
Offline Behavior Distillation

Shiye Lei

School of Computer Science
The University of Sydney
shiye.lei@sydney.edu.au

Sen Zhang

School of Computer Science
The University of Sydney
sen.zhang@sydney.edu.au

Dacheng Tao

College of Computing & Data Science
Nanyang Technological University
dacheng.tao@ntu.edu.sg

Abstract

Massive reinforcement learning (RL) data are typically collected to train policies offline without the need for interactions, but the large data volume can cause training inefficiencies. To tackle this issue, we formulate offline behavior distillation (OBD), which synthesizes limited expert behavioral data from sub-optimal RL data, enabling rapid policy learning. We propose two naive OBD objectives, DBC and PBC, which measure distillation performance via the decision difference between policies trained on distilled data and either offline data or a near-expert policy. Due to intractable bi-level optimization, the OBD objective is difficult to minimize to small values, which deteriorates PBC by its distillation performance guarantee with quadratic discount complexity $\mathcal{O}(1/(1-\gamma)^2)$. We theoretically establish the equivalence between the policy performance and action-value weighted decision difference, and introduce action-value weighted PBC (Av-PBC) as a more effective OBD objective. By optimizing the weighted decision difference, Av-PBC achieves a superior distillation guarantee with linear discount complexity $\mathcal{O}(1/(1-\gamma))$. Extensive experiments on multiple D4RL datasets reveal that Av-PBC offers significant improvements in OBD performance, fast distillation convergence speed, and robust cross-architecture/optimizer generalization. The code is available at <https://github.com/LeavesLei/OBD>.

1 Introduction

Due to the costs and dangers associated with interactions in reinforcement learning (RL), learning policies from pre-collected RL data has become increasingly popular [Levine et al., 2020]. Consequently, numerous offline RL datasets have been constructed [Fu et al., 2020]. However, these offline data are typically massive and collected by sub-optimal or even random policies, leading to inefficiencies in policy training. Inspired by dataset distillation (DD) [Wang et al., 2018, Zhao et al., 2021, Lei and Tao, 2024], which synthesizes a small number of training images while preserving model training effects, we further investigate the following question: *Can we distill vast sub-optimal RL data into limited expert behavioral data?* Achieving this would enable rapid offline policy learning via behavioral cloning (BC) [Pomerleau, 1991], which can (1) reduce the training cost and enable green AI; (2) facilitate downstream tasks by using distilled data as prior knowledge (e.g. continual RL [Gai et al., 2023], multi-task RL [Yu et al., 2021], efficient policy pretraining [Goecks et al., 2019], offline-to-online fine-tuning [Zhao et al., 2022]); and (3) protect data privacy [Qiao and Wang, 2023].

Unlike DD whose objective is prediction accuracy and directly obtainable from real data, the policy performance in RL is measured by the expected return through interactions with environment. In an

offline paradigm, where direct interaction with environment is not possible, a metric based on RL data is necessary to guide the RL data distillation. Therefore, we formalize the offline behavior distillation (OBD): a limited set of behavioral data, comprising (state, action) pairs, is synthesized from sub-optimal RL data, so that policies trained on the compact synthetic dataset by BC can achieve small OBD objective loss, which incarnates high return when deploying policies in the environment.

The key obstacle for OBD is constructing a proper objective that efficiently and accurately estimates the policy performance based on the sub-optimal offline dataset, allowing for a rational evaluation of the distilled data. To this end, data-based BC (DBC) and policy-based BC (PBC) present two naive OBD objectives. Specifically, DBC reflects the policy performance by measuring the mismatch between the policy decision and vanilla offline data. Leveraging existing offline RL algorithms that can extract near-optimal policies from sub-optimal data [Levine et al., 2020], PBC improves upon DBC by correcting actions in offline data using a near-optimal policy before measuring the decision difference. However, due to the complex bi-level optimization in OBD, the objectives are difficult to minimize effectively, resulting in an inferior distillation performance guarantee with the *quadratic* discount complexity $\mathcal{O}(1/(1-\gamma)^2)$ for PBC (Theorem 1). We tackle this problem and propose the action-value weighted PBC (Av-PBC) as the OBD objective with superior distillation guarantee by taking inspirations from our theoretical findings. Concretely, we theoretically prove the equivalence between the policy performance gap and the action-value weighted decision difference (Theorem 2). Then, by optimizing the weighted decision difference, we can obtain a much tighter distillation performance guarantee with *linear* discount complexity $\mathcal{O}(1/(1-\gamma))$ (Corollary 1). Consequently, we weigh PBC with the simple action value, introducing Av-PBC as the OBD objective.

Extensive experiments on nine datasets of D4RL benchmark [Fu et al., 2020] with multiple environments and data qualities illustrate that our Av-PBC remarkably promotes the OBD performance, which is measured by normalized return, by 82.8% and 25.7% compared to baselines of DBC and PBC, respectively. Moreover, Av-PBC has a significant convergence speed and requires only a quarter of distillation steps compared to DBC and PBC. By evaluating the synthetic data in terms of different network architectures and training optimizers, we show that distilled datasets possess decent cross-architecture/optimizer performance. Apart from evaluations on single policy, we also investigate policy ensemble performance by training multiple policies on the synthetic dataset and combining them to generate actions. The empirical findings demonstrate that the ensemble operation can significantly enhance the performance of policies trained on Av-PBC-distilled data by 25.8%.

Our contributions can be summarized as:

- We formulate the offline behavior distillation problem, and present two naive OBD objectives of DBC and the improved PBC;
- We demonstrate the unpleasant distillation performance guarantee of $\mathcal{O}(1/(1-\gamma)^2)$ for PBC, and theoretically derive a novel objective of Av-PBC that has much tighter performance guarantee of $\mathcal{O}(1/(1-\gamma))$;
- Extensive experiments on multiple offline RL datasets verify significant improvements on OBD performance and speed by Av-PBC.

2 Related works

Offline RL Data collection can be both hazardous (*e.g.* autonomous driving) and costly (*e.g.* healthcare) with the online learning paradigm of RL. To alleviate the online interaction, offline RL has been developed to learn the policy from a pre-collected dataset gathered by sub-optimal behavior policies [Lange et al., 2012, Fu et al., 2020]. However, the offline paradigm limits exploration and results in the distributional shift problem: (1) the state distribution discrepancy between learned policy and behavior policy at test time; and (2) only in-dataset state transitions are sampled when conducting Bellman backup [Bellman, 1966] during the training period [Levine et al., 2020]. Various offline RL algorithms have been proposed to mitigate the distributional shift problem. Fujimoto and Gu [2021], Tarasov et al. [2024] introduce policy constrain that control the discrepancy between learned policy and behavior policy. To address the problem of over-optimistic estimation on out-of-distribution actions, Kumar et al. [2020], Nakamoto et al. [2023], Kostrikov et al. [2022] propose to regularize the learned value function for conservative Q learning. Moreover, ensemble approaches have also proven effective in offline RL [An et al., 2021]. Readers can refer to [Tarasov et al., 2022] for a detailed comparison of offline RL methods. Albeit these advancements, the offline dataset is extremely large

(million-level) and contains sensitive information (*e.g.* medical history) [Qiao and Wang, 2023], necessitating consideration of training efficiency, data storage, and privacy concerns. To address these issues, we distill a small behavioural dataset from vast subpar offline RL data to enable efficient policy learning via BC.

Dataset Distillation Given the resource constraints in era of big data, numerous approaches have focused on improving learning efficiency through memory-efficient model [Han et al., 2016, Jing et al., 2021] and effective data utilization [Mirzsoleiman et al., 2020, Jing et al., 2023, Lei et al., 2023]. Recently, dataset distillation (DD) has emerged as a promising technique for condensing large real datasets into significantly smaller synthetic ones, such that models trained on these tiny synthetic datasets achieve comparable generalization performance to those trained on large original datasets [Sachdeva and McAuley, 2023, Yu et al., 2024, Lei and Tao, 2024]. This approach addresses key issues such as training inefficiency, data storage limitations, and data privacy concerns. There are two primary frameworks for DD: the meta-learning framework, which formulates dataset distillation as a bi-level optimization problem [Wang et al., 2018, Deng and Russakovsky, 2022], and the matching framework, which matches the synthetic and real datasets in terms of gradient [Zhao et al., 2021, Zhao and Bilen, 2021], feature [Zhao and Bilen, 2023, Wang et al., 2022], or training trajectory [Cazenavette et al., 2022, Cui et al., 2023].

