alongside "The Ballad of Big Al"

We observe that the overall response structure is quite similar, but key phrases such as "The Song of Big Al" is hallucinated as "The Ballad of Big Al", "the Discovery Channel" is hallucinated as "the National Geographic Channel", and "Tyrannosaurus specimen called "Big Al"" is hallucinated as "Allosaurus specimen called "Big Al"".

We then analyze the eigenvalues of the attention kernel using a Llama-2-7b model, which are used to compute the proposed Attention Score. We know from standard results that eigenvectors with distinct eigenvalues are orthogonal, and thus we focus on analyzing the eigenvalues directly, rather than the high-dimensional eigenvectors to illustrate and motivate the proposed method. We highlight the key differentiating tokens along with the log-eigenvalue corresponding to that specific token position in the attention mechanism at an intermediate layer which contributes to the total mean-log-determinant in the proposed Attention Score in Table-6:

HS Token	The	Song	of	Big	AI	$\mu = -5.42$								
$\log Ker^{jj}$	-4.99	-4.98	-5.56	-5.88	-5.69									
TS Token	The	Ball	ad	of	Big	AI	$\mu = -5.85$							
$\log Ker^{jj}$	-4.99	-5.68	-5.57	-6.72	-6.22	-5.92								
HS Token	The	National	Geographic	Channel	$\mu = -5.83$									
$\log Ker^{jj}$	-7.40	-5.61	-4.46	-5.84										
TS Token	The	Disc	overy	Channel	$\mu = -6.60$									
$\log Ker^{jj}$	-7.45	-6.57	-5.88	-6.70										
HS Token	Ty	ran	n	osa	urus	spec	imen	called	"	Big	AI	"	The	$\mu = -5.62$
$\log Ker^{jj}$	-5.41	-5.52	-6.60	-5.27	-5.04	-5.63	-5.14	-6.02	-5.85	-6.29	-5.44	-4.81	-6.00	
TS Token	All	osa	urus	spec	imen	called	"	Big	AI	"	The	story	$\mu = -5.91$	
$\log Ker^{jj}$	-5.51	-5.35	-5.38	-6.17	-6.06	-6.45	-6.31	-6.34	-5.86	-5.92	-6.32	-5.05		

Table 6: $\log Ker^{jj}$ computed over the diagonal of lower triangular attention kernels for substrings containing Hallucinated Sample (HS) tokens and Truthful Sample (TS) tokens, averaged across different attention heads. We observe that the overall mean μ over different tokens, is consistently larger for Hallucinated Sample Tokens.

We thus observe that the log-eigenvalues of the Hallucinated response are indeed larger in value, indicating that the rich latent space representations of the LLM are indeed indicative of the presence of hallucinated text. In the third example pair of hallucinated and truthful samples, we see that though the hallucinated details are split across more tokens than that in the truthful response, we observe that the LLM is sensitive to the error as the log-eigenvalues corresponding to the tokens that immediately follow the error are larger, again contributing to the overall detection that the overall response is indeed hallucinated.

In Figure-4, we also visualize the cumulative difference in the $\log Ker^{jj}$ values from the first token till the j^{th} token (between 1 and total length) between the Hallucinated Sample (HS) and Truthful sample (TS). Though we observe that the difference in log-eigenvalues between the hallucinated and truthful responses is not entirely monotonic throughout the token sequence, we observe that the log-eigenvalues corresponding to the hallucinated response are consistently larger over the whole sequence when compared to the log-eigenvalues arising from the truthful response.

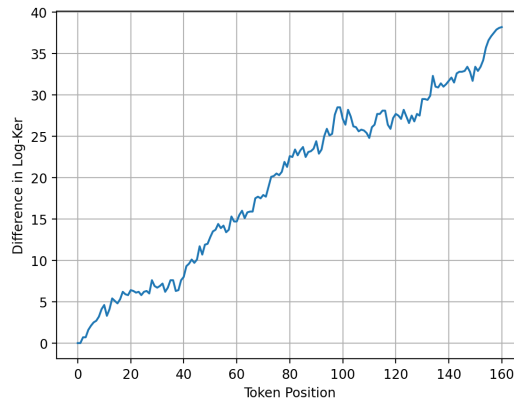


Figure 4: Difference between the cumulative sum of log-eigenvalues from the first token till the j^{th} token (between 1 and total length) between the Hallucinated Sample (HS) and Truthful sample (TS).

