

# Value Gradient Guidance for Flow Matching Alignment

Zhen Liu<sup>1†</sup> Tim Z. Xiao<sup>2\*</sup> Carles Domingo-Enrich<sup>3\*</sup> Weiyang Liu<sup>4</sup> Dinghuai Zhang<sup>3,5†</sup>

<sup>1</sup>The Chinese University of Hong Kong (Shenzhen) <sup>2</sup>University of Tübingen

<sup>3</sup>Microsoft Research <sup>4</sup>The Chinese University of Hong Kong <sup>5</sup>Mila – Quebec AI Institute

\*Equal contribution †Corresponding author

[vgg-flow.github.io](https://github.com/vgg-flow)

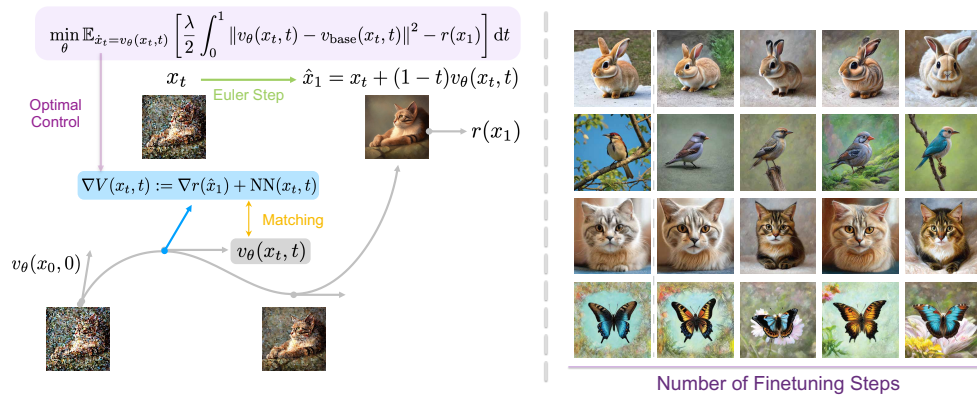


Figure 1: Left: Illustration of our proposed **VGG-Flow** algorithm. The **velocity field** is trained to **match** the **value gradient** field obtained from the **optimal control** problem. The value gradient field is parametrized as the reward gradient field of the **one-step Euler prediction** plus a learnable residual field. Right: Evolution of samples (with fixed seeds and prompts) during the course of finetuning on the reward model of Aesthetic Score.

## Abstract

While methods exist for aligning flow matching models – a popular and effective class of generative models – with human preferences, existing approaches fail to achieve both adaptation efficiency and probabilistically sound prior preservation. In this work, we leverage the theory of optimal control and propose **VGG-Flow**, a gradient-matching–based method for finetuning pretrained flow matching models. The key idea behind this algorithm is that the optimal difference between the finetuned velocity field and the pretrained one should be matched with the gradient field of a value function. This method not only incorporates first-order information from the reward model but also benefits from heuristic initialization of the value function to enable fast adaptation. Empirically, we show on a popular text-to-image flow matching model, Stable Diffusion 3, that our method can finetune flow matching models under limited computational budgets while achieving effective and prior-preserving alignment.

## 1 Introduction

Flow matching models [1, 36, 38] are one of the most effective methods in modeling high-dimensional real-world continuous distributions and widely used for the generation of images [17], videos [65], 3D objects [39, 40, 69, 78], etc. These models, compared to diffusion models that rely on simulation with stochastic differential equations (SDEs), are trained to sample with deterministic ordinary differential equations (ODEs) of which sampling paths are often straighter and easier to model.

Similar to the motivations for performing alignment for diffusion models [6, 19], it is natural to finetune flow matching models with reward models so that the generated samples are more aligned

with human preferences. While existing methods have already achieved fast, effective, diversity-preserving and prior-preserving alignment for diffusion models through gradient-matching-based approaches, the ODE sampling paths of flow matching models pose challenges in applying these methods. The key challenge is that, with flow matching models, one typically has access to neither a reference path (unless one has access to the large-scale pretraining dataset) nor the probability flow. Since it is non-trivial to obtain the probability flow and to incorporate the learned prior from base models for flow matching models, it is harder to align flow matching models in an efficient yet probabilistic way.

To address this issue, we take inspiration from the theory of optimal control and consider a relaxed objective: we optimize the target reward but with the accumulated cost-to-go defined as the  $\ell_2$  distance between the velocity fields of the finetuned model and the base model. The optimal solution of this optimization program is described by the Hamilton-Jacobi-Bellman (HJB) equation and can be shown in our formulation equivalent to two conditions: a gradient matching condition that the residual velocity field matches the gradient of the value function, and a value consistency condition that ensures correct estimation of value functions. In light of this result, we propose our finetuning method, dubbed **VGG-Flow** (short for **V**alue **G**radient **G**uidance for **F**low Matching Alignment), that finetunes the flow matching model via “matching with value gradient guidance”—the difference between the velocity fields of the finetuned model and the base model is expected to be the gradient of the value function—while the value function can be solved with a consistency equation. Such a formulation allows us not only to directly propagate the reward gradient to the matching target through the value consistency equation in an amortized and memory-efficient way but also to use a heuristic initialization of the value gradient for fast convergence. We empirically show that **VGG-Flow** can effectively and robustly finetune large flow matching models like Stable Diffusion 3 [17] within limited computational resources.

To summarize, our contributions are

- With a relaxed objective, we leverage the HJB equation from optimal control theory to propose **VGG-Flow**, an efficient and effective alignment method for flow matching models that matches the residual velocity field with the guidance signal of value function gradient.
- We propose to parametrize the value gradients with a forward-looking technique, which eases the difficulty in learning accurate value gradients in limited time and thus accelerates convergence.
- We empirically demonstrate the effectiveness of **VGG-Flow** on a large-scale text-to-image flow matching model, Stable Diffusion 3, and show that **VGG-Flow** achieves better reward convergence, sample diversity, and prior preservation compared to other alignment baselines.

## 2 Related Work

**General alignment strategies.** Since large generative models are typically trained on uncensored massive datasets, their sample distributions are typically far from human preferences. A common approach to solve this problem is through reinforcement learning from human feedback (RLHF) [45], in which one first trains a reward model from human preference datasets and later finetunes a generative model with reinforcement learning methods such that it samples from this reward model. In the alignment of large language models, it is common to use simple policy gradient methods such as PPO [56] and GRPO [57]. While they are general enough to be applicable to continuous generative models, they can be less efficient because they do not leverage the differentiable nature of both reward models and generative models. Similar to traditional RL [73] methods, the framework of generative flow networks (GFlowNets) [4, 46, 74, 75, 76], which are highly correlated with soft RL methods, can be used to finetune diffusion models [41, 71, 72, 77]. Alternatively, one may simply reward-reweight methods [18, 19, 34] for the same purpose. It is also under exploration to perform test-time scaling on diffusion models via methods like sequential Monte Carlo [27, 58], parallel tempering [28], and search [42] without any model finetuning.

**Differentiable RLHF for continuous foundation generative models.** Diffusion models and flow matching models, commonly used to build foundation models in the continuous domain, exhibit different properties due to their differentiable and sequential sampling process. For diffusion models, since each sampling step is stochastic (probably excluding the last step), one may finetune these models using stochastic optimal control [61] which typically requires extra steps for learning surrogate functions. A recent work inspired by the framework of generative flow networks [41] builds a gradient-informed finetuning strategy that efficiently aligns diffusion models with gradient-matching-like

losses in a probabilistic way. For flow matching models, these approaches are not applicable because they require the transitions to be stochastic. One way that applies to both diffusion models and flow matching models is to treat the sampling process as a computational graph with which we directly optimize differentiable rewards [12, 70]. However, such a strategy by design fails to align with the target distribution but only aims to find some modes in the distribution. More principled approaches for aligning flow matching models include the recent method of Adjoint Matching [15], which by turning flow matching models into equivalent SDEs computes a gradient matching target for the velocity field with an adjoint ODE. Solving such an ODE is however expensive, especially in cases of foundation models where accurate adjoint ODE solving requires smaller time steps in ODE solver.

**Optimal control and machine learning.** Optimal control (OC) is concerned with steering systems subject to random fluctuations so as to minimize a given cost. OC methods, including the subset of stochastic optimal control (SOC), have been employed in a broad range of areas, including the simulation of rare events in molecular dynamics [24, 25, 30, 80], modeling in finance and economics [21, 47], stochastic filtering and data assimilation [43, 54], tackling nonconvex optimization problems [10], management of power systems and energy markets [3, 50], robotic control [23, 60], analysis of mean-field games [9], optimal transport theory [63, 64], the study of backward stochastic differential equations [8], and large-deviation principles [20]. Relevant and recent applications of SOC in machine learning include performing reward fine-tuning of diffusion and flow matching models [15, 41, 61, 77] and conditional sampling of diffusion processes [13, 48, 66]. There is also a growing literature on SOC methods for sampling from unnormalized densities, as an alternative to MCMC methods [2, 5, 7, 11, 26, 62, 79]. Additionally, there have been a string of methodological works exploring deep learning loss functions for SOC [14, 16, 44].

### 3 Preliminaries

#### 3.1 Flow Matching Models

Flow matching models are a class of generative models that are trained to generate samples sequentially following some reference paths. Specifically, one generates samples  $x_1$  with a flow matching model by simulating a trajectory from an initial state  $x_0 \sim \mathcal{N}(0, I)$  with the dynamics  $\dot{x} = v_\theta(x, t)$ . The velocity field  $v_\theta(x, t)$  is learned with the flow matching loss:

$$\mathcal{L}(\theta) = \mathbb{E}_{x_1 \sim \mathcal{D}, t \sim \text{Uniform}[0, 1]} \|v_\theta(x_t, t) - u(x_t|x_1)\|^2 \quad (1)$$

where  $u(x_t|x_1)$  is a reference conditional velocity field. A popular choice is  $u(x_t|x_1) = (1 - t)x_t + tx_1$ , adopted by a variant called rectified flows [38].