While most DD methods focus on image data, Lupu et al. [2024] propose behavior distillation (BD), extending DD to online RL regime. In (online) BD, a small number of state-action pairs are synthesized for fast BC training by (1) directly computing policy returns through online interactions; and (2) estimating the meta-gradient *w.r.t.* synthetic data via evolution strategies (ES) [Salimans et al., 2017]. We underline that our OBD is not an extension of online BD, but rather a novel and parallel field because of different objectives that incur distinct challenges: (1) online BD uses the ground truth objective, *i.e.*, policy return, by sampling many long episodes from environments. As a result, backpropagating the meta-gradient of return *w.r.t.* synthetic data is extremely inefficient, and Lupu et al. [2024] tackle the challenge by estimating meta-gradient with the zero-order algorithm of ES; and (2) OBD objective solely relies on offline data instead of long episode sampling, thereby making meta-gradient backpropagation relatively efficient and feasible, and the primary obstacle for OBD lies in designing an appropriate objective that accurately reflects the policy performance.

3 Preliminaries

Reinforcement Learning The problem of reinforcement learning can be described as the Markov decision process (MDP) $(\mathcal{S}, \mathcal{A}, \mathcal{T}, r, \gamma, d^0)$, where \mathcal{S} is a set of states $s \in \mathcal{S}$, \mathcal{A} is the set of actions $a \in \mathcal{A}$, $\mathcal{T}(s'|s, a)$ denotes the transition probability function, $r(s, a)$ is the reward function, $\gamma \in (0, 1)$ is the discount factor, and $d^0(s)$ is the initial state distribution [Sutton and Barto, 2018]. We assume that the reward function is bounded by R_{\max} , *i.e.*, $r(s, a) \in [0, R_{\max}]$ for all $(s, a) \in \mathcal{S} \times \mathcal{A}$. The objective of RL is to learn a policy $\pi(a|s)$ that maximizes the long-term expected return $J(\pi) = \mathbb{E}_{\pi} [\sum_{t=0}^{\infty} \gamma^t r_t]$, where $r_t = r(s_t, a_t)$ is the reward at t -step, and γ usually is close to 1 to consider long-horizon rewards in the most RL tasks. We define $d_{\pi}^t(s) = \Pr(s_t = s; \pi)$ and $\rho_{\pi}^t(s, a) = \Pr(s_t = s, a_t = a; \pi)$ as t -th step state distribution and state-action distribution, respectively. Then, the discounted stationary state distribution $d_{\pi}(s) = (1 - \gamma) \sum_{t=0}^{\infty} \gamma^t d_{\pi}^t(s)$, and the discounted stationary state-action distribution $\rho_{\pi}(s, a) = (1 - \gamma) \sum_{t=0}^{\infty} \gamma^t \rho_{\pi}^t(s, a)$. Intuitively, the state (state-action) distribution depicts the overall “frequency” of visiting a state (state-action) with π . The action-value function of π is $q_{\pi}(s, a) = \mathbb{E}_{\pi} [\sum_{t=0}^{\infty} \gamma^t r_t \mid s_0 = s, a_0 = a]$, which is the expected return starting from s , taking the action a . Since $r_t \geq 0$, we have $q_{\pi}(s, a) \geq 0$ for all (s, a) .

Instead of interacting with the environment, offline RL learns the policy from a sub-optimal offline dataset $\mathcal{D}_{\text{off}} = \{(s_i, a_i, s'_i, r_i)\}_{i=1}^{N_{\text{off}}}$ with specially designed Bellman backup [Levine et al., 2020]. Although \mathcal{D}_{off} is normally collected by sub-optimal behavior policies, offline RL algorithms can recapitulate a near-optimal policy π^* and value function q_{π^*} from \mathcal{D}_{off} .

Behavioral Cloning [Pomerleau, 1991] can be regarded as a special offline RL algorithm and only copes with high-quality data. Given the expert demonstrations $\mathcal{D}_{\text{BC}} = \{(s_i, a_i)\}_{i=1}^{N_{\text{BC}}}$, the policy network π_{θ} parameterized by θ is trained by cloning the behavior of the expert dataset \mathcal{D}_{BC} in a supervised manner: $\min_{\theta} \ell_{\text{BC}}(\theta, \mathcal{D}_{\text{BC}}) := \mathbb{E}_{(s,a) \sim \mathcal{D}_{\text{BC}}} [(\pi_{\theta}(a|s) - \hat{\pi}^*(a|s))^2]$, where $\hat{\pi}^*(a|s) =$

$\frac{\sum_{i=1}^{N_{\text{BC}}} \mathbb{I}(s_i=s, a_i=a)}{\sum_{i=1}^{N_{\text{BC}}} \mathbb{I}(s_i=s)}$ is an empirical estimation based on \mathcal{D}_{BC} . Compared to general offline RL algorithms that deal with subpar 4-tuples of \mathcal{D}_{off} , BC only handles expert 2-tuples, while it has better convergence speed due to the supervised paradigm. This paper aims to distill massive sub-optimal 4-tuples into a few expert 2-tuples, thereby enabling rapid policy learning via BC.

3.1 Problem Setup

We first introduce behavior distillation [Lupu et al., 2024] that aims to synthesize few data points $\mathcal{D} = \mathcal{D}_{\text{syn}} = \{(s_i, a_i)\}_{i=1}^{N_{\text{syn}}}$ with small N_{syn} from the environment, so the policy trained on \mathcal{D}_{syn} has a large expected return J . The problem of behavior distillation can be formalized as follows:

$$\mathcal{D}_{\text{syn}}^* = \arg \max_{\mathcal{D}} J(\pi_{\theta(\mathcal{D})}) \quad \text{s.t.} \quad \theta(\mathcal{D}) = \arg \min_{\theta} \ell_{\text{BC}}(\theta, \mathcal{D}). \quad (1)$$

During behavior distillation, the return J is directly estimated by the interaction between policy and environment. However, in the offline setting, the environment can not be touched, and only the previously collected dataset \mathcal{D}_{off} is provided. Hence, we employ $\mathcal{H}(\pi_{\theta}, \mathcal{D}_{\text{off}})$ as a surrogate loss to estimate the policy performance of π_{θ} given the offline data \mathcal{D}_{off} without interactions with the environment. Then, by setting $N_{\text{syn}} \ll N_{\text{off}}$, *offline behavior distillation* can be formulated as below:

$$\mathcal{D}_{\text{syn}}^* = \arg \min_{\mathcal{D}} \mathcal{H}(\pi_{\theta(\mathcal{D})}, \mathcal{D}_{\text{off}}) \quad \text{s.t.} \quad \theta(\mathcal{D}) = \arg \min_{\theta} \ell_{\text{BC}}(\theta, \mathcal{D}). \quad (2)$$

3.2 Backpropagation through Time

The formulation of offline behavior distillation is a bi-level optimization problem: the inner loop optimizes the policy network parameters based on the synthetic dataset with BC by multiple iterations of $\{\theta_1, \theta_2, \dots, \theta_T\}$. During the outer loop iteration, synthetic data are updated by minimizing the surrogate loss \mathcal{H} . With the nested loop, the synthetic dataset gradually converges to one of the optima. This bi-level optimization can be solved by backpropagation through time (BPTT) [Werbos, 1990]:

$$\nabla_{\mathcal{D}} \mathcal{H} = \frac{\partial \mathcal{H}}{\partial \mathcal{D}} = \frac{\partial \mathcal{H}}{\partial \theta^{(T)}} \left(\sum_{k=0}^{k=T} \frac{\partial \theta^{(T)}}{\partial \theta^{(k)}} \cdot \frac{\partial \theta^{(k)}}{\partial \mathcal{D}} \right), \quad \text{and} \quad \frac{\partial \theta^{(T)}}{\partial \theta^{(k)}} = \prod_{i=k+1}^T \frac{\partial \theta^{(i)}}{\partial \theta^{(i-1)}}. \quad (3)$$

Although BPTT provides a feasible solution to compute the meta-gradient for OBD, the objective \mathcal{H} is hardly minimized to near zero in practice owing to the severe complexity and non-convexity of bi-level optimization [Wiesemann et al., 2013].