We observe this phenomenon in greater generality, such as for hallucinated responses of different lengths compared to truthful ones. We highlight that this is a key advantage of using the mean-log-

determinant which normalizes using the length of the token sequence, as compared to scoring methods such as Negative-log-likelihood which do not explicitly account for varying sequence lengths.

D Related Works (Continued)

In this section, we continue our discussion on related works due to paucity of space in the main paper. [9] study hallucinations specifically in the setup of Neural machine translation (NMT), and even propose mitigation techniques to overwrite hallucinatory sections of the output. [21] proposed to model predictive uncertainty by using a Dirichlet Prior Network to explicitly parameterize a distribution over distributions on a simplex. [15] proposed Semantic Entropy (SE), wherein multiple sequences are first generated, and then subsequently clustered based on bi-directional entailment again using a language model. After this equivalence relation between different responses is established using clusters, they can be treated as categorical datapoints, and thus the semantic entropy can be estimated. This technique is similar to Semantic Entropy based estimation for a single response which is performed in “SelfCheckGPT with BERTScore” and “SelfCheckGPT with NLI” in their original SelfCheck-GPT paper, but these are seen to perform worse than their best scoring method “SelfCheckGPT with Prompt”. We note that LLM-Check performs better than SelfCheckGPT-Prompt as well (Tables-2,3). Furthermore, Semantic Entropy is very costly to estimate for real-time detection since the several model inference steps are required to establish the clusters using the equivalence relation proposed. Both Predictive and Semantic entropy quantify the total uncertainty over the distribution of all possible responses given a fixed prompt, in sharp contrast to the primary focus of this paper, which analyzes the hallucinatory behavior within a single fixed model response output on a given prompt. Thus, Predictive Entropy and Semantic Entropy perform population-level uncertainty estimation akin to INSIDE, and is not amenable to the single-response case.

Comparison with standard classifiers on hidden representations: [20] proposed Inference-Time Intervention (ITI), which improves the truthfulness of LLMs by shifting internal model activations along truth-correlated directions. To do this, the authors identify a sparse set of attention heads with high linear probing accuracy for truthfulness using a base dataset such as TruthfulQA, and subsequently perturb activations during autoregressive generation in inference time. We note that methods which rely upon supervised training of classifiers on internal model representations over samples with and without hallucinations can add large computational overheads. For instance, Inference-Time Intervention (ITI) relies upon training 1024 binary classifiers on the TruthQA datasets, and thus becomes prohibitively expensive (as also noted by [5]).

Nevertheless, we experiment with standard classifiers on hidden representations on the FAVA Annotation dataset. Namely, we create a train-test split of the dataset, and train classifiers on the hidden representations corresponding to layer 20 and layer 30 of a Llama-2-7B model. We use this split to ensure that we have about 100 testing samples balanced between classes (with and without hallucinations) to obtain reliable evaluations of the classifiers so trained. We observe that using layer 20, this method obtains AUROC, Accuracy and TPR@5%FPR of 55.76, 59.82 and 0.00 respectively, while using layer 30 we observe detection rates with AUROC, Accuracy and TPR@5%FPR of 56.52, 61.61 and 1.79 respectively. We note that despite requiring supervised training, this method performs significantly worse than the proposed Attention Score which achieves AUROC, Accuracy and TPR@5%FPR of 72.34, 67.96 and 14.97 respectively. We hypothesize that the generalization of these classifiers might not be adequate to achieve reliable detection performance across the diverse hallucination samples and types as present in the FAVA dataset, as the corresponding hidden representations become similarly disparate.