The probability flow  $p(x, t)$  corresponding to the velocity field  $v(x, t)$  satisfies the so-called continuity equation:

$$\frac{\partial}{\partial t} p(x, t) + \nabla \cdot (p(x, t)v(x, t)) = 0. \quad (2)$$

Since a flow matching model is modeled as an ordinary differential equation (ODE), one may use any ODE solver to generate samples, including the simplest Euler sampler:  $x_{t+\Delta t} = x_t + \Delta t v(x_t, t)$  where  $\Delta t$  is the step size.

#### 3.2 Optimal Control

In optimal control theory, we aim to find an optimal control signal  $u^*(x, t)$  under a known time-varying dynamics  $\dot{x} = f(x, u^*, t)$  with the initial state  $x(0) = x_0$  such that a cost functional is minimized. The standard control objective is defined as

$$\arg \min_u J[u], \quad J[u] \triangleq \int_0^T L(x(t), u(t), t) dt + \Phi(x(T)), \quad (3)$$

where  $\Phi(\cdot)$  is the terminal cost and  $L(x, u, t)$  is the running cost.

The Hamilton-Jacobi-Bellman (HJB) equation is indeed the continuous counterpart of the Bellman equation in the discrete domain, where the transitions are defined by a graph instead of a dynamical system  $\dot{x} = v(x, t)$ . The solution of this optimal control problem satisfies the HJB equation:

$$-\frac{\partial V}{\partial t}(x, t) = \min_u [L(x, u, t) + \nabla V(x, t)^\top f(x, u, t)], \quad (4)$$

---

**Algorithm 1** VGG-Flow algorithm

---

**Require:** Pretrained flow matching model  $v_{\text{base}}(x, t)$ , given reward function  $r(x_1)$ , value gradient model  $g_\phi(x, t)$  parameterized by Equation 16.

**Ensure:** Finetuned flow matching model  $v_\theta(x, t)$

Initialize flow matching model  $v_\theta \leftarrow v_{\text{base}}$ .

**while** Stopping criterion not met **do**

Collect trajectories  $\{x_t\}_t$  via solving the current neural ODE  $\dot{x}_t = v_\theta(x_t, t)$ .

Update value gradient model  $g_\phi(x, t)$  with loss  $\mathcal{L}_{\text{consistency}}(\phi) + \alpha \mathcal{L}_{\text{boundary}}(\phi)$ .

Update velocity field model  $v_\theta(x, t)$  with loss  $\mathcal{L}_{\text{matching}}(\theta)$ .

**end while**

---

in which  $V(x, t)$  is the value function or the minimal cost-to-go from state  $x$  at time  $t$ :

$$V(x, t) = \min_u \left\{ \int_t^T L(x(s), u(s), s) \, ds + \Phi(x(T)) \mid x(t) = x \right\}. \quad (5)$$

## 4 Method

### 4.1 Gradient Matching for Aligning Flow Matching Models

Given a reward function  $r(\cdot)$ , we want to train our generative model to achieve high reward scores for the generated samples and also to preserve the prior distribution of the pretrained model. We can then define the following formulation for training a flow matching model  $dx_t = v_\theta(x_t, t) dt$ ,  $t \in [0, 1]$  that transforms a standard Gaussian distribution  $p_0 = \mathcal{N}(0, I)$  to a target distribution  $p_\theta$ .

We start with the following optimal control formulation for flow matching alignment problems

$$\min_{\theta} \mathbb{E}_{x_0 \sim p_0, \dot{x}_t = v_\theta(x_t, t)} \left[ \frac{\lambda}{2} \int_0^1 \|\tilde{v}_\theta(x_t, t)\|^2 dt - r(x_1) \right], \quad v_\theta(x_t, t) \triangleq v_{\text{base}}(x_t, t) + \tilde{v}_\theta(x_t, t), \quad (6)$$

where  $\tilde{v}_\theta = v_\theta - v_{\text{base}}$  is the residual velocity field and  $\lambda$  is the reward multiplier/temperature. With such relationship, we interchangeably use  $v_\theta$  and  $\tilde{v}_\theta$  to denote the parameterized flow matching model. This program can be interpreted as a control problem where we want to find a deterministic control parameterized by  $\tilde{v}$  that minimizes the expected cost of the system, which is defined as the sum of the terminal reward function  $r(x_1)$  and the running cost (i.e., regularization term)  $\frac{\lambda}{2} \int_0^1 \|\tilde{v}(x_t, t)\|^2 dt$ .

**Remark 1** (Connection to Equation 3). In our reward funting setup, the control  $u$  from the general optimal control formulation in Section 3.2 is denoted as  $v$  and the terminal time  $T$  is set to 1. Our dynamics here is  $\dot{x} = f(x, v, t) \triangleq v(x, t)$ , the running cost is defined as in  $L(x, v, t) \triangleq \frac{\lambda}{2} \|v(x, t) - v_{\text{base}}(x, t)\|^2$ , the terminal cost is  $\Phi(x(T)) \triangleq -r(x_1)$ ,  $T = 1$ , and the value function is  $V(x, t) \triangleq \min_v \int_t^1 \frac{\lambda}{2} \|v(x_s, s) - v_{\text{base}}(x_s, s)\|^2 ds - r(x_1)$  for a dynamic starting with  $x_t = x$ .

The corresponding HJB equation for the above objective is:

$$\partial_t V(x, t) + \min_{\tilde{v}} \left[ \nabla V(x, t) \cdot (v_{\text{base}}(x, t) + \tilde{v}(x, t)) + \frac{\lambda}{2} \|\tilde{v}(x, t)\|^2 \right] = 0, \quad (7)$$

With the first-order condition of the minimization program of  $\tilde{v}$  in the HJB equation, we obtain the following optimal control law:

$$(\text{Value Gradient Matching}) \quad \tilde{v}^*(x, t) = -\frac{1}{\lambda} \nabla V(x, t). \quad (8)$$

This optimal control law can be interpreted as a gradient matching criterion, where the residual velocity field  $\tilde{v}^*(x, t)$  should match value function gradient  $\nabla V(x, t)$  at state  $x$  at time  $t$ . If an oracle value function is provided, then alignment of the flow matching model can simply be achieved through a “gradient matching” loss between the residual velocity field and the oracle value gradient.

### 4.2 Solving HJB Equation with Value Gradient Guidance

With the optimal control law (Equation 8), the HJB equation reduces to

$$(\text{Value Consistency}) \quad \frac{\partial}{\partial t} V(x, t) = \frac{1}{2\lambda} \|\nabla V(x, t)\|^2 - \nabla V(x, t) \cdot v_{\text{base}}(x, t). \quad (9)$$

While we could in principle solve this equation by parametrizing  $V(x, t)$  with a neural net, it is better that we directly parametrize  $\nabla V(x, t)$  since it is considerably more effective and robust, as shown in diffusion model and energy-based model literature [55, 59]. With  $g_\phi(x, t) \triangleq \nabla V_\phi(x, t)$ , we may write the equivalent gradient-version HJB equation by taking gradients on both sides:

$$\frac{\partial}{\partial t} g_\phi = \frac{1}{\lambda} [\nabla g_\phi]^T g_\phi - [\nabla g_\phi]^T v_{\text{base}}(x, t) - [\nabla v_{\text{base}}(x, t)]^T g_\phi \quad (10)$$

$$= [\nabla g_\phi]^T \left( \frac{1}{\lambda} g_\phi - v_{\text{base}}(x, t) \right) - [\nabla v_{\text{base}}(x, t)]^T g_\phi \quad (11)$$

with the boundary condition  $g_\phi(x, 1) = -\nabla r(x)$  at terminal time.

With  $\beta = 1/\lambda$ , we write the following set of losses to update value function gradient model  $g_\phi(x, t)$ :

$$\mathcal{L}_{\text{consistency}}(\phi) = \mathbb{E}_{x_0 \sim \mathcal{N}(0, I), \dot{x}_t = v(x_t, t)} \left\| \frac{\partial}{\partial t} g_\phi + [\nabla g_\phi]^T (v_{\text{base}} - \beta g_\phi) + [\nabla v_{\text{base}}]^T g_\phi \right\|^2, \quad (12)$$

$$\mathcal{L}_{\text{boundary}}(\phi) = \mathbb{E}_{x_0 \sim \mathcal{N}(0, I), \dot{x}_t = v(x_t, t)} \|g_\phi(x_1, 1) + \nabla r(x_1)\|^2. \quad (13)$$

In practice, this consistency loss based on Equation 9 can be efficiently implemented with finite difference methods and Jacobian-vector products in PyTorch.

Furthermore, with a decently learned value gradient model that captures the optimal control, we regress our residual velocity field to it to learn our flow matching model  $v_\theta$ :

$$\mathcal{L}_{\text{matching}}(\theta) = \mathbb{E}_{x_0 \sim \mathcal{N}(0, I), \dot{x}_t = v(x_t, t)} \|\tilde{v}_\theta(x_t, t) + \beta g_\phi(x_t, t)\|^2. \quad (14)$$

Notice that we only use this objective to update  $\theta$ , not  $\phi$ . This makes the total training objective  $\mathcal{L}_{\text{total}}$

$$\mathcal{L}_{\text{total}}(\theta, \phi) = \mathcal{L}_{\text{matching}}(\theta) + \mathcal{L}_{\text{consistency}}(\phi) + \alpha \mathcal{L}_{\text{boundary}}(\phi), \quad (15)$$

where  $\alpha$  is a coefficient to tune the importance of boundary condition loss in the training.