4 Methods

The key challenge in OBD is *determining an appropriate objective loss $\mathcal{H}(\pi_{\theta}, \mathcal{D}_{\text{off}})$ to estimate the performance of π_{θ}* . While policy performance could be naturally estimated using episode return by learning a MDP environment from \mathcal{D}_{off} , as done in model-based offline RL [Kidambi et al., 2020], this approach is computationally expensive. Apart from the considerable time required to sample the episode for evaluation, the corresponding gradient computation is also inefficient: although Policy Gradient Theorem $\frac{\partial J}{\partial \theta} = \sum_s d_{\pi}(s) \sum_a q_{\pi}(s, a) \nabla_{\theta} \pi_{\theta}(a|s)$ provides a way to compute meta-gradients [Sutton and Barto, 2018], the gradient estimation often exhibits high variance due to the lack of information *w.r.t.* $d_{\pi}(s)$ and $q_{\pi}(s, a)$.

4.1 Data-based and Policy-based BC

Compared to both sampling and gradient computation inefficiency of policy return, directly using \mathcal{D}_{off} is a more feasible way to estimate the policy performance in OBD, and a natural option is BC loss, *i.e.*, $\mathcal{H}(\pi_{\theta}, \mathcal{D}_{\text{off}}) = \ell_{\text{BC}}(\theta, \mathcal{D}_{\text{off}})$, which we refer to as **data-based BC (DBC)**. However, as \mathcal{D}_{off} is collected by sub-optimal policies, DBC hardly evaluates the policy performance accurately.

Benefiting from offline RL algorithms, we can extract the near-optimal policy π^* and corresponding value function q_{π^*} from \mathcal{D}_{off} via carefully designed Bellman updates. Consequently, a more rational choice is to correct actions in \mathcal{D}_{off} with π^* , leading to $\mathcal{H}(\pi, \mathcal{D}_{\text{off}}) = \mathbb{E}_{s \sim \mathcal{D}_{\text{off}}} [D_{\text{TV}}(\pi^*(\cdot|s), \pi(\cdot|s))]$, where $D_{\text{TV}}(\pi^*(\cdot|s), \pi(\cdot|s)) = \frac{1}{2} \sum_{a \in \mathcal{A}} |\pi^*(a|s) - \pi(a|s)|$ is the total variation (TV) distance

that measures the decision difference between π^* and π at state s , and we term this metric as **policy-based BC (PBC)**. With the exemplar π^* , offline behavior distillation performance $J(\pi)$, where π is trained on \mathcal{D}_{syn} , can be guaranteed by the following theorem.

Theorem 1 (Theorem 1 in [Xu et al., 2020]). *Given two policies of π^* and π with $\mathbb{E}_{s \sim d_{\pi^*}(s)} [D_{\text{TV}}(\pi^*(\cdot|s), \pi(\cdot|s))] \leq \epsilon$, we have $|J(\pi^*) - J(\pi)| \leq \frac{2R_{\max}}{(1-\gamma)^2} \epsilon$.*

Remark 1. *The proof of Theorem 1 does not necessitate that π^* is superior to π , and thus substituting $s \sim d_{\pi^*}(s)$ in $\mathbb{E}_{s \sim d_{\pi^*}(s)} [D_{\text{TV}}(\pi^*(\cdot|s), \pi(\cdot|s))] \leq \epsilon$ with $s \sim d_{\pi}(s)$ does not alter the outcome.*

Theorem 1 elucidates that π has close performance to the good policy π^* as long as they act similarly, and $J(\pi) \rightarrow J(\pi^*)$ if their decision difference $D_{\text{TV}}(\pi^*(\cdot|s), \pi(\cdot|s)) \rightarrow 0$. This is optimistic for the conventional BC setting where the loss can be easily optimized to near zero. However, because of intractable bi-level optimization, the empirical objective ϵ is rarely decreased to small values in OBD. According to [Xu et al., 2020], the upper bound in Theorem 1 is tight as quadratic discount complexity $\mathcal{O}(1/(1-\gamma)^2)$ is inevitable in the worst-case, implying that the distillation performance guarantee collapses quickly as the PBC objective increases. To this end, a more effective OBD objective should be considered to ensure stronger distillation guarantees.

4.2 Action-value weighted PBC

The preceding analysis highlights the inferior distillation guarantee of $\mathcal{O}(1/(1-\gamma)^2)$ with PBC. To establish a superior OBD objective, we prove the equivalence between the performance gap of $J(\pi^*) - J(\pi)$ and action-value weighted $\pi^*(a|s) - \pi(a|s)$ (Theorem 2). By optimizing the weighted decision difference, the performance gap can be non-vacuously bounded with a reduced discount complexity of $\mathcal{O}(1/(1-\gamma))$ (Corollary 1). Motivated by these theoretical insights, we propose action-value weighted PBC as the OBD objective for a tighter distillation performance guarantee.

Theorem 2. *For any two policies π and π^* , we have*

$$J(\pi^*) - J(\pi) = \frac{1}{1-\gamma} \mathbb{E}_{s \sim d_{\pi}(s)} [q_{\pi^*}(s, \cdot) (\pi^*(\cdot|s) - \pi(\cdot|s))], \quad (4)$$

where the dot notation (\cdot) is a summation over the action space, i.e., $q_{\pi^*}(s, \cdot) (\pi^*(\cdot|s) - \pi(\cdot|s)) = \sum_{a \in \mathcal{A}} q_{\pi^*}(s, a) (\pi^*(a|s) - \pi(a|s))$.

Proof Sketch. (1) With RL definitions, we represent $J(\pi^*) - J(\pi)$ by

$$J(\pi^*) - J(\pi) = \mathbb{E}_{s \sim d_{\pi^*}^0(s)} [q_{\pi^*}(s, \cdot) (\pi^*(\cdot|s) - \pi(\cdot|s))] + \mathbb{E}_{\rho_{\pi^*}^1(s, a)} [q_{\pi^*}(s, a) - q_{\pi}(s, a)];$$

(2) then we prove the iterative formula w.r.t. $\mathbb{E}_{\rho_{\pi^*}^n(s, a)} [q_{\pi^*}(s, a) - q_{\pi}(s, a)]:$

$$\begin{aligned} & \mathbb{E}_{\rho_{\pi^*}^n(s, a)} [q_{\pi^*}(s, a) - q_{\pi}(s, a)] \\ &= \gamma \mathbb{E}_{s \sim d_{\pi^*}^{n+1}(s)} [q_{\pi^*}(s, \cdot) (\pi^*(\cdot|s) - \pi(\cdot|s))] + \gamma \mathbb{E}_{\rho_{\pi^*}^{n+1}(s, a)} [q_{\pi^*}(s, a) - q_{\pi}(s, a)]; \end{aligned}$$

(3) integrating the two equations above yields the desired result

$$J(\pi^*) - J(\pi) = \sum_{t=0}^{\infty} \gamma^t \mathbb{E}_{s \sim d_{\pi^*}^t(s)} [q_{\pi^*}(s, \cdot) (\pi^*(\cdot|s) - \pi(\cdot|s))].$$

The complete proof can be found in Appendix A.1. Since $q_{\pi^*}(s, a)$ represents the expected return under the decent policy π^* when starting from (s, a) and reaches the maximum if π^* is truly optimal, it can be interpreted as the importance of (s, a) , and higher return is likely to be achieved when starting from more important (s, a) . Consequently, the gap between $J(\pi^*)$ and $J(\pi)$ directly depends on the importance-weighted decision difference between π^* and π . Based on Theorem 2 and $q_{\pi^*} \geq 0$, we can readily derive a bound on the guarantee on $|J(\pi^*) - J(\pi)|$ by applying the triangle inequality.