[29] utilizes the persistence homology dimension (PHD) from topological data analysis, specifically to differentiate the inherent dimensionality for real and AI-generated texts, distinct from the detection of hallucinated versus truthful, grounded responses. However, these techniques developed towards characterizing the intrinsic dimensionality of the underlying data manifold can plausibly be extended to differentiate between truthful and hallucinatory texts. [35] proposed to utilize a Distance-aware maximum likelihood estimation (MLE) for the Local Intrinsic Dimension (LID), by fitting to a Poisson distribution, where the rate of the Poisson process is parameterized by the intrinsic dimension, in order to determine the truthfulness of model responses. We do note key differences, such as that LID estimation requires explicit optimization (minimization of heteroskedastic weighted polynomial regression is performed), and utilizes the latent space representation corresponding to the last token alone, in sharp contrast to our proposed method which considers the latent representations

over the entire token sequence. Furthermore, this technique requires the availability of a large number of neighboring samples, such as 200 samples, to estimate LID effectively. Thus, this technique is more similar to INSIDE and standard classifiers on trained hidden representations, as compared to our work which focuses on detection in the single-response setting.

E Experimental Results (Continued)

Measure	AUROC	Accuracy	TPR @ 5% FPR
Entity Hallucinations (374 samples)			
PPL Score	60.14	59.89	5.35
Window Entropy	57.35	58.02	2.67
Logit Entropy	45.91	52.14	3.21
Hidden Score (Layer 20)	67.93	65.78	14.44
Attention Score (Layer 20)	64.64	61.23	21.39
Relation Hallucinations (50 samples)			
PPL Score	58.08	62.00	4.00
Window Entropy	52.64	56.00	8.00
Logit Entropy	55.84	64.00	0.00
Hidden Score (Layer 21)	58.72	58.00	8.00
Attention Score (Layer 21)	64.32	66.00	4.00
Invented Hallucinations (120 samples)			
PPL Score	69.03	68.33	5.00
Window Entropy	62.25	61.67	3.33
Logit Entropy	44.08	52.50	0.00
Hidden Score (Layer 20)	80.53	75.00	16.67
Attention Score (Layer 20)	75.22	69.17	31.67
Subjective Hallucinations (182 samples)			
PPL Score	48.36	57.14	0.00
Window Entropy	54.76	56.59	1.10
Logit Entropy	61.15	62.09	4.40
Hidden Score (Layer 15)	56.32	60.99	8.79
Attention Score (Layer 21)	67.14	67.58	9.89
Unverifiable Hallucinations (174 samples)			
PPL Score	49.25	59.77	1.15
Window Entropy	54.95	57.47	3.45
Logit Entropy	60.22	63.22	2.30
Hidden Score (Layer 20)	63.32	63.22	12.64
Attention Score (Layer 5)	67.83	69.54	10.34

Table 7: Hallucination Detection without References on the FAVA Annotated Dataset: Detection metrics obtained on a balanced dataset with different forms of hallucinations as per the FAVA taxonomy.

Here, we analyze the effectiveness of different detector types on different forms of hallucinations as presented within the taxonomy introduced by FAVA. Thus, we consider samples that originate from one of the following types of hallucinations: "entity", "relation", "contradictory", "invented", "subjective" and "unverifiable".

We present these consolidated results in Table-7. Here, we again observe that the Attention Scores are quite effective in detecting hallucinations across different types. However, we do observe fairly large oscillations across layers in each of these settings, and the token-based measures might be more consistent, even though their absolute numbers are slightly lower.

In Figure 5, we show the ROC curves for logit-based detectors on the annotated FAVA dataset for entity and relation hallucinations. Note that the negative detector scores might help detect some kinds of hallucination instances. For example, while negative perplexity scores help in entity hallucination detection, positive perplexity scores help in relation hallucination detection. This implies that the detection scheme could be perhaps improved by using interval based detection, rather than threshold