**Efficient parametrization of value function gradients.** Solving the consistency equation for the value function gradient model  $g_\phi(x, t)$  can take a non-trivial amount of time. For flow matching models, especially variants like rectified flows, the value of  $x_t$  can be well approximated by the reward of the single-Euler-step prediction  $\hat{x}_1 = \hat{x}_1(x_t, t) \triangleq x_t + (1-t) \cdot \text{stop-gradient}(v(x_t, t))$ , in which the stop gradient operation is inspired by DreamFusion [49] and helps improve results. Therefore, we propose to parametrize  $g_\phi(x, t)$  with

$$g_\phi(x, t) \triangleq -\eta_t \cdot \text{stop-gradient}(\nabla_{x_t} r(\hat{x}_1(x_t, t))) + \nu_\phi(x_t, t) \quad (16)$$

where  $\eta_t$  is a positive weighting scalar and  $\nu_\phi(x_t, t)$  is a learnable error correction term which is supposed to be close to zero when  $t \rightarrow 1$ .

**Putting everything together.** At each training step, our **VGG-Flow** algorithm simulates trajectories  $\hat{x}_t = v_\theta(x_t, t)$  with an ODE solver, and use the obtained trajectory data to update the value gradient model  $g_\phi$  and velocity field model  $v_\theta$ . We summarize the proposed method in Algorithm 1.

## 5 Experiments

### 5.1 Experiment Settings

**Base model.** Throughout the paper, we consider the popular open-sourced text-conditioned flow matching model Stable Diffusion 3 [17] and a 20-step Euler solver to sample trajectories.

**Reward model.** We consider three reward models learned from large-scale human preference datasets: Aesthetic Score [33], Human Preference Score (HPSv2) [67, 68], and PickScore [32].

**Prompt dataset.** For Aesthetic Score, we use a set of simple animal prompts used in the original DDPO paper [6]; for HPSv2, we consider photo+painting prompts from the human preference dataset (HPDv2) [67]; for PickScore, we use the prompt set in the Pic-a-Pick dataset [32].

**Metrics.** We follow previous works [15, 41] and compute the variance of latent features (both DreamSim features [22] and CLIP features [29, 53]) extracted from a batch of generated images (we use a batch of size 16) to measure sample diversity. To measure the degree of prior preservation, we

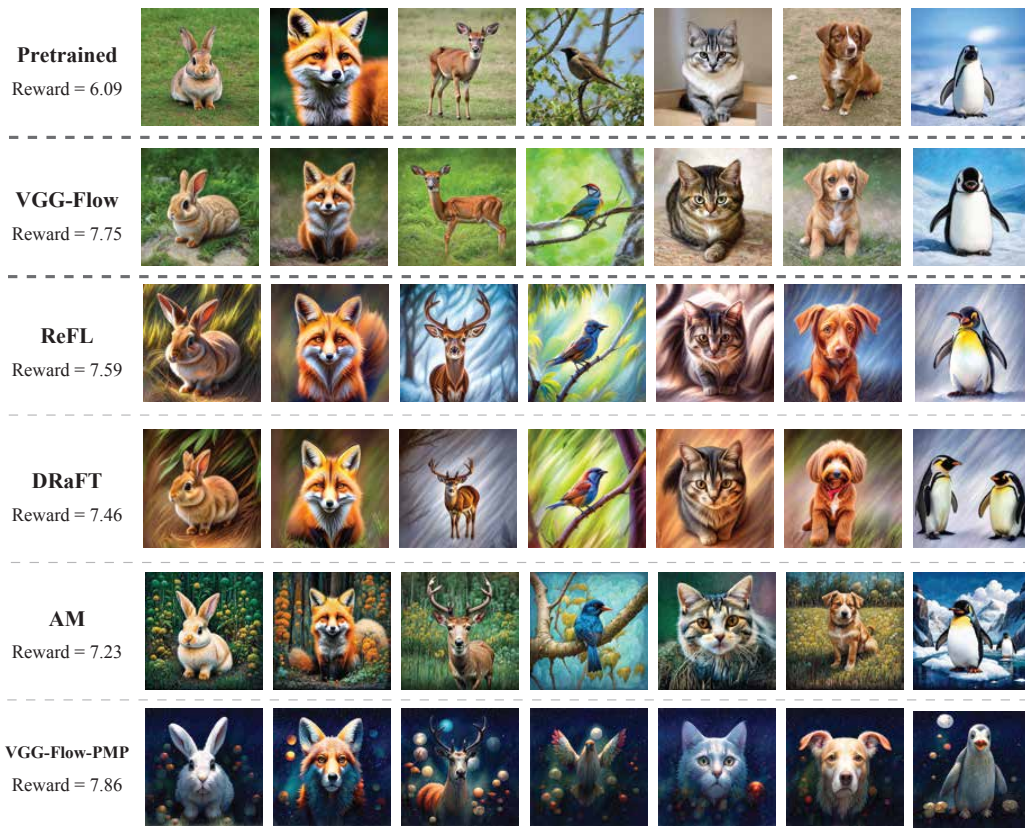


Figure 2: Comparison on samples generated by models finetuned with different methods. All models are finetuned with a maximum of 400 update steps and for fair qualitative comparison we pick the model checkpoints that yield the best rewards without significant collapsing in image semantics (as ReFL and DRaFT are more prone to overfitting). For each set of images produced by each method, we display their average reward on the left.

| Method          | Aesthetic Score          |                                                     |                         | HPSv2                                |                                                     |                         | PickScore                |                                                     |                         |
|-----------------|--------------------------|-----------------------------------------------------|-------------------------|--------------------------------------|-----------------------------------------------------|-------------------------|--------------------------|-----------------------------------------------------|-------------------------|
|                 | Reward<br>( $\uparrow$ ) | Diversity<br>DreamSim<br>( $\uparrow$ , $10^{-2}$ ) | FID<br>( $\downarrow$ ) | Reward<br>( $\uparrow$ , $10^{-1}$ ) | Diversity<br>DreamSim<br>( $\uparrow$ , $10^{-2}$ ) | FID<br>( $\downarrow$ ) | Reward<br>( $\uparrow$ ) | Diversity<br>DreamSim<br>( $\uparrow$ , $10^{-2}$ ) | FID<br>( $\downarrow$ ) |
| Base (SD3)      | $5.99 \pm 0.01$          | $23.12 \pm 0.15$                                    | $212 \pm 5$             | $2.80 \pm 0.05$                      | $22.42 \pm 0.29$                                    | $558 \pm 2$             | $21.81 \pm 0.02$         | $27.81 \pm 0.10$                                    | $589 \pm 5$             |
| ReFL            | $10.00 \pm 0.31$         | $5.59 \pm 1.33$                                     | $1338 \pm 191$          | $3.87 \pm 0.01$                      | $14.08 \pm 0.55$                                    | $1195 \pm 21$           | $23.19 \pm 0.05$         | $17.71 \pm 0.77$                                    | $997 \pm 15$            |
| DRaFT           | $9.54 \pm 0.14$          | $7.78 \pm 0.60$                                     | $1518 \pm 111$          | $3.76 \pm 0.02$                      | $15.05 \pm 1.23$                                    | $1177 \pm 29$           | $23.00 \pm 0.08$         | $19.03 \pm 0.92$                                    | $968 \pm 26$            |
| AM              | $6.87 \pm 0.17$          | $22.34 \pm 2.39$                                    | $465 \pm 93$            | $3.59 \pm 0.03$                      | $14.11 \pm 0.26$                                    | $1246 \pm 24$           | $22.78 \pm 0.04$         | $19.70 \pm 1.08$                                    | $1033 \pm 59$           |
| VGG-Flow-PMP    | $7.52 \pm 0.16$          | $11.17 \pm 1.67$                                    | $1170 \pm 213$          | $3.57 \pm 0.05$                      | $15.36 \pm 0.06$                                    | $1195 \pm 5$            | $22.10 \pm 3.61$         | $16.78 \pm 1.13$                                    | $1148 \pm 47$           |
| <b>VGG-Flow</b> | $8.24 \pm 0.07$          | $22.12 \pm 0.17$                                    | <b>375</b> $\pm 25$     | $3.86 \pm 0.03$                      | <b>18.40</b> $\pm 1.12$                             | <b>1161</b> $\pm 19$    | <b>23.21</b> $\pm 0.05$  | <b>20.93</b> $\pm 0.98$                             | $1058 \pm 31$           |

Table 1: Comparison between the models finetuned with our proposed method **VGG-Flow** and the baselines. All models are finetuned with 400 update steps. Since there are inherent trade-offs between reward and other metrics, the values at the final update step do not fully capture the differences between methods. We therefore refer readers to the Pareto front comparisons in Figs. 6, 8, and 10 for a more comprehensive evaluation.

compute the per-prompt FID score between image sets generated by the finetuned model and the base model and use the average per-prompt FID score as the prior preservation metric.