Corollary 1. *Given two policies of π^* and π with $\mathbb{E}_{s \sim d_{\pi}(s)} [q_{\pi^*}(s, \cdot) |\pi^*(\cdot|s) - \pi(\cdot|s)|] \leq \epsilon$, we have $|J(\pi^*) - J(\pi)| \leq \frac{1}{1-\gamma} \epsilon$.*

Tightness Since only the triangle inequality is applied, there exists the worst case for π where $\pi^*(a|s) - \pi(a|s) < 0$ holds only when $q_{\pi^*}(s, a) = 0$. This makes the inequality collapse to equality in Corollary 1, thereby demonstrating that the upper bound in Corollary 1 is *non-vacuous*.

Algorithm 1: Action-value weighted PBC

Input : offline RL dataset \mathcal{D}_{off} , synthetic data size N_{syn} , loop step T , T_{out} , learning rate α_0 , α_1 , momentum rate β_0, β_1

Output : synthetic dataset \mathcal{D}_{syn}

$\pi^*, q_{\pi^*} \leftarrow \text{OfflineRL}(\mathcal{D}_{\text{off}})$

Initialize $\mathcal{D}_{\text{syn}} = \{(s_i, a_i)\}_{i=1}^{N_{\text{syn}}}$ by randomly sampling $(s_i, a_i) \sim \mathcal{D}_{\text{off}}$

for $t_{\text{out}} = 1$ **to** T_{out} **do**

 Randomly initialize policy network parameters θ_0

 ▷ Behavioral cloning with synthetic data.

for $t = 1$ **to** T **do**

 Compute the BC loss *w.r.t.* synthetic data $\mathcal{L}_{t-1} = \ell_{\text{BC}}(\theta_{t-1}, \mathcal{D}_{\text{syn}})$

 Update $\theta_t \leftarrow \text{GradDescent}(\nabla_{\theta_{t-1}} \mathcal{L}_{t-1}, \alpha_0, \beta_0)$

end

 Construct the minibatch $\mathcal{B} = \{(s_i, a_i)\}_{i=1}^{|\mathcal{B}|}$ by sampling $s_i \sim \mathcal{D}_{\text{off}}$ and $a_i \sim \pi^*(\cdot|s_i)$

 Compute $\mathcal{H}(\pi_{\theta_T}, \mathcal{B}) = \frac{1}{|\mathcal{B}|} \sum_{i=1}^{|\mathcal{B}|} q_{\pi^*}(s_i, a_i) (\pi_{\theta_T}(a_i|s_i) - \pi^*(a_i|s_i))^2$

 Update $\mathcal{D}_{\text{syn}} \leftarrow \text{GradDescent}(\nabla_{\mathcal{D}_{\text{syn}}} \mathcal{H}(\pi_{\theta_T}, \mathcal{B}), \alpha_1, \beta_1)$

end

Comparison to Thm. 1 With the fact $q_{\pi^*}(s, a) \leq \sum_{t=0}^{\infty} R_{\max} = \frac{R_{\max}}{1-\gamma}$, we have

$$\mathbb{E}_{s \sim d_{\pi}(s)} [q_{\pi^*}(s, \cdot) |\pi^*(\cdot|s) - \pi(\cdot|s)|] \leq \frac{R_{\max}}{1-\gamma} \mathbb{E}_{s \sim d_{\pi}(s)} [|\pi^*(\cdot|s) - \pi(\cdot|s)|], \quad (5)$$

therefore our bound in Corollary 1 is significantly tighter than Theorem 1, as $q_{\pi^*}(s, a) = \sum_{t=0}^{\infty} R_{\max}$ requires π^* to achieve the maximum reward at every step. This condition is particularly difficult for sparse-reward environments where most $r(s, a)$ are close to zero. Moreover, combining the proof of Theorem 2 and Eq. 5 provides a more straightforward proof of Theorem 1.

As shown by the theoretical analysis, action-value weighted objective offers stronger distillation guarantees due to the linear discount factor complexity $\mathcal{O}(1/(1-\gamma))$. This improvement alleviates the loose guarantee caused by limited optimization in OBD compared to former quadratic $\mathcal{O}(1/(1-\gamma)^2)$. Accordingly, we propose **action-value weighted PBC (Av-PBC)** as the OBD objective:

$$\mathcal{H}(\pi, \mathcal{D}_{\text{off}}) = \mathbb{E}_{s \sim \mathcal{D}_{\text{off}}} [q_{\pi^*}(s, \cdot) (\pi(\cdot|s) - \pi^*(\cdot|s))^2]. \quad (6)$$

While Av-PBC is theoretically induced, it is quite intuitive to understand: states s in \mathcal{D}_{off} are normally sampled by a mixture of policies instead of the expert π^* . If we sampled a bad state s with extremely small $q_{\pi^*}(s, a)$, measuring the decision difference between π and π^* will be less important. As for practical implementation, Eq. 6 requires summing over the entire action space \mathcal{A} to compute $\sum_{a \in \mathcal{A}}$, which is highly inefficient for large $|\mathcal{A}|$. Considering the expert policy is typically highly concentrated, *i.e.*, only a few actions are selected by π^* with large action values, we instead sample $a \sim \pi^*(\cdot|s)$ to efficiently estimate Eq. 6. The pseudo-code of Av-PBC is presented in Algorithm 1.

5 Experiments

In this section, we evaluate the proposed ODB algorithms across multiple offline RL datasets from perspectives of (1) distillation performance, (2) distillation convergence speed, (3) cross-architecture and cross-optimizer generalization, and (4) policy ensemble performance *w.r.t.* distilled data.

Datasets We conduct offline behavior distillation on D4RL [Fu et al., 2020], a widely used offline RL benchmark. Specifically, OBD algorithms are evaluated on three popular environments of HalfCheetah, Hopper, and Walker2D. For each environment, three offline RL datasets of varying quality are provided by D4RL, *i.e.*, medium-replay (M-R), medium (M), and medium-expert (M-E) datasets. Thus, a total of $3 \times 3 = 9$ datasets are employed to assess OBD algorithms. medium dataset is collected from the environment with “medium” level policies; medium-replay dataset consists of recording all samples in the replay buffer observed during training this “medium” level policy; and medium-expert dataset is a mixture of expert demonstrations and sub-optimal data.

Table 1: Offline behavior distillation performance on D4RL offline datasets. The result for Random Selection (Random) is obtained by repeating 10 times. For DBC, PBC, and Av-PBC, the results are averaged across five seeds and the last five evaluation steps. The best OBD result for each dataset is marked with **bold** scores, and **orange-colored** scores denote instances where OBD outperforms BC.

Method	Halfcheetach			Hopper			Walker2D			Average
	M-R	M	M-E	M-R	M	M-E	M-R	M	M-E	
Random	0.9	1.8	2.0	19.1	19.2	11.6	1.9	4.9	6.7	7.6
DBC	2.5	28.2	29.0	12.1	37.8	31.1	6.1	29.3	11.7	20.9
PBC	19.4	30.9	20.5	35.6	25.1	33.4	41.5	33.2	34.0	30.4
Av-PBC	35.9	36.9	22.0	40.9	32.5	38.7	55.0	39.5	42.1	38.2
BC (Whole)	14.0	42.3	59.8	22.9	50.2	51.7	14.6	65.9	89.6	45.7
OffRL (Whole)	45.8	47.6	50.8	98.0	56.4	107.3	87.4	84.0	109.0	70.1

Setup The advanced offline RL algorithm of Cal-QL [Nakamoto et al., 2023] is utilized to extract the decent π^* and q_{π^*} from \mathcal{D}_{off} . A four-layer MLP serves as the default architecture for policy networks. The size of synthetic data N_{syn} is set to 256. Standard SGD is employed in both inner and outer optimization, and learning rates $\alpha_0 = 0.1$ and $\alpha_1 = 0.1$ for the inner and outer loop, respectively, and corresponding momentum rates $\beta_0 = 0$ and $\beta_1 = 0.9$. Additional implementation details are provided in Appendix B.

Evaluation To access the performance of \mathcal{D}_{syn} , we train policy networks on \mathcal{D}_{syn} with standard BC, and obtain the corresponding averaged return by interacting with the environment for 10 episodes. We use normalized return [Fu et al., 2020] for better visualization: $\text{normalized return} = 100 \times \frac{\text{return} - \text{random return}}{\text{expert return} - \text{random return}}$, where **random return** and **expert return** refer to returns of random policies and the expert policy (online SAC [Haarnoja et al., 2018]), respectively.