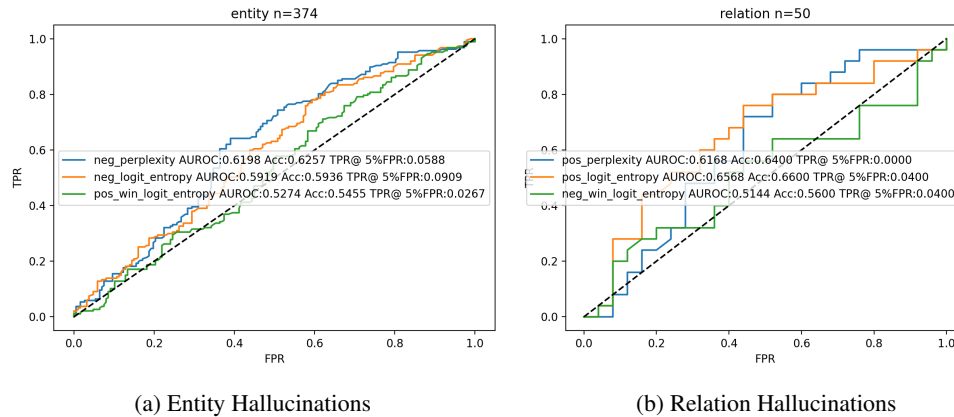


Figure 5: ROC curves for logit-based detection schemes with the annotated FAVA dataset. Figures 5a and 5b show the detection of entity and relation hallucinations, respectively. As observed here, taking the negative detection scores helps with detecting various kinds of hallucinations.

based detection. Thus, for the logit level detection scores, it is beneficial to consider both positive and negative scores to obtain large difference in detection performance.

E.1 Experimental Details and Hyperparameter Choices

For all our evaluations, we use Pytorch [25] models, primarily open-source LLM models available on Huggingface [33]. By default, we set the generation configuration of the Huggingface model to be {"temperature": 0.6, "top_p": 0.9, "top_k": 50, "do_sample": True}. For the setting of Logit Entropy, we consider the top 50 tokens as the selected candidates to compute the score. For the computation of Hidden scores, we do not observe a noticeable difference between using the covariance matrix of hidden representations, and a centered covariance matrix (as used in INSIDE). For the Hidden scores and Attention scores, we report the best of results obtained over all the layers, varying between 1 and 32 for Llama-2-7b and Vicuna-7b. For the FAVA Annotated dataset, we utilize a balanced subset consisting of 167 pairs of samples with and without hallucinations present. On the FAVA train set, we evaluate our results on the first 250 examples, which again consist of pairs of samples with and without hallucination, so to obtain 500 total samples. For the SelfCheck dataset, we utilize all 1908 annotated sentences provided. For detecting hallucinations in a given sentence, an increasing passage context is utilized by incorporating prior sentences arising from the same model response, since detection of hallucinations within a single sentence without the appropriate context will be ill-defined. Moreover, the model is prompted using "This is a Wikipedia passage about {concept}:" to specify the concept within WikiBio. This is not required in the case of consistency based methods, since the other reference samples explicitly provide this concept as is.

E.2 Robustness Across Domains

In this paper, we attempt to cover an adequately diverse extent of domains between the FAVA-Annotation dataset, FAVA-train split, SelfCheckGPT Dataset and RAGTruth dataset. Indeed, the FAVA Annotation dataset itself spans four different data sources: Knowledge-intensive queries sampled from the Open Assistant dataset [14], Open QA prompts from the No Robots dataset [26], Instruction-following and WebNLG [8] datasets. In addition, the FAVA-train split consists of Wikipedia articles and Question Answering datasets [16], while SelfCheckGPT Dataset consists of Annotations from the WikiBio dataset [17]. Lastly, the RAGTruth dataset consists of annotations from the CNN/Daily Mail dataset [11]. To further assess robustness across sub-domains, we utilize the FAVA Annotation dataset, since the individual samples are explicitly labeled with the original dataset that they were derived from. Namely, FAVA Annotation dataset lists the following three named datasets: Open Assistant, Instruction-following and WebNLG. We compute the mean attention scores for each of these data-subsets, and observe the following values: -4.97, -4.94 and -5.12 over the Instruction-following dataset, Open Assistant dataset and WebNLG dataset respectively. We thus