**Baselines.** We consider two types of baselines: the generic ones that rely on direct reward maximization with truncated computation graphs, including ReFL [70] and DRaFT [12], and the adjoint-based Adjoint Matching method [15]. Specifically, ReFL samples a trajectory and take the truncated computational graph  $\text{stop-gradient}(x_t) \rightarrow x_{t+\Delta t}$  with a randomly sampled time step  $t$ . The model is finetuned to maximize the reward  $r(\hat{x}_1(x_t, t))$  of the single-step prediction  $\hat{x}_1(x_t, t)$ . Similarly, DRaFT truncate the full inference computational graph at some random time step  $1 - K\Delta t$  and perform backpropagation on this length  $K$  graph with the differentiable reward signal  $r(x_1)$ . For optimal-control-based baselines, we consider adjoint matching [15], which finetunes flow matching model under stochastic settings (details in Appendix A.1). Additionally, we consider a variant

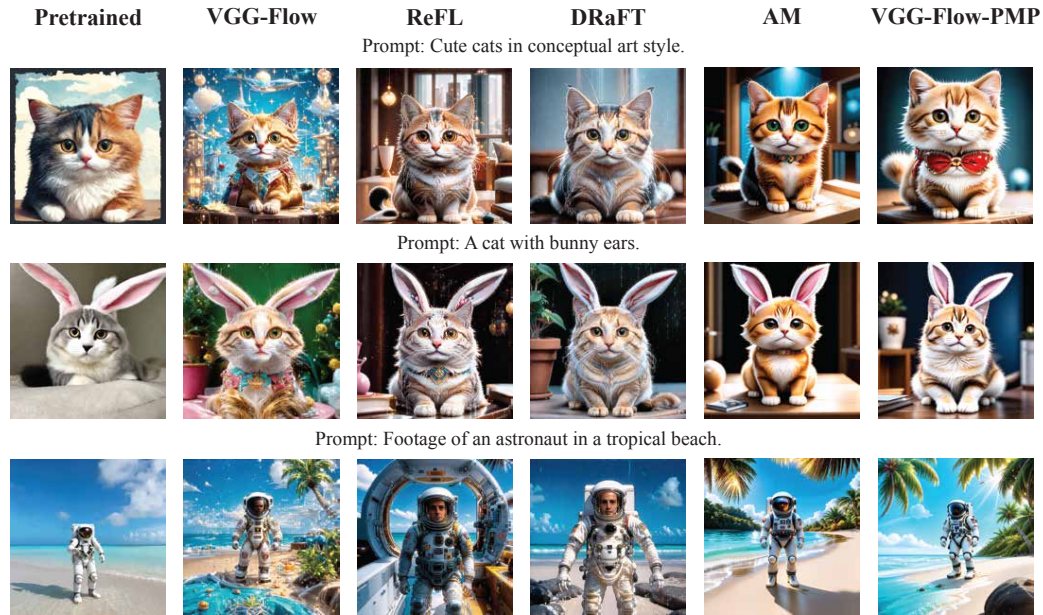


Figure 3: Qualitative results on HPSv2.

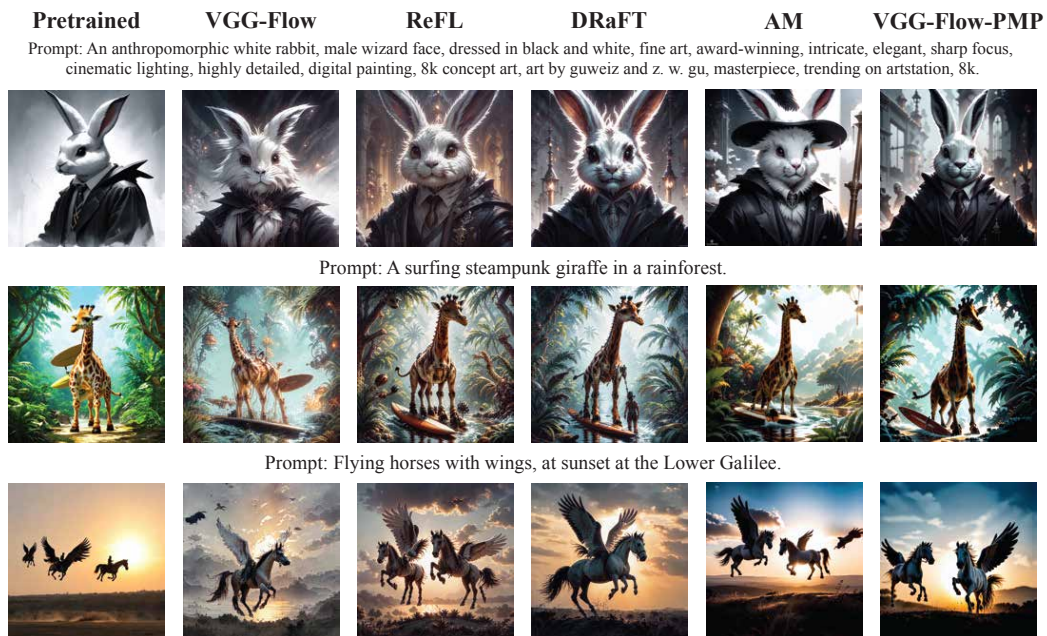


Figure 4: Qualitative results on PickScore.

of VGG-Flow which, derived with the Pontryagin’s Maximum Principle [35, PMP], follow an adjoint-matching-like algorithm but with slightly different evolution equations (Appendix A.1).

**Experiment settings and implementation details.** We use LoRA parametrization [31] on attention layers of the finetuned flow matching model with a LoRA rank of 8. The value gradient network in **VGG-Flow** is set to be a scaled-down version of the Stable Diffusion-v1.5 U-Net, initialized with tiny weights in the final output layers. Since Stable Diffusion 3 is a latent flow matching model, the reward for a sampled image  $x_1$  is  $r(\text{decode}(x_1))$  where  $\text{decode}(\cdot)$  is the VAE decoder of the Stable Diffusion 3 model. This decoder is always frozen and we only finetune the LoRA parameters. For all experiments, we use 3 random seeds. For Aesthetic Score, HPSv2 and PickScore experiments, we set the default inverse temperature terms  $\beta = 1/\lambda$  to 5e4, 3e7 and 5e5, respectively;

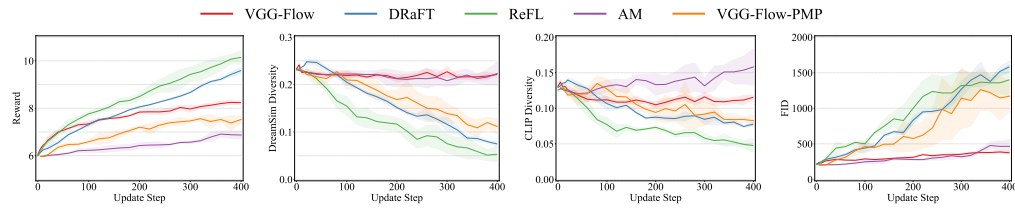


Figure 5: Convergence curves of different metrics for different methods throughout the finetuning process on Aesthetic Score. Finetuning with our proposed **VGG-Flow** converges faster than the non-gradient-informed methods and with better diversity- and prior-preserving capability.

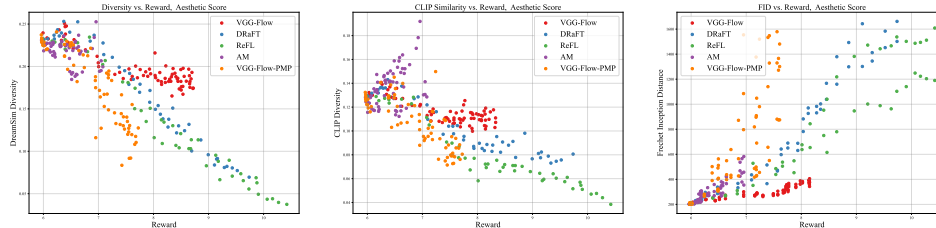


Figure 6: Trade-offs between reward, diversity preservation and prior preservation for different reward finetuning methods on Aesthetic Score. Dots represent the evaluation results of models checkpoint saved after every 5 iterations of finetuning, where ones with greater reward, greater diversity scores and smaller FID scores are considered better.

for all ablation studies with Aesthetic Score, we set  $\beta = 1e4$ . We set the boundary loss coefficient  $\alpha$  to 10000 for all experiments. We use an effective batch size of 32 for all methods, and only use on-policy samples without any replay buffer. For **VGG-Flow**, we sub-sample the collected trajectories by uniformly splitting each into 5 bins and then taking one transition out of each; we also clip the computed reward gradients in Eqn. 16 at the 80th percentile of the gradient norms of the corresponding training batches. For ReFL, we sample the truncation time step between 15 and 20. We follow prior work [51, 70] use  $\text{ReLU}(r(x))$  as the reward model for both ReFL and DRaFT for stable training. For experiments on adjoint matching (AM), we use 4 GPUs for each run and set the inverse temperature  $\beta$  to  $5 \times 10^3$ ,  $3 \times 10^5$ ,  $1 \times 10^4$  for Aesthetic, HPS, PickScore, respectively, based on the same hyperparameter choosing protocol from [15]. All AM experiments use float32 computation and drop samples that result in too large gradient norms.

## 5.2 Results

**General experiments.** We show in Figure 2 the visualization of samples produced by both the base model and the models finetuned on Aesthetic Score. As ReFL and DRaFT finetuning can easily lead to reward hacking, we perform early stopping and pick the model checkpoints without major loss of image semantics and with the highest reward values possible. Compared to ReFL and DRaFT, our proposed **VGG-Flow** produces higher rewards with better preservation of semantic prior from the base Stable Diffusion 3 model. Our method also works well on other reward models, including HPSv2 and PickScore, as shown in Figure 3 and 4. To further illustrate the advantage of our method and the tradeoffs between reward convergence and other metrics, we present quantitative results in Table 1, Figure 5 and Figure 6. Specifically, we observe that **VGG-Flow** achieves comparable speed with respect to direct reward maximization methods (ReFL and DRaFT) but better maintains sample diversity (measured by DreamSim and CLIP diversity score) and base model prior (measure by FID score). We observe that ReFL and DRaFT on Aesthetic Score easily achieves reward values close to 9, of which value typically indicates complete forgetting of base model prior [12]. Furthermore, the Pareto front figures of reward values, diversity scores and FID scores show that our **VGG-Flow** achieves better diversity/FID scores at the same level of reward values – demonstrating that our **VGG-Flow** outperforms the baselines even if we perform early stopping.

**Effect of reward temperature.** We conduct an ablation study on Aesthetic Score with different  $\beta \in \{5000, 10000, 50000\}$  and show in Figure 11 the effect of reward temperature. We observe that for all reward temperatures, the reward smoothly increases at a speed proportional to  $\beta$ . The sample diversity and prior preservation capability are generally worse with greater  $\beta$  values. Greater  $\beta$  values also leads to worse trade-off on FID vs. reward but no significant difference in diversity vs. reward.