Baselines (1) *Random Selection*: randomly selecting N_{syn} real state-action pairs from \mathcal{D}_{off} ; (2) *DBC*; (3) *PBC*; (4) *Av-PBC*. We also report policy performance of behavioral cloning and Cal-QL in terms of training on the whole offline dataset \mathcal{D}_{off} for a comprehensive comparison.

5.1 Main Results

We first investigate the performance of various OBD algorithms (DBC, PBC, Av-PBC) across offline datasets of varying quality and environments, as detailed in Table 1. Several observations are obtained from the results: (1) offline behavior distillation effectively synthesize informative data that enhance policy training (DBC/PBC/Av-PBC vs. Random Selection); (2) PBC demonstrates better distillation performance than the basic DBC, especially given the low-quality RL data, highlighting the benefit of action correction in the sub-optimal data (30.4 vs. 20.9); (3) Av-PBC considerably outperforms PBC across all datasets (38.2 vs. 30.4); (4) when the offline data are collected by low-quality policies (medium-replay), Av-PBC can surpass BC trained on the whole data, while it gradually lags behind BC with higher-quality offline data (medium-replay and medium-expert); (5) given that the objective of OBD is to approximate the decent policy extracted by offline RL algorithms, offline RL serves as an upper bound for OBD performance. In summary, the empirical results show that Av-PBC increases OBD performance by a substantial margin compared to the baselines (82.8% for DBC and 25.7% for PBC).

An interesting phenomenon observed with Av-PBC is that *synthetic data distilled from medium-replay offline datasets exhibit better performance than those distilled from medium-expert offline datasets*. We explain here: while medium-expert data offer better quality, medium-replay data contains more diverse states due to being sampled by a mixture of less-trained policies that explore a wider range of states. This is similar to exploration-exploitation dilemma in RL [Sutton and Barto, 2018] and underscores the importance of state coverage in original data for OBD.

Training Time Comparison To further illustrate the advantages of OBD, we compare the time required for training policies on original data versus OBD-distilled data. For synthetic data with a size of 256, only 100 optimization steps are necessary, corresponding to a training time of 0.2s, while 25k~125k steps are required for BC on original data. With distilled data, the training time can be reduced by over 99.5%. A detailed list of training steps for all datasets is provided in Appendix C.

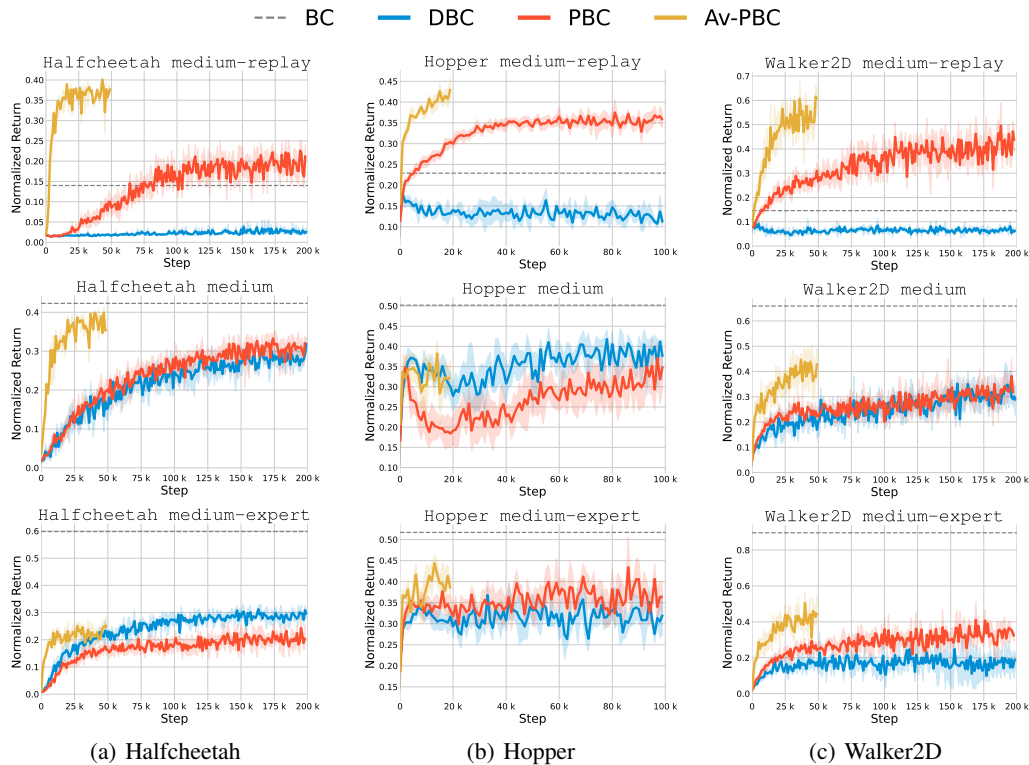


Figure 1: Plots of OBD performance, represented by the normalized returns of policies trained on synthetic data, as functions of distillation steps on (a) Halfcheetah; (b) Hopper; and (c) Walker2D environment. Each curve is averaged over five random seeds.

Table 2: Offline behavior distillation performance across various policy network architectures and optimizers (Optim). Red-colored scores and green-colored scores in brackets denote the performance degradation and improvement, respectively, compared to the default training setting. The results are averaged over five random seeds and the last five evaluation steps.

		Halfcheetach			Hopper			Walker2D			Average
Arch/Opt		M-R	M	M-E	M-R	M	M-E	M-R	M	M-E	
Architecture	2-layer	37.1	35.9	10.9	29.9	26.2	33.9	49.2	41.3	51.1	35.1 (3.1)
	3-layer	38.6	39.7	19.4	39.0	28.1	41.5	63.2	44.1	55.3	41.0 (2.8)
	5-layer	36.1	37.7	20.0	37.1	29.1	36.6	52.0	36.7	31.6	35.2 (3.0)
	6-layer	32.1	36.0	17.3	36.9	29.6	32.8	47.1	28.2	25.5	31.7 (6.5)
	Residual	36.9	36.4	20.0	38.8	29.8	40.3	47.5	35.7	37.1	35.8 (2.4)
Optim	Adam	35.8	37.6	22.9	40.5	31.2	40.2	55.8	41.9	47.7	39.3 (1.1)
	AdamW	36.8	37.9	21.4	40.6	33.3	41.1	55.4	44.2	43.2	39.3 (1.1)
	SGDm	36.4	37.3	21.8	40.4	30.9	39.2	54.7	40.2	42.1	38.1 (0.1)

Convergence Speed of OBD To compare the convergence speed of OBD algorithms, we plot the performance of various OBD algorithms over distillation step; please see Figure 1. These plots demonstrate that Av-PBC not only improves the OBD performance, but has significant convergence speed and requires only a quarter of the distillation steps compared to DBC and PBC, which is essential for OBD considering the compute-intensive bi-level optimization.

Cross Architecture and Optimizer Performance We evaluate the synthetic data across various training configurations to assess the cross-architecture/optimizer generalization of Av-PBC. Concretely, we employ the data distilled by Av-PBC with the default network (4-layer MLP) and optimizer (SGD) to train (1) different networks of 2/3/5/6-layer and residual MLPs and (2) the default 4-layer MLP with different optimizers of Adam, AdamW, and SGDm (SGD with momentum=0.9). The

Table 3: Offline behavior distillation performance on D4RL offline datasets with ensemble num of 10. Green-colored scores in brackets denote the performance improvement compared to the non-ensemble setting. The results are averaged over five random seeds and the last five evaluation steps.

Method	Halfcheetach			Hopper			Walker2D			Average
	M-R	M	M-E	M-R	M	M-E	M-R	M	M-E	
DBC	2.0	30.0	31.8	9.3	44.9	43.3	5.8	50.6	33.6	27.9 (7.0)
PBC	12.9	33.4	31.6	36.6	36.7	41.8	64.1	41.6	42.0	37.9 (7.3)
Av-PBC	39.8	41.4	37.2	39.7	27.6	38.8	75.9	58.6	73.7	48.1 (9.9)

results are presented in Table 2. As shown in the last column of average performance, we observe that (1) albeit a slight drop, synthetic data distilled by Av-PBC are still valid in training different policy networks, and (2) the performance of distilled data is relatively robust to the variation of optimizers. Therefore, the Av-PBC-distilled data possess satisfied cross-architecture/optimizer performance.