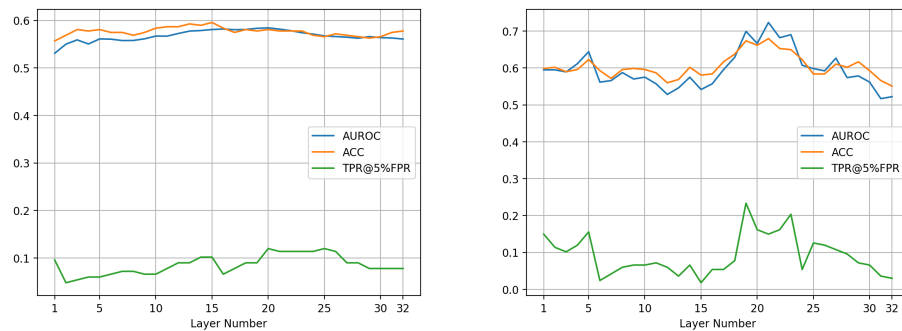


Figure 6: Layer-wise Analysis obtained with (a) Hidden Score and (b) Attention Score, on the left and right respectively for a Llama-7B model on the FAVA-Annotation dataset. Here, we can observe that the Attention based scores tend to yield higher AUROC, Accuracy and TPR@5%FPR, but can oscillate more strongly across layers. Moreover, we point out that Layer 19 using Attention Score obtains the highest TPR@5%FPR of 23.35%, though its AUROC is slightly lower than Layer 21.

observe that the scoring method is indeed consistent across different domains, and expect such results to continue to hold over subdomains of other commonly used datasets.

E.3 Performance Differences compared to results reported by Prior Baselines

While the SelfCheckGPT paper shows that SelfCheckGPT-Prompt is the strongest baseline in their comparisons versus other approaches, we observe a extreme reduction in performance when we perform our evaluations with Llama-2 for SelfCheckGPT-Prompt, which is also the default model used in the released SelfCheck Github repository in the whitebox non-API setting. We posit that the SelfCheckGPT-Prompt results might have been potentially biased due to the fact that the multiple responses were indeed generated by GPT-3 (text-davinci-003) over the WikiBio dataset, and similar models were then used for the final evaluation. Moreover, it does appear that output uncertainty estimation based detectors, though generally weaker than the Hidden or Attention scores, can be salient detectors such as in the case with synthetic hallucinations in the FAVA-train data split. Furthermore, the exact entropy based scores considered in this paper are slightly more sophisticated than the ones considered in the SelfCheckGPT paper.

F Layerwise Analysis for Eigenvalue based Scores

F.1 Layerwise Analysis and Layer Selection

As noted in Section-5.3, we observe that the method is computationally efficient even when all model layers are used for computing Hidden or Attention scores. Indeed, the runtime analysis shown in Figure-3, represents the total runtime for computing Attention scores and Hidden scores from **all** 32 layers of Llama-2-7b. That is, the Attention score computation for all 32 layers takes 0.22 seconds per example, while the Hidden score computation for all 32 layers requires 2.72 seconds per example when averaged over the FAVA Annotation dataset. Since the overhead to compute scores for all layers is so small, we expect that they can be utilized for real-time analysis.

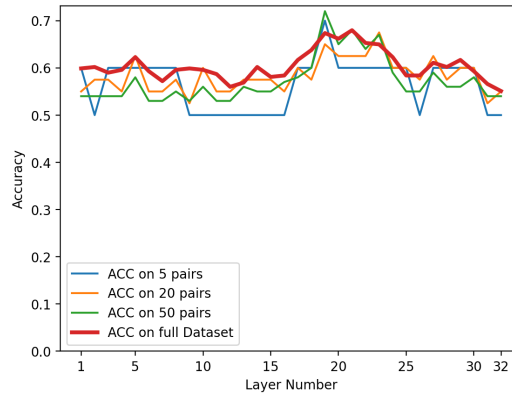


Figure 7: Results across different layers of Llama-2-7B obtained using sample subsets of 5, 20 and 50 pairs, as well as the complete dataset. We observe that general layerwise trends begin to hold with fairly limited sample pairs, and can help choose an optimal layer in an efficient manner.