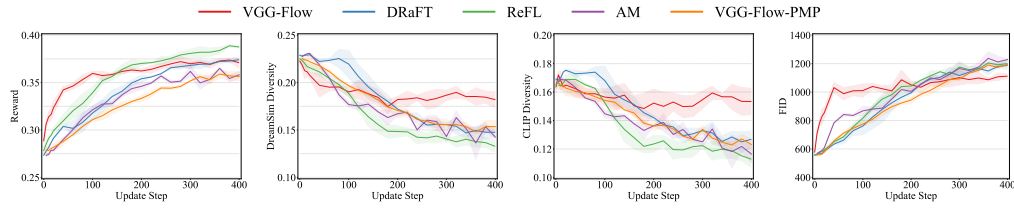


Figure 7: Convergence of different metrics for different methods throughout the finetuning process on HPSv2.

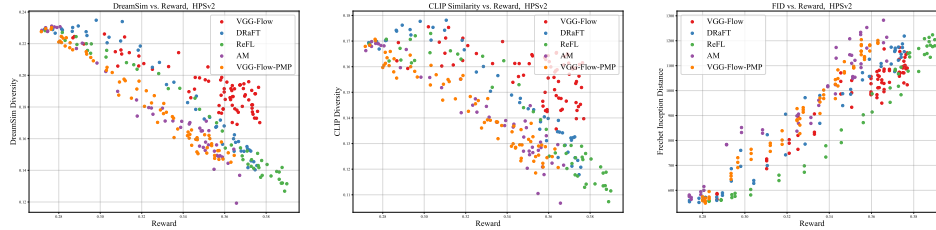


Figure 8: Trade-offs between metrics for different reward finetuning methods (experiments on HPSv2).

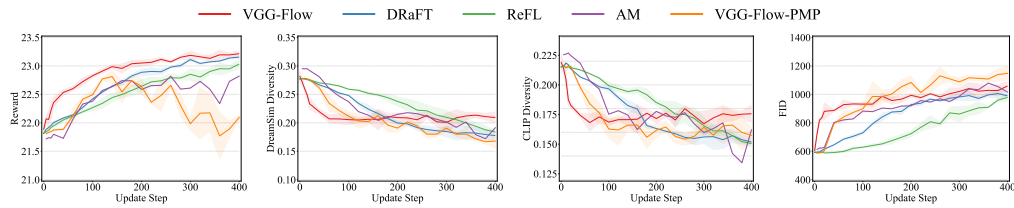


Figure 9: Convergence of different metrics for different methods throughout the finetuning process on PickScore.

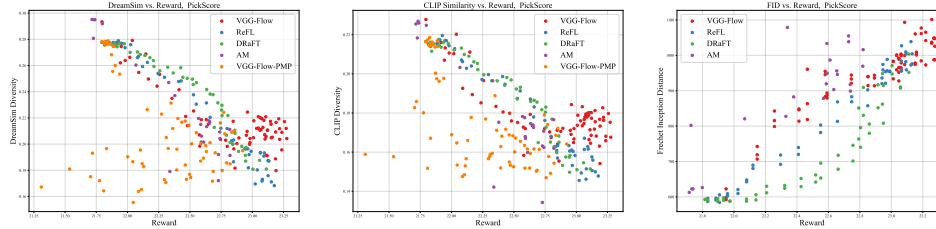


Figure 10: Trade-offs between metrics for different reward finetuning methods (experiments on PickScore).

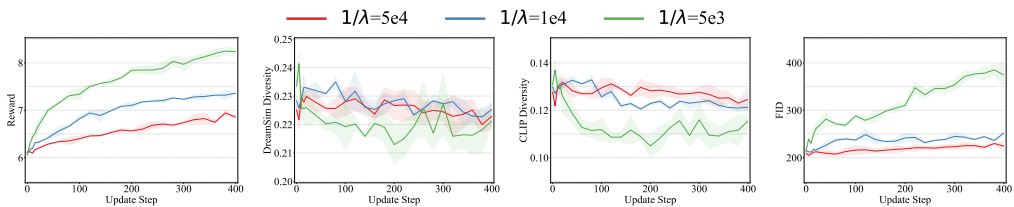


Figure 11: Evolution of metrics for different reward temperature (experiments on Aesthetic Score). Higher temperature  $\beta$  leads to faster convergence but with less diversity and less prior preservation.

**Effect of  $\eta$  schedule.** We observe that by setting  $\eta_t = t$ , the convergence speed is faster than our default choice of quadratic schedule  $\eta_t = t^2$  (Fig. 13 and 14). Both schedule yields nearly identical trade-offs between metrics, which not only suggest that relative independence of the final performance of trained models on the choice of the parameterization of the learned value gradient model.

**Effect of transition subsampling rate.** We also investigate if a lower subsampling rate, with which the variance of estimated parameter gradients are lower, leads to better performance. In Fig. 15 and 16, we observe that there is no significant difference between subsampling rates of 25% and 50%.

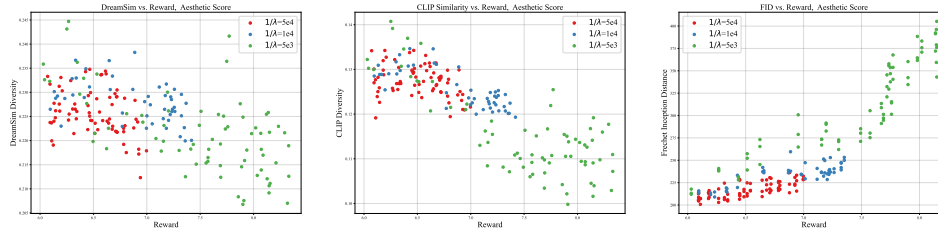


Figure 12: Trade-offs between metrics for different reward temperatures (experiments on Aesthetic Score).

## 6 Discussions

**HJB vs. PMP.** Another way to characterize the optimal control is through Pontryagin’s Maximum Principle [35, PMP]. With the control formulation in Section 3.2, we can define the Hamiltonian  $H(x, u, t, a) \triangleq L(x, u) + a f(x, u, t)$  and the adjoint state (also known as co-state)  $a(t)$  that satisfies

$$\dot{a}(t) = -\nabla_x H(x(t), u(t)) \quad \text{s.t.} \quad a(T) = \nabla \Phi(x(T)), \quad \dot{x} = f(x, u, t). \quad (17)$$

Essentially, the PMP states that the optimal control  $u^*$  satisfies  $u^*(t) = \arg \max_u H(x^*(t), u, t, a^*(t))$ , where  $x^*$  and  $a^*$  are the solutions to equation 17 for the optimal control  $u^*$ . With the cost functional and dynamics in our setting (Equation 3), we have  $\dot{a} = -2\nabla[\|v - v_{\text{base}}\|^2 + v^T a]$  and  $\tilde{v}_\theta(x, t) + a(t) = 0$ . By comparing it with Equation 8, we have  $a(t) = \nabla V(x_t, t)$  for any trajectory  $x_{t \in [0, 1]}$  from the dynamics  $\dot{x} = v(x, t)$ . While mathematically equivalent, solving this adjoint equation not only requires the expensive (and often unaffordable) computation of  $\nabla H$  multiple times per trajectory but also is prone to accumulated errors in solving the adjoint equation. In contrast, our HJB-based method is more efficient and robust because it 1) solves for  $\nabla V$  in an amortized approach with the forward-looking parametrization of  $\nabla V$  and 2) allows for efficient transition subsampling. See Section A.1 for more details.

**Connection with adjoint matching.** Adjoint matching [15] reaches a matching objective similar to the one in the above PMP discussion. However, their framework is based on stochastic optimal control instead of deterministic optimal control. While the stochastic setting allows them to sample from a simple tilted distribution  $p_{\text{base}}(x) \exp r(x)$ , their algorithm requires modifying the flow matching ODE into an SDE with equal marginals. Our proposed algorithm fine-tunes directly the ODE dynamics with deterministic control. Computationally, adjoint matching relies on solving the adjoint ODE, which requires taking one backward pass through the model for each time step. VGG-Flow relies on the value function gradient model of current step and is thus more computationally tractable.

**Limitations.** Since our method relies on a relaxed objective, the finetuned distribution approximates the ideal KL-regularized distribution well only in the case of a relatively small  $\lambda$ . Implementation-wise, we use finite differences to approximate the first-order gradients of the value gradient estimator and disable all second-order gradients during backpropagation, which inevitably leads to biases. Furthermore, our method suffers from the same challenge of exploration-exploitation tradeoff as in common reinforcement learning settings. As we aim for fast convergence within limited computational resources, our hyperparameter settings are in theory more prone to mode collapse. Furthermore, we do not explore better architecture designs, which is shown important for efficient and stable finetuning of foundation models [37, 52].

## 7 Conclusion

We propose **VGG-Flow**, an efficient and robust method for performing alignment of flow matching models with some reward model. By leveraging a relaxed objective and the HJB equation in optimal control theory, we derive a gradient matching method that allows us to finetune flow matching models with probabilistic guarantees and memory-efficient computation. We empirically demonstrate the effectiveness of our **VGG-Flow** on Stable Diffusion 3, a popular large-scale text-conditioned flow matching model, with common image-input reward functions. As for broader impact, we point out that improving the alignment of flow matching models enhances their ability to reliably follow human instructions, contributing to the development of more trustworthy and controllable AI systems that can better serve societal needs in education, healthcare, and decision support.