Policy Ensemble on OBD Data With the tiny distilled dataset, policy ensemble can be efficiently performed to further enhance policy performance. This is achieved by training multiple policy networks on synthetic data and then combining their outputs to generate actions. To evaluate the performance gain from policy ensemble, we train 10 policy networks with different seeds; please see Table 3. The results demonstrate that (1) policies trained on synthetic data can be substantially boosted through ensemble (25.8% for Av-PBC); and (2) Av-PBC exhibits a larger performance gain than DBC and PBC (9.9 vs. 7.0/7.3), highlighting the advantages of Av-PBC in policy ensemble.

6 Discussion

Applications Distilled behavioral data encapsulate critical decision-making knowledge from offline RL data and associated environment, making them highly applicable to various downstream RL tasks. Through BC on distilled data, we can *rapidly pretrain a good policy* for online RL fine-tuning [Goecks et al., 2019]. On the other hand, after offline pretraining, the policy can be further enhanced by online fine-tuning, while there exists *catastrophic forgetting w.r.t.* offline data knowledge during fine-tuning [Luo et al., 2023]. To tackle this challenge, Zhao et al. [2022] propose to use BC loss *w.r.t.* offline data as a constraint during the fine-tuning phase. By replacing the massive offline data with distilled data, we can achieve more efficient loss computation and thus better algorithm convergence. A similar approach can be achieved to circumvent catastrophic forgetting in continual offline RL [Gai et al., 2023], where the goal is to learn a sequence of offline RL tasks while retaining good performance across all tasks. Moreover, *multi-task offline RL* [Yu et al., 2021], which learns multiple RL tasks jointly from a combination of specific offline datasets, also receives benefits from OBD in terms of efficiency by alternative training on the mixture of distilled data via BC [Lupu et al., 2024].

Beyond benefits in efficient policy training, OBD shows potential for *protecting data privacy*: given that offline datasets often contain sensitive information, such as medical records, privacy concerns are significant in offline RL due to various privacy attacks on the learned policies [Qiao and Wang, 2023]. OBD can enhance privacy preservation by publishing smaller, distilled datasets instead of the full, sensitive data. Besides, distilled behavioral data is also beneficial for *explainable RL* by highlighting the critical states and corresponding actions. A example of this is provided in Appendix D.

Limitations The OBD data are 2-tuples of (state, action) and exclude reward. Thus, the distilled data are solely leveraged by the supervised BC and invalid for conventional RL algorithms with Bellman backup. Despite this deficiency, OBD data can still facilitate the applications above by efficiently injecting high-quality decision-making knowledge into policy networks with BC loss.

We note that two major challenges remain in current OBD algorithms: distillation inefficiency and policy performance degradation. While our Av-PBC substantially decreases the distillation steps, the OBD process is still computationally expensive (25 hours for 50k distillation steps on a single NVIDIA V100 GPU) due to the bi-level optimization involved. Moreover, there remains a notable performance gap between OBD and the whole data with offline RL algorithms (38.2 vs. 70.1 in Table 1). These limitations also shed light on future directions in improving the efficiency of OBD and bridging the gap between synthetic data and the original offline RL dataset.

7 Conclusion

In this paper we integrate the advanced dataset distillation with offline RL data, formalizing the concept of offline behavior distillation (OBD). We introduce two OBD objectives: the naive offline data-based BC (DBC) and its policy-corrected variant, PBC. Through comprehensive theoretical analysis, we demonstrate that PBC offers inferior OBD performance guarantee of $\mathcal{O}(1/(1-\gamma)^2)$ under complex bi-level optimization, which inevitably incurs significant distillation loss.. To tackle this issue, we theoretically establish the equivalence between policy performance gap and action-value weighted decision difference, leading to the proposal of action-value weighted BC (Av-PBC). This novel Av-PBC objective significantly improves the performance guarantee to $\mathcal{O}(1/(1-\gamma))$. Extensive experiments on multiple offline RL datasets demonstrate that Av-PBC vastly enhances OBD performance and accelerates the distillation process by several times.

Acknowledge

The authors thank the anonymous reviewers for their helpful comments and feedback. The authors are also grateful to Zhihao Cheng for thoughtful discussions and fruitful comments. Dr Tao is partially supported by NTU RSR and Start Up Grants.

References

- Gaon An, Seungyong Moon, Jang-Hyun Kim, and Hyun Oh Song. Uncertainty-based offline reinforcement learning with diversified q-ensemble. *Advances in neural information processing systems*, 34:7436–7447, 2021.
- Richard Bellman. Dynamic programming. *science*, 153(3731):34–37, 1966.
- George Cazenavette, Tongzhou Wang, Antonio Torralba, Alexei A. Efros, and Jun-Yan Zhu. Dataset distillation by matching training trajectories. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, 2022.
- Justin Cui, Ruochen Wang, Si Si, and Cho-Jui Hsieh. Scaling up dataset distillation to imagenet-1k with constant memory. In *Proceedings of the International Conference on Machine Learning (ICML)*, 2023.
- Zhiwei Deng and Olga Russakovsky. Remember the past: Distilling datasets into addressable memories for neural networks. In Alice H. Oh, Alekh Agarwal, Danielle Belgrave, and Kyunghyun Cho, editors, *Advances in Neural Information Processing Systems*, 2022. URL https://openreview.net/forum?id=RYZyj_wwgfa.
- Justin Fu, Aviral Kumar, Ofir Nachum, George Tucker, and Sergey Levine. D4rl: Datasets for deep data-driven reinforcement learning, 2020.
- Scott Fujimoto and Shixiang Gu. A minimalist approach to offline reinforcement learning. In A. Beygelzimer, Y. Dauphin, P. Liang, and J. Wortman Vaughan, editors, *Advances in Neural Information Processing Systems*, 2021. URL <https://openreview.net/forum?id=Q32U7dzWXpc>.
- Sibo Gai, Donglin Wang, and Li He. Offline experience replay for continual offline reinforcement learning. *arXiv preprint arXiv:2305.13804*, 2023.
- Vinicius G Goecks, Gregory M Gremillion, Vernon J Lawhern, John Valasek, and Nicholas R Waytowich. Integrating behavior cloning and reinforcement learning for improved performance in dense and sparse reward environments. *arXiv preprint arXiv:1910.04281*, 2019.
- Tuomas Haarnoja, Aurick Zhou, Pieter Abbeel, and Sergey Levine. Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor. In *International conference on machine learning*, pages 1861–1870. PMLR, 2018.
- Song Han, Huizi Mao, and William J Dally. Deep compression: Compressing deep neural networks with pruning, trained quantization and huffman coding. *International Conference on Learning Representations (ICLR)*, 2016.