In this section, we investigate methods towards layer selection for the eigenvalue based scoring methods with low computational overhead. From Figure-6, we first observe that the performance obtained with the Hidden score is extremely stable across layers, and thus it is relatively easy to choose, though we recommend a middle-level layer such as layer 20 for a 32 layer, 7-billion parameter model such as Llama-2. On the other hand, we do indeed observe a larger degree of oscillations across layers with the Attention score. Here, we perform an experiment to potentially rapidly select layers, by plotting the results obtained using few samples, and subsequently check if the overall performance on the dataset can be estimated using this for each layer.

We present these evaluations in Figure-7. We do indeed observe a fair degree of agreement between results obtained with 5, 20 and 50 pairs as compared to the full dataset. In general, we do observe that the layers between 19 and 23 achieve close-to-optimal performance for the Attention score computed on a 32 layer, 7-billion parameter model such as Llama-2. We hypothesize that for the white-box setting, while the very early layers are involved in feature extraction, and the last layers are involved more towards making an optimal next-token prediction, the layers after the midpoint of the network are quite suitable for hallucination detection. In the black-box setting, this is much more difficult since it is non-trivial to map representations of intermediate layers between different LLMs, especially when the original LLM has many more layers, as with GPT-4 or Llama-2-70B. Furthermore, the optimal layer can depend on factors such as the structure of knowledge presented within an example. For instance, samples within the SelfCheck dataset were created by performing a sentence-level split of paragraphs generated by GPT-3 based on WikiBio data, with corresponding sentence-level annotations. However, for detecting hallucinations in a given sentence, an increasing passage context is utilized by incorporating prior sentences arising from the same model response since detection of hallucinations within a single sentence without the appropriate context is not well-defined. Thus, in these cases of increasing context for sentence level detection, even early-layers are observed to be optimal, as compared to the case seen with other datasets such as FAVA-Train, FAVA-Annotation or RagTruth which are more standardized in their structure.