## References

- [1] Michael S Albergo, Nicholas M Boffi, and Eric Vanden-Eijnden. Stochastic interpolants: A unifying framework for flows and diffusions. *arXiv preprint arXiv:2303.08797*, 2023. 1
- [2] Michael S Albergo and Eric Vanden-Eijnden. Nets: A non-equilibrium transport sampler. *arXiv preprint arXiv:2410.02711*, 2024. 3
- [3] Andrea Belloni, Luigi Piroddi, and Maria Prandini. A stochastic optimal control solution to the energy management of a microgrid with storage and renewables. In *American Control Conference (ACC)*, 2016. 3
- [4] Yoshua Bengio, Salem Lahlou, Tristan Deleu, Edward J Hu, Mo Tiwari, and Emmanuel Bengio. GFlowNet foundations. *Journal of Machine Learning Research*, 2023. 2
- [5] Julius Berner, Lorenz Richter, and Karen Ullrich. An optimal control perspective on diffusion-based generative modeling. *Transactions on Machine Learning Research*, 2024. 3
- [6] Kevin Black, Michael Janner, Yilun Du, Ilya Kostrikov, and Sergey Levine. Training diffusion models with reinforcement learning. In *ICLR*, 2024. 1, 5
- [7] Denis Blessing, Julius Berner, Lorenz Richter, and Gerhard Neumann. Underdamped diffusion bridges with applications to sampling. In *ICLR*, 2025. 3
- [8] René Carmona. *Lectures on BSDEs, stochastic control, and stochastic differential games with financial applications*, volume 1. SIAM, 2016. 3
- [9] René Carmona, François Delarue, et al. *Probabilistic Theory of Mean Field Games with Applications I-II*. Springer, 2018. 3
- [10] Pratik Chaudhari, Adam Oberman, Stanley Osher, Stefano Soatto, and Guillaume Carlier. Deep relaxation: partial differential equations for optimizing deep neural networks. *Research in the Mathematical Sciences*, 2018. 3
- [11] Junhua Chen, Lorenz Richter, Julius Berner, Denis Blessing, Gerhard Neumann, and Anima Anandkumar. Sequential controlled langevin diffusions. In *ICLR*, 2025. 3
- [12] Kevin Clark, Paul Vicol, Kevin Swersky, and David J. Fleet. Directly fine-tuning diffusion models on differentiable rewards. In *ICLR*, 2024. 3, 6, 8
- [13] Alexander Denker, Francisco Vargas, Shreyas Padhy, Kieran Didi, Simon Mathis, Vincent Dutordoir, Riccardo Barbano, Emile Mathieu, Urszula Julia Komorowska, and Pietro Lio. Deft: Efficient finetuning of conditional diffusion models by learning the generalised  $h$ -transform. *arXiv preprint arXiv:2406.01781*, 2024. 3
- [14] Carles Domingo-Enrich. A taxonomy of loss functions for stochastic optimal control. *arXiv preprint arXiv:2410.00345*, 2024. 3
- [15] Carles Domingo-Enrich, Michal Drozdal, Brian Karrer, and Ricky T. Q. Chen. Adjoint matching: Fine-tuning flow and diffusion generative models with memoryless stochastic optimal control. In *ICLR*, 2025. 3, 5, 6, 8, 10, 17, 18, 19
- [16] Carles Domingo-Enrich, Jiequn Han, Brandon Amos, Joan Bruna, and Ricky T. Q. Chen. Stochastic optimal control matching. In *NeurIPS*, 2024. 3
- [17] Patrick Esser, Sumith Kulal, Andreas Blattmann, Rahim Entezari, Jonas Müller, Harry Saini, Yam Levi, Dominik Lorenz, Axel Sauer, Frederic Boesel, Dustin Podell, Tim Dockhorn, Zion English, Kyle Lacey, Alex Goodwin, Yannik Marek, and Robin Rombach. Scaling rectified flow transformers for high-resolution image synthesis. In *ICML*, 2024. 1, 2, 5
- [18] Jiajun Fan, Shuaike Shen, Chaoran Cheng, Yuxin Chen, Chumeng Liang, and Ge Liu. Online reward-weighted fine-tuning of flow matching with wasserstein regularization. In *ICLR*, 2025. 2
- [19] Ying Fan, Olivia Watkins, Yuqing Du, Hao Liu, Moonkyung Ryu, Craig Boutilier, Pieter Abbeel, Mohammad Ghavamzadeh, Kangwook Lee, and Kimin Lee. Dpok: Reinforcement learning for fine-tuning text-to-image diffusion models. In *NeurIPS*, 2023. 1, 2

- [20] Jin Feng and Thomas G Kurtz. *Large deviations for stochastic processes*. Number 131. American Mathematical Soc., 2006. 3
- [21] Wendell H Fleming and Jerome L Stein. Stochastic optimal control, international finance and debt. *Journal of Banking & Finance*, 28(5):979–996, 2004. 3
- [22] Stephanie Fu, Netanel Y Tamir, Shobhita Sundaram, Lucy Chai, Richard Zhang, Tali Dekel, and Phillip Isola. Dreamsim: learning new dimensions of human visual similarity using synthetic data. In *NeurIPS*, 2023. 5
- [23] Alex Gorodetsky, Sertac Karaman, and Youssef Marzouk. High-dimensional stochastic optimal control using continuous tensor decompositions. *International Journal of Robotics Research*, 2018. 3
- [24] Carsten Hartmann, Ralf Banisch, Marco Sarich, Tomasz Badowski, and Christof Schütte. Characterization of rare events in molecular dynamics. *Entropy*, 16(1):350–376, 2014. 3
- [25] Carsten Hartmann and Christof Schütte. Efficient rare event simulation by optimal nonequilibrium forcing. *Journal of Statistical Mechanics: Theory and Experiment*, 2012(11):P11004, 2012. 3
- [26] Aaron Havens, Benjamin Kurt Miller, Bing Yan, Carles Domingo-Enrich, Anuroop Sriram, Brandon Wood, Daniel Levine, Bin Hu, Brandon Amos, Brian Karrer, Xiang Fu, Guan-Hong Liu, and Ricky T. Q. Chen. Adjoint sampling: Highly scalable diffusion samplers via adjoint matching. *arXiv preprint arXiv:2504.11713*, 2025. 3
- [27] Jiajun He, José Miguel Hernández-Lobato, Yuanqi Du, and Francisco Vargas. Rne: plug-and-play diffusion inference-time control and energy-based training, 2025. 2
- [28] Jiajun He, Paul Jeha, Peter Potapchik, Leo Zhang, José Miguel Hernández-Lobato, Yuanqi Du, Saifuddin Syed, and Francisco Vargas. Crepe: Controlling diffusion with replica exchange, 2025. 2
- [29] Jack Hessel, Ari Holtzman, Maxwell Forbes, Ronan Le Bras, and Yejin Choi. Clipscore: A reference-free evaluation metric for image captioning. In *EMNLP*, 2021. 5
- [30] Lars Holdijk, Yuanqi Du, Ferry Hooft, Priyank Jaini, Bernd Ensing, and Max Welling. Stochastic optimal control for collective variable free sampling of molecular transition paths. In *NeurIPS*, 2023. 3
- [31] Edward J Hu, Yelong Shen, Phillip Wallis, Zeyuan Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang, and Weizhu Chen. LoRA: Low-rank adaptation of large language models. In *ICLR*, 2022. 7
- [32] Yuval Kirstain, Adam Polyak, Uriel Singer, Shahbuland Matiana, Joe Penna, and Omer Levy. Pick-a-pic: An open dataset of user preferences for text-to-image generation. In *NeurIPS*, 2023. 5
- [33] LAION. Laion aesthetic score predictor. <https://laion.ai/blog/laion-aesthetics/>, 2024. Accessed: 2024-09-27. 5
- [34] Kimin Lee, Hao Liu, Moonkyung Ryu, Olivia Watkins, Yuqing Du, Craig Boutilier, Pieter Abbeel, Mohammad Ghavamzadeh, and Shixiang Shane Gu. Aligning text-to-image models using human feedback. *arXiv preprint arXiv:2302.12192*, 2023. 2
- [35] Daniel Liberzon. *Calculus of variations and optimal control theory: a concise introduction*. Princeton university press, 2011. 7, 10
- [36] Yaron Lipman, Ricky TQ Chen, Heli Ben-Hamu, Maximilian Nickel, and Matthew Le. Flow matching for generative modeling. In *ICLR*, 2023. 1
- [37] Weiyang Liu, Zeju Qiu, Yao Feng, Yuliang Xiu, Yuxuan Xue, Longhui Yu, Haiwen Feng, Zhen Liu, Juyeon Heo, Songyou Peng, Yandong Wen, Michael J. Black, Adrian Weller, and Bernhard Schölkopf. Parameter-efficient orthogonal finetuning via butterfly factorization. In *ICLR*, 2024. 10