- Yongcheng Jing, Yiding Yang, Xinchao Wang, Mingli Song, and Dacheng Tao. Meta-aggregator: Learning to aggregate for 1-bit graph neural networks. In *ICCV*, 2021.
- Yongcheng Jing, Chongbin Yuan, Li Ju, Yiding Yang, Xinchao Wang, and Dacheng Tao. Deep graph reprogramming. In *CVPR*, 2023.
- Rahul Kidambi, Aravind Rajeswaran, Praneeth Netrapalli, and Thorsten Joachims. Morel: Model-based offline reinforcement learning. *Advances in neural information processing systems*, 33: 21810–21823, 2020.
- Ilya Kostrikov, Ashvin Nair, and Sergey Levine. Offline reinforcement learning with implicit q-learning. In *International Conference on Learning Representations*, 2022. URL <https://openreview.net/forum?id=68n2s9ZJWF8>.
- Aviral Kumar, Aurick Zhou, George Tucker, and Sergey Levine. Conservative q-learning for offline reinforcement learning. *Advances in Neural Information Processing Systems*, 33:1179–1191, 2020.
- Sascha Lange, Thomas Gabel, and Martin Riedmiller. Batch reinforcement learning. In *Reinforcement learning: State-of-the-art*, pages 45–73. Springer, 2012.
- Shiye Lei and Dacheng Tao. A comprehensive survey of dataset distillation. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 46(01):17–32, jan 2024. ISSN 1939-3539. doi: 10.1109/TPAMI.2023.3322540.
- Shiye Lei, Hao Chen, Sen Zhang, Bo Zhao, and Dacheng Tao. Image captions are natural prompts for text-to-image models. *arXiv preprint arXiv:2307.08526*, 2023.
- Sergey Levine, Aviral Kumar, George Tucker, and Justin Fu. Offline reinforcement learning: Tutorial, review, and perspectives on open problems. *arXiv preprint arXiv:2005.01643*, 2020.
- Yicheng Luo, Jackie Kay, Edward Grefenstette, and Marc Peter Deisenroth. Finetuning from offline reinforcement learning: Challenges, trade-offs and practical solutions. *arXiv preprint arXiv:2303.17396*, 2023.
- Andrei Lupu, Chris Lu, Jarek Luca Liesen, Robert Tjarko Lange, and Jakob Nicolaus Foerster. Behaviour distillation. In *The Twelfth International Conference on Learning Representations*, 2024. URL <https://openreview.net/forum?id=qup9xD8mW4>.
- Baharan Mirzasoleiman, Jeff Bilmes, and Jure Leskovec. Coresets for data-efficient training of machine learning models. In *International Conference on Machine Learning*, pages 6950–6960. PMLR, 2020.
- Mitsuhiko Nakamoto, Yuexiang Zhai, Anikait Singh, Max Sobol Mark, Yi Ma, Chelsea Finn, Aviral Kumar, and Sergey Levine. Cal-QL: Calibrated offline RL pre-training for efficient online fine-tuning. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023. URL <https://openreview.net/forum?id=GcEIvidYSw>.
- Dean A Pomerleau. Efficient training of artificial neural networks for autonomous navigation. *Neural computation*, 3(1):88–97, 1991.
- Dan Qiao and Yu-Xiang Wang. Offline reinforcement learning with differential privacy. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023. URL <https://openreview.net/forum?id=YVMc3KiWBQ>.
- Naveen Sachdeva and Julian McAuley. Data distillation: A survey. *Transactions on Machine Learning Research*, 2023. ISSN 2835-8856. URL <https://openreview.net/forum?id=lmXMP74T0>. Survey Certification.
- Tim Salimans, Jonathan Ho, Xi Chen, Szymon Sidor, and Ilya Sutskever. Evolution strategies as a scalable alternative to reinforcement learning. *arXiv preprint arXiv:1703.03864*, 2017.
- Richard S Sutton and Andrew G Barto. *Reinforcement learning: An introduction*. MIT press, 2018.

- Denis Tarasov, Alexander Nikulin, Dmitry Akimov, Vladislav Kurenkov, and Sergey Kolesnikov. CORL: Research-oriented deep offline reinforcement learning library. In *3rd Offline RL Workshop: Offline RL as a "Launchpad"*, 2022. URL <https://openreview.net/forum?id=SyAS49bBcv>.
- Denis Tarasov, Vladislav Kurenkov, Alexander Nikulin, and Sergey Kolesnikov. Revisiting the minimalist approach to offline reinforcement learning. *Advances in Neural Information Processing Systems*, 36, 2024.
- Kai Wang, Bo Zhao, Xiangyu Peng, Zheng Zhu, Shuo Yang, Shuo Wang, Guan Huang, Hakan Bilen, Xinchao Wang, and Yang You. Cafe: Learning to condense dataset by aligning features. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 12196–12205, 2022.
- Tongzhou Wang, Jun-Yan Zhu, Antonio Torralba, and Alexei A Efros. Dataset distillation. *arXiv preprint arXiv:1811.10959*, 2018.
- Paul J Werbos. Backpropagation through time: what it does and how to do it. *Proceedings of the IEEE*, 78(10):1550–1560, 1990.
- Wolfram Wiesemann, Angelos Tsoukalas, Polyxeni-Margarita Kleniati, and Berç Rustem. Pessimistic bilevel optimization. *SIAM Journal on Optimization*, 23(1):353–380, 2013.
- Tian Xu, Ziniu Li, and Yang Yu. Error bounds of imitating policies and environments. *Advances in Neural Information Processing Systems*, 33:15737–15749, 2020.
- Ruonan Yu, Songhua Liu, and Xinchao Wang. Dataset distillation: A comprehensive review. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 46(01):150–170, jan 2024. ISSN 1939-3539. doi: 10.1109/TPAMI.2023.3323376.
- Tianhe Yu, Aviral Kumar, Yevgen Chebotar, Karol Hausman, Sergey Levine, and Chelsea Finn. Conservative data sharing for multi-task offline reinforcement learning. *Advances in Neural Information Processing Systems*, 34:11501–11516, 2021.
- Bo Zhao and Hakan Bilen. Dataset condensation with differentiable siamese augmentation. In *International Conference on Machine Learning*, pages 12674–12685. PMLR, 2021.
- Bo Zhao and Hakan Bilen. Dataset condensation with distribution matching. In *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision (WACV)*, 2023.
- Bo Zhao, Konda Reddy Mopuri, and Hakan Bilen. Dataset condensation with gradient matching. In *International Conference on Learning Representations*, 2021. URL <https://openreview.net/forum?id=mSAKhLYLSSl>.
- Yi Zhao, Rinu Boney, Alexander Ilin, Juho Kannala, and Joni Pajarinen. Adaptive behavior cloning regularization for stable offline-to-online reinforcement learning. *arXiv preprint arXiv:2210.13846*, 2022.

A Proofs

A.1 Proof of Theorem 2

Proof. With the definitions $\rho_\pi^n(s, a) = \pi(a|s)d_\pi^{n-1}(s)$ and $J(\pi) = \mathbb{E}_{\rho_\pi^1(s, a)} [q_\pi(s, a)]$, we have

$$\begin{aligned}
 & J(\pi^*) - J(\pi) \\
 &= \mathbb{E}_{\rho_{\pi^*}^1(s, a)} [q_{\pi^*}(s, a)] - \mathbb{E}_{\rho_\pi^1(s, a)} [q_\pi(s, a)] \\
 &= \sum_{(s, a) \in \mathcal{S} \times \mathcal{A}} [\rho_{\pi^*}^1(s, a) q_{\pi^*}(s, a) - \rho_\pi^1(s, a) q_\pi(s, a)] \\
 &= \sum_{(s, a) \in \mathcal{S} \times \mathcal{A}} [\pi^*(a|s) d_{\pi^*}^0(s) q_{\pi^*}(s, a) - \pi(a|s) d_\pi^0(s) q_\pi(s, a)] \\
 &= \sum_{(s, a) \in \mathcal{S} \times \mathcal{A}} [\pi^*(a|s) d_{\pi^*}^0(s) q_{\pi^*}(s, a) - \pi(a|s) d_{\pi^*}^0(s) q_{\pi^*}(s, a) \\
 &\quad + \pi(a|s) d_{\pi^*}^0(s) q_{\pi^*}(s, a) - \pi(a|s) d_\pi^0(s) q_\pi(s, a)] \quad (d_{\pi^*}^0(s) \equiv d_\pi^0(s) \equiv d^0(s)) \\
 &= \mathbb{E}_{s \sim d_{\pi^*}^0(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] + \mathbb{E}_{\rho_\pi^1(s, a)} [q_{\pi^*}(s, a) - q_\pi(s, a)] \quad (7)
 \end{aligned}$$

For the term $q_{\pi^*}(s, a) - q_\pi(s, a)$, we have

$$\begin{aligned}
 & q_{\pi^*}(s, a) - q_\pi(s, a) \\
 &= r(s, a) + \gamma \mathbb{E}_{s' \sim \mathcal{T}(s'|s, a)} \left[\sum_{a' \in \mathcal{A}} \pi^*(a'|s') q_{\pi^*}(s', a') \right] \\
 &\quad - r(s, a) - \gamma \mathbb{E}_{s' \sim \mathcal{T}(s'|s, a)} \left[\sum_{a' \in \mathcal{A}} \pi(a'|s') q_\pi(s', a') \right] \\
 &= \gamma \mathbb{E}_{s' \sim \mathcal{T}(s'|s, a)} \left[\sum_{a' \in \mathcal{A}} \pi^*(a'|s') q_{\pi^*}(s', a') - \pi(a'|s') q_\pi(s', a') \right] \quad (8)
 \end{aligned}$$