F.2 Layerwise Detection Results on the RAGTruth Dataset

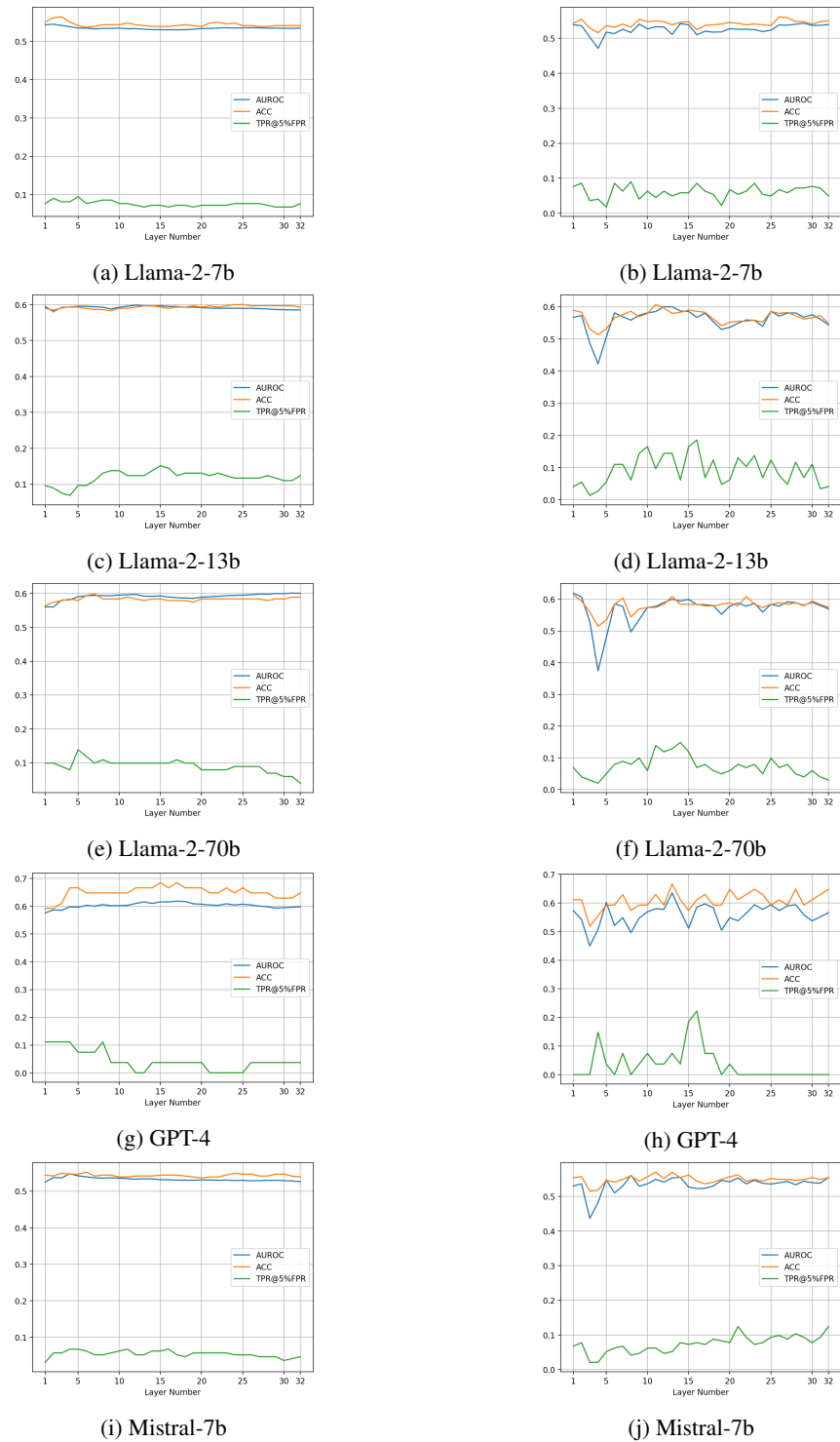


Figure 8: Performance for different layers with Hidden Score (left column) and Attention Score (right) on the RAGTruth dataset. All these scores are with the Llama-2-7b model.

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: Yes, the claims made in the abstract and introduction are faithfully supported by the experimental evaluations presented in Section-5.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: Yes, we detail the limitations in Section-A of the appendix.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [Yes]

Justification: We make some theoretical arguments in Section-4, and is self-contained.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: Yes, we include all required details to reproduce the main results, and also make the codebase for LLM-Check available on GitHub.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [\[Yes\]](#)

Justification: Yes, we include all required details to reproduce the main results, and also make the codebase for LLM-Check available on GitHub.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [\[Yes\]](#)

Justification: Yes, we provide these details in Section 5 and Section E.1. We do not train any models in this work.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [\[Yes\]](#)

Justification: We run our scoring functions deterministically with teacher-forcing, since the hallucination datasets include the sampled tokens explicitly. Thus, we get exactly the same values with reruns on the same data splits.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).

- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [\[Yes\]](#)

Justification: We run our experiments mainly on Nvidia A5000 and A6000 GPU cards. We provide the timing experiments on a single Nvidia A5000 GPU in Section 5.3.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [\[Yes\]](#)

Justification: Yes, we conform to the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [\[Yes\]](#)

Justification: Yes, we include our broader impact statement in Section-B

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.

- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [\[Yes\]](#)

Justification: Our paper analyses hallucination detection in LLMs, and thus does not pose added safety risks, but rather helps reduce such risks. We explain more in Section-B as well.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [\[Yes\]](#)

Justification: Yes, we properly credit authors and licenses for the different models and datasets used in the paper.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.

- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. **New Assets**

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: We do not release new datasets or new models.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. **Crowdsourcing and Research with Human Subjects**

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: Our paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. **Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects**

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: Our paper does not involve crowdsourcing nor research with human subjects

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.

- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.