- [38] Xingchao Liu, Chengyue Gong, and Qiang Liu. Flow straight and fast: Learning to generate and transfer data with rectified flow. In *ICLR*, 2023. 1, 3
- [39] Zhen Liu, Yao Feng, Michael J. Black, Derek Nowrouzezahrai, Liam Paull, and Weiyang Liu. Meshdiffusion: Score-based generative 3d mesh modeling. In *ICLR*, 2023. 1
- [40] Zhen Liu, Yao Feng, Yuliang Xiu, Weiyang Liu, Liam Paull, Michael J. Black, and Bernhard Schölkopf. Ghost on the shell: An expressive representation of general 3d shapes. In *ICLR*, 2024. 1
- [41] Zhen Liu, Tim Z. Xiao, Weiyang Liu, Yoshua Bengio, and Dinghuai Zhang. Efficient diversity-preserving diffusion alignment via gradient-informed gflownets. In *ICLR*, 2025. 2, 3, 5
- [42] Nanye Ma, Shangyuan Tong, Haolin Jia, Hexiang Hu, Yu-Chuan Su, Mingda Zhang, Xuan Yang, Yandong Li, Tommi Jaakkola, Xuhui Jia, and Saining Xie. Inference-time scaling for diffusion models beyond scaling denoising steps. *arXiv preprint arXiv:2501.09732*, 2025. 2
- [43] Sanjoy K Mitter. Filtering and stochastic control: A historical perspective. *IEEE Control Systems Magazine*, 16(3):67–76, 1996. 3
- [44] Nikolas Nüsken and Lorenz Richter. Solving high-dimensional Hamilton–Jacobi–Bellman pdes using neural networks: perspectives from the theory of controlled diffusions and measures on path space. *Partial differential equations and applications*, 2:1–48, 2021. 3
- [45] Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. Training language models to follow instructions with human feedback. In *NeurIPS*, 2022. 2
- [46] Ling Pan, Dinghuai Zhang, Moksh Jain, Longbo Huang, and Yoshua Bengio. Stochastic generative flow networks. In *UAI*, 2023. 2
- [47] Huy  n Pham. *Continuous-time stochastic control and optimization with financial applications*, volume 61. Springer Science & Business Media, 2009. 3
- [48] Jakiw Pidstrigach, Elizabeth Baker, Carles Domingo-Enrich, George Deligiannidis, and Nikolas N  sken. Conditioning diffusions using malliavin calculus. In *ICML*, 2025. 3
- [49] Ben Poole, Ajay Jain, Jonathan T Barron, and Ben Mildenhall. Dreamfusion: Text-to-3d using 2d diffusion. In *ICLR*, 2023. 5
- [50] Warren B. Powell and Stephan Meisel. Tutorial on stochastic optimization in energy—part i: Modeling and policies. *IEEE Transactions on Power Systems*, 31(2):1459–1467, 2016. 3
- [51] Mihir Prabhudesai, Anirudh Goyal, Deepak Pathak, and Katerina Fragkiadaki. Aligning text-to-image diffusion models with reward backpropagation. *arXiv preprint arXiv:2310.03739*, 2023. 8
- [52] Zeju Qiu, Weiyang Liu, Haiwen Feng, Yuxuan Xue, Yao Feng, Zhen Liu, Dan Zhang, Adrian Weller, and Bernhard Sch  lkopf. Controlling text-to-image diffusion by orthogonal finetuning. In *NeurIPS*, 2023. 10
- [53] Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, et al. Learning transferable visual models from natural language supervision. In *ICML*, 2021. 5
- [54] Sebastian Reich. Data assimilation: The Schr  dinger perspective. *Acta Numerica*, 28:635–711, 2019. 3
- [55] Tim Salimans and Jonathan Ho. Should EBMs model the energy or the score? In *Energy Based Models Workshop - ICLR 2021*, 2021. 5
- [56] John Schulman, Filip Wolski, Prafulla Dhariwal, Alec Radford, and Oleg Klimov. Proximal policy optimization algorithms. *arXiv preprint arXiv:1707.06347*, 2017. 2

- [57] Zhihong Shao, Peiyi Wang, Qihao Zhu, Runxin Xu, Junxiao Song, Xiao Bi, Haowei Zhang, Mingchuan Zhang, YK Li, Y Wu, et al. Deepseekmath: Pushing the limits of mathematical reasoning in open language models. *arXiv preprint arXiv:2402.03300*, 2024. 2
- [58] Raghav Singhal, Zachary Horvitz, Ryan Teehan, Mengye Ren, Zhou Yu, Kathleen McKeown, and Rajesh Ranganath. A general framework for inference-time scaling and steering of diffusion models. *arXiv preprint arXiv:2501.06848*, 2025. 2
- [59] Yang Song and Diederik P Kingma. How to train your energy-based models. *arXiv preprint arXiv:2101.03288*, 2021. 5
- [60] Evangelos Theodorou, Freek Stulp, Jonas Buchli, and Stefan Schaal. An iterative path integral stochastic optimal control approach for learning robotic tasks. *IFAC Proceedings Volumes*, 44(1):11594–11601, 2011. 18th IFAC World Congress. 3
- [61] Masatoshi Uehara, Yulai Zhao, Kevin Black, Ehsan Hajiramezanali, Gabriele Scalia, Nathaniel Lee Diamant, Alex M Tseng, Tommaso Biancalani, and Sergey Levine. Fine-tuning of continuous-time diffusion models as entropy-regularized control. *arXiv preprint arXiv:2402.15194*, 2024. 2, 3
- [62] Francisco Vargas, Shreyas Padhy, Denis Blessing, and Nikolas Nüsken. Transport meets variational inference: Controlled monte carlo diffusions. In *ICLR*, 2024. 3
- [63] C. Villani. *Topics in Optimal Transportation*. Graduate studies in mathematics. American Mathematical Society, 2003. 3
- [64] C. Villani. *Optimal Transport: Old and New*. Grundlehren der mathematischen Wissenschaften. Springer Berlin Heidelberg, 2008. 3
- [65] Team Wan, Ang Wang, Baole Ai, Bin Wen, Chaojie Mao, Chen-Wei Xie, Di Chen, Feiwei Yu, Haiming Zhao, Jianxiao Yang, et al. Wan: Open and advanced large-scale video generative models. *arXiv preprint arXiv:2503.20314*, 2025. 1
- [66] Luhuan Wu, Brian Trippe, Christian Naesseth, David Blei, and John P Cunningham. Practical and asymptotically exact conditional sampling in diffusion models. In *NeurIPS*, 2024. 3
- [67] Xiaoshi Wu, Yiming Hao, Keqiang Sun, Yixiong Chen, Feng Zhu, Rui Zhao, and Hongsheng Li. Human preference score v2: A solid benchmark for evaluating human preferences of text-to-image synthesis. *arXiv preprint arXiv:2306.09341*, 2023. 5
- [68] Xiaoshi Wu, Keqiang Sun, Feng Zhu, Rui Zhao, and Hongsheng Li. Human preference score: Better aligning text-to-image models with human preference. In *CVPR*, 2023. 5
- [69] Jianfeng Xiang, Zelong Lv, Sicheng Xu, Yu Deng, Ruicheng Wang, Bowen Zhang, Dong Chen, Xin Tong, and Jiaolong Yang. Structured 3d latents for scalable and versatile 3d generation. In *CVPR*, 2025. 1
- [70] Jiazheng Xu, Xiao Liu, Yuchen Wu, Yuxuan Tong, Qinkai Li, Ming Ding, Jie Tang, and Yuxiao Dong. Imagereward: Learning and evaluating human preferences for text-to-image generation. In *NeurIPS*, 2024. 3, 6, 8
- [71] Taeyoung Yun, Dinghuai Zhang, Jinkyoo Park, and Ling Pan. Learning to sample effective and diverse prompts for text-to-image generation. In *CVPR*, 2025. 2
- [72] Dinghuai Zhang, Ricky TQ Chen, Cheng-Hao Liu, Aaron Courville, and Yoshua Bengio. Diffusion generative flow samplers: Improving learning signals through partial trajectory optimization. In *ICLR*, 2024. 2
- [73] Dinghuai Zhang, Aaron Courville, Yoshua Bengio, Qinqing Zheng, Amy Zhang, and Ricky T. Q. Chen. Latent state marginalization as a low-cost approach for improving exploration. In *ICLR*, 2023. 2
- [74] Dinghuai Zhang, Hanjun Dai, Nikolay Malkin, Aaron C Courville, Yoshua Bengio, and Ling Pan. Let the flows tell: Solving graph combinatorial problems with gflownets. In *NeurIPS*, 2023. 2

- [75] Dinghuai Zhang, Jie Fu, Yoshua Bengio, and Aaron Courville. Unifying likelihood-free inference with black-box sequence design and beyond. In *ICLR*, 2022. 2
- [76] Dinghuai Zhang, Nikolay Malkin, Zhen Liu, Alexandra Volokhova, Aaron Courville, and Yoshua Bengio. Generative flow networks for discrete probabilistic modeling. In *ICML*, 2022. 2
- [77] Dinghuai Zhang, Yizhe Zhang, Jiatao Gu, Ruixiang Zhang, Joshua M. Susskind, Navdeep Jaitly, and Shuangfei Zhai. Improving GFlownets for text-to-image diffusion alignment. *Transactions on Machine Learning Research*, 2025. 2, 3
- [78] Longwen Zhang, Ziyu Wang, Qixuan Zhang, Qiwei Qiu, Anqi Pang, Haoran Jiang, Wei Yang, Lan Xu, and Jingyi Yu. Clay: A controllable large-scale generative model for creating high-quality 3d assets. *ACM Transactions on Graphics (TOG)*, 2024. 1
- [79] Qinsheng Zhang and Yongxin Chen. Path integral sampler: a stochastic control approach for sampling. In *ICLR*, 2022. 3
- [80] Wei Zhang, Han Wang, Carsten Hartmann, Marcus Weber, and Christof Schütte. Applications of the cross-entropy method to importance sampling and optimal control of diffusions. *SIAM Journal on Scientific Computing*, 36(6):A2654–A2672, 2014. 3

# Appendix

## Table of Contents

---

|          |                                                                                 |           |
|----------|---------------------------------------------------------------------------------|-----------|
| <b>A</b> | <b>Theoretical Connections between Optimal Control Formulations</b>             | <b>17</b> |
| A.1      | Deriving adjoint matching from Pontryagin's maximum principle . . . . .         | 17        |
| A.2      | The adjoint method vs. adjoint matching for deterministic optimal control . . . | 17        |
| <b>B</b> | <b>Bounds of Resulted Distributions</b>                                         | <b>20</b> |
| B.1      | Bounding the Wasserstein-2 Distance . . . . .                                   | 20        |
| B.2      | Bounding the KL Divergence . . . . .                                            | 21        |
| <b>C</b> | <b>Experiment Details</b>                                                       | <b>22</b> |
| C.1      | Finite difference for value consistency . . . . .                               | 22        |
| C.2      | More implementation details . . . . .                                           | 22        |
| <b>D</b> | <b>Additional Figures</b>                                                       | <b>23</b> |
| <b>E</b> | <b>Evolution of Generated Samples</b>                                           | <b>24</b> |
| <b>F</b> | <b>More Generated Samples</b>                                                   | <b>25</b> |

---

## A Theoretical Connections between Optimal Control Formulations

### A.1 Deriving adjoint matching from Pontryagin's maximum principle

For a general dynamical system  $\dot{x} = f(x, u, t)$  and control problem  $\min_u \int_0^T L(x(t), u(t), t) dt + \Phi(x(T))$ , we can define the Hamiltonian  $H(x, u, t, a) \triangleq L(x, u) + a f(x, u, t)$  and the adjoint state  $a(t)$  that satisfies  $\dot{a}(t) = -\nabla H$  and  $a(T) = \nabla \Phi(x(T))$ . The Pontryagin's maximum principle (PMP) states that the optimal control  $u^*$  satisfies  $u^*(t) = \arg \max_u H(x^*(t), u, t, a^*(t))$ .