Furthermore, due to $d_\pi^{n+1}(s') = \rho_\pi^n(s, a) \mathcal{T}(s'|s, a)$ we have

$$\begin{aligned}
 & \mathbb{E}_{\rho_\pi^n(s, a)} [q_{\pi^*}(s, a) - q_\pi(s, a)] \\
 &= \gamma \mathbb{E}_{\rho_\pi^n(s, a)} \left[\mathbb{E}_{s' \sim \mathcal{T}(s'|s, a)} \left[\sum_{a' \in \mathcal{A}} \pi^*(a'|s') q_{\pi^*}(s', a') - \pi(a'|s') q_\pi(s', a') \right] \right] \\
 &= \gamma \mathbb{E}_{s \sim d_\pi^{n+1}(s)} \left[\sum_{a \in \mathcal{A}} \pi^*(a|s) q_{\pi^*}(s, a) - \pi(a|s) q_\pi(s, a) \right] \\
 &= \gamma \mathbb{E}_{s \sim d_\pi^{n+1}(s)} \left[\sum_{a \in \mathcal{A}} \pi^*(a|s) q_{\pi^*}(s, a) - \pi(a|s) q_{\pi^*}(s, a) + \pi(a|s) q_{\pi^*}(s, a) - \pi(a|s) q_\pi(s, a) \right] \\
 &= \gamma \mathbb{E}_{s \sim d_\pi^{n+1}(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] + \gamma \mathbb{E}_{s \sim d_\pi^{n+1}(s)} \left[\sum_{a \in \mathcal{A}} \pi(a|s) q_{\pi^*}(s, a) - \pi(a|s) q_\pi(s, a) \right] \\
 &= \gamma \mathbb{E}_{s \sim d_\pi^{n+1}(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] + \gamma \mathbb{E}_{\rho_\pi^{n+1}(s, a)} [q_{\pi^*}(s, a) - q_\pi(s, a)] \quad (9)
 \end{aligned}$$

Plugging the iterative formula of Eq. 9 into Eq. 7 yields the desired equality:

$$\begin{aligned}
& J(\pi^*) - J(\pi) \\
&= \mathbb{E}_{s \sim d_{\pi^*}^0(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] + \mathbb{E}_{\rho_{\pi^*}^1(s, a)} [q_{\pi^*}(s, a) - q_{\pi}(s, a)] \\
&= \sum_{t=0}^{\infty} \gamma^t \mathbb{E}_{s \sim d_{\pi^*}^t(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] \\
&= \frac{1}{1-\gamma} \mathbb{E}_{s \sim d_{\pi^*}(s)} \left[\sum_{a \in \mathcal{A}} (\pi^*(a|s) - \pi(a|s)) q_{\pi^*}(s, a) \right] \tag{10}
\end{aligned}$$

The last equation uses the definition that $d_{\pi}(s) = (1-\gamma) \sum_{t=0}^{\infty} \gamma^t d_{\pi}^t(s)$. The proof is completed. \square

B Implementation Details

This section provides all the additional implementation details of our experiments.

OBD Settings The policy network is a 4-layer multilayer perceptron (MLP) with a width of 256. The synthetic data are initialized by randomly selecting N_{syn} state-action pairs from the offline data. For DBC and PBC, the distillation step T_{out} is set to 200k for Halfcheetah and Walker2D and 50k for Hopper, respectively. For Av-PBC, the distillation step T_{out} is set to 50k for Halfcheetah and Walker2D and 20k for Hopper, respectively. The inner loop step T_{in} is set to 100.

Offline RL Policy Training We use the advanced offline RL algorithm of Cal-QL [Nakamoto et al., 2023] to extract the decent policy π^* and corresponding q value function q_{π^*} from sub-optimal offline data, and the implementation in [Tarasov et al., 2022] is employed in our experiments with default hyper-parameter setting.

Cross-architecture Experiments. The width of MLPs are both 256. The residual MLP is a 4-layer MLP, and the intermediate layers are packaged into the residual block.

C Training Time Comparison

Table 4: The size and required training steps for convergence for each offline dataset. M denotes the million for simplicity. The size and step for synthetic data (Synset) are listed in the last column.

	Halfcheetach			Hopper			Walker2D			Synset
	M-R	M	M-E	M-R	M	M-E	M-R	M	M-E	
Size	0.2M	1M	2M	0.4M	1M	2M	0.3M	1M	2M	256
Step (k)	40	25	100	80	50	100	60	50	125	0.1

For the whole original data, offline RL algorithms require dozens of hours. Therefore, we solely compare the training time of BC on synthetic data and BC on original data. Because the training time varies with GPU models (NVIDIA V100 used in our experiments), we report the optimization step, which has a linear relationship to training time, required for training convergence for each original dataset, as shown in Table 4.

D Examples of Distilled Data

We present several examples of distilled behavioral data for Halfcheetah in Figure 2. The top row illustrates the distilled states, while the bottom row depicts the subsequent states after executing the corresponding distilled actions within the environment. The figure demonstrates that (1) the distilled states prioritize “critical states” or “imbalanced states” (for the cheetah) more than “balanced states”; and (2) the states following the execution of distilled actions are closer to “balanced states” compared to the initial distilled states. These examples offer insights into the explainability of reinforcement learning processes.

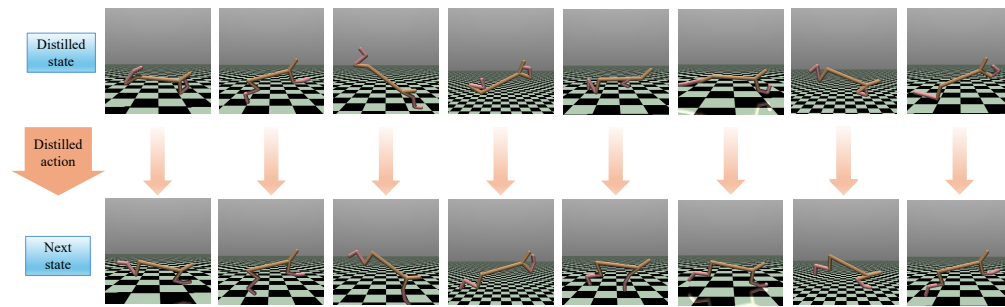


Figure 2: Examples of distilled behavioral data. The top row shows the distilled states, while the bottom row presents the subsequent state following the execution of the corresponding distilled actions within the environment.

E The Performance of Av-PBC across Different Synthetic Data Sizes

We investigate the impact of varying synthetic data size on OBD performance. The results, as shown in Table 5, suggest that OBD performance improves with an increase in synthetic data size. This enhancement is attributed to the larger synthetic datasets conveying more comprehensive information regarding the RL environment and associated decision-making processes.

Table 5: The Av-PBC performance on D4RL offline datasets with different synthetic data sizes.

Dataset	Synthetic Data Size				
	16	32	64	128	256
Halfcheetah M-R	6.9	15.3	23.8	33.2	35.9
Hopper M-R	27.3	29.9	32.3	38.1	40.9
Walker2D M-R	14.8	21.8	34.0	50.0	55.0

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [\[Yes\]](#)

Justification: Please see Abstract and Section 1.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [\[Yes\]](#)

Justification: Please see Section 6.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [\[Yes\]](#)

Justification: Please see Appendix A.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: Please see Section 5 and Appendix B for implementation details.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: The code is available at <https://github.com/LeavesLei/OBD>.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: Please see Section 5 and Appendix B for experimental details.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: Please see Figure 1.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.

- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: Please see Section 6.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: This research strictly adheres to the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: We study general machine learning problem of synthesizing training data. There is no obvious negative societal impact.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.

- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: This research poses no such risks.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: We have cited the original paper that produced the dataset; please refer to Section 5.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.

- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. **New Assets**

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: This research does not release new assets.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. **Crowdsourcing and Research with Human Subjects**

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: This paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. **Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects**

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: This paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.