For our flow matching model  $\dot{x}_t = v_\theta(x_t, t)$  setup and the control formulation in Remark 1, we have

$$\min_{\theta} \mathbb{E}_{\dot{x}_t = v_\theta(x_t, t)} \left[ \frac{\lambda}{2} \int_0^1 \|v_\theta(x_t, t) - v_{\text{base}}(x_t, t)\|^2 - r(x_1) \right] dt \quad (18)$$

$$H(x, v_\theta, t, a) = \frac{\lambda}{2} \|v_\theta(x, t) - v_{\text{base}}(x, t)\|^2 + a^\top v_\theta(x, t). \quad (19)$$

$$\dot{a}(x, t) = -\frac{\lambda}{2} \nabla_x \left( \|v_\theta(x, t) - v_{\text{base}}(x, t)\|^2 + a(x, t)^\top v_\theta(x, t) \right), \quad (20)$$

with the terminal constraint  $a(x_1, 1) = -\nabla r(x_1)$ . In order to solve  $v^* = \arg \max_v H(x, v, t, a)$ , with such quadratic form we have

$$v^* = v_{\text{base}} - \frac{a}{\lambda} \Rightarrow \tilde{v} + \frac{a}{\lambda} = 0. \quad (21)$$

Therefore, we can have an intuitive algorithm following the common practice of solving PMP:

1. Solve forward ODE,  $\dot{x}_t = v_\theta(x_t, t)$ ,  $x_0 \sim \mathcal{N}(0, I)$ , to obtain  $\{x_t\}_{t=0}^1$ ;
2. Solve backward ODE in Equation 20,  $\dot{a} = -\nabla H$ ,  $a(x_1, 1) = -\nabla r(x_1)$ , to obtain  $\{a_t\}_{t=0}^1$ ;
3. Update velocity field  $v_\theta$  by minimizing matching loss

$$\mathcal{L}(\theta) = \int \|\lambda \tilde{v}_\theta(x_t, t) + a_t\|^2 dt. \quad (22)$$

This is already very similar to the adjoint matching algorithm proposed in [15] (but for stochastic control settings), where the authors obtain their algorithm from a different derivation and slightly different assumptions.

Comparing this Equation 21 with Equation 8, we can easily get

$$a(x, t) = \nabla V(x, t), \quad (23)$$

which, maybe not that surprisingly, indicates adjoint matching and **VGG-Flow** share the same vector matching objective (Equation 22 and Equation 8). They differ in the way of how to obtain the matching target (either  $a_t$  or  $\nabla V$ ).

### A.2 The adjoint method vs. adjoint matching for deterministic optimal control

Here we give a more general discussion between the adjoint method (for open-loop control) and adjoint matching (for closed-loop control) in the deterministic control setting.

#### A.2.1 Open-loop vs closed-loop formulations of deterministic optimal control

Consider the deterministic control problem in equation 3 with a quadratic cost and affine control. That is,  $L(x, u, t) = \frac{1}{2} \|u\|_{Q(t)}^2 + f(x, t)$ , where  $Q(t) \in \mathbb{R}^{d \times d}$  is a positive-definite matrix, and  $\|u\|_Q^2 = u^\top Q u$ , and the drift is  $f_{\text{drift}}(x, u, t) = b(x, t) + u$ . Hence, the control problem reads

$$\min_{u: [0, T] \rightarrow \mathbb{R}^d} J[u] \triangleq \int_0^T \left( \frac{1}{2} \|u(t)\|_{Q(t)}^2 + f(X_t, t) \right) dt + \Phi(X_T), \quad (24)$$

$$\text{s.t. } \dot{X}_t = b(X_t, t) + u(t), \quad X_0 \sim x_0. \quad (25)$$

This is an *open-loop control problem*, because the control  $u(t)$  does not depend explicitly on the state  $X_t$ . Note however that since both the starting point and the dynamics are deterministic, given the

function  $u : [0, t] \rightarrow \mathbb{R}^d$ , it is possible to determine the state  $X_t$ , and hence  $u(t)$  can be defined to depend implicitly on  $X_t$ .

Alternatively, consider the control problem

$$\min_{u: \mathbb{R}^d \times [0, T] \rightarrow \mathbb{R}^d} \tilde{J}[u] \triangleq \int_0^T \left( \frac{1}{2} \|u(X_t, t)\|_{Q(t)}^2 + f(X_t, t) \right) dt + \Phi(X_T), \quad (26)$$

$$\text{s.t. } \dot{X}_t = b(X_t, t) + u(X_t, t), \quad X_0 \sim x_0. \quad (27)$$

In this case,  $u$  is a function that depends explicitly on the state  $X_t$ , which makes this a *closed-loop control problem*.

In general, closed-loop control problems are more general than open-loop problems, but in our case both problems are actually equivalent because the deterministic dynamics and initial conditional make it possible to make  $u(t)$  depend on  $X_t$  implicitly. In other words, for any open-loop control  $u : [0, T] \rightarrow \mathbb{R}^d$ , we can define a closed-loop control  $\tilde{u} : \mathbb{R}^d \times [0, T] \rightarrow \mathbb{R}^d$  by setting  $\tilde{u}(x, t) = u(t)$ . And for any closed-loop control  $\tilde{u} : \mathbb{R}^d \times [0, T] \rightarrow \mathbb{R}^d$ , we can define an open-loop control  $u : [0, T] \rightarrow \mathbb{R}^d$  by setting  $u(t) = \tilde{u}(X_t, t)$ , where  $X = (X_s)_{s \in [0, t]}$  satisfies the ODE in equation 27.

Thus, finding the solution to the open-loop problem in equations 24-25 is equivalent to finding the solution to the closed-loop problem in equations 26-27. As we see next, the former formulation naturally gives rise to the adjoint matching loss for deterministic optimal control, while the latter yields the basic adjoint matching loss, which is simply a reformulation of the adjoint method.

### A.2.2 Solving the closed-loop problem: the adjoint method for deterministic optimal control

Using an argument similar to the one used in [15, Prop. 2] for stochastic optimal control, we can derive the continuous-time version of the basic adjoint matching loss for deterministic control:

**Proposition 2** (Basic adjoint matching for deterministic control). *Consider the adjoint ODE*

$$\frac{d}{dt} a(t; X, u) = - \left[ (\nabla_{X_t} (b(X_t, t) + u(X_t, t)))^\top a(t; X, u) + \nabla_{X_t} \left( f(X_t, t) + \frac{1}{2} \|u(X_t, t)\|^2 \right) \right], \quad (28)$$

$$a(1; X, u) = \nabla \Phi(X_1). \quad (29)$$

Suppose that the control  $u : \mathbb{R}^d \times [0, T] \rightarrow \mathbb{R}^d$  is parameterized by  $\theta$ , and let  $\tilde{J}[u]$  be the closed-loop control objective in equation 26. Then, the gradient  $\nabla_\theta \tilde{J}[u]$  is equal to the gradient of this loss:

$$\mathcal{L}_{\text{Basic-Adj-Match}}(u) := \frac{1}{2} \int_0^1 \|u(X_t, t) + Q(t)^{-1/2} a(t; X, \bar{u})\|^2 dt, \quad (30)$$

$$X \text{ s.t. } \dot{X}_t = b(X_t, t) + \bar{u}(X_t, t), \quad \bar{u} = \text{stop-gradient}(u),$$

where  $\bar{u} = \text{stop-gradient}(u)$  means that the gradients of  $\bar{u}$  with respect to the parameters  $\theta$  of the control  $u$  are artificially set to zero.

*Proof.* The proof mirrors the proof of [15, Prop. 2]. If we define the adjoint state

$$a(t, X, u) = \nabla_{X_t} \left( \int_0^T \left( \frac{1}{2} \|u(X_t, t)\|_{Q(t)}^2 + f(X_t, t) \right) dt + \Phi(X_T) \right), \quad (31)$$

$$\text{where } X \text{ is a solution of } \dot{X}_t = b(X_t, t) + u(X_t, t), \quad (32)$$

we have that  $a(t, X, u)$  satisfies the adjoint ODE in equations 28-29. In analogy with equation 32 of [15], we have that

$$\frac{d}{d\theta} \tilde{J}[u] = \frac{1}{2} \int_0^T \frac{\partial}{\partial \theta} \|u(X_t, t)\|_{Q(t)}^2 dt + \int_0^T \frac{\partial u(X_t, t)}{\partial \theta}^\top a(t, X, u) dt, \quad (33)$$

where  $\frac{d}{d\theta}$  and  $\frac{\partial}{\partial\theta}$  denote the total and partial derivatives with respect to  $\theta$ . Completing the square, we have that

$$\begin{aligned} & \frac{1}{2} \frac{\partial}{\partial\theta} \|u(X_t, t)\|_{Q(t)}^2 + \frac{\partial u(X_t, t)^\top}{\partial\theta} a(t, X, u) \\ &= \frac{1}{2} \frac{\partial}{\partial\theta} (u(X_t, t)^\top Q(t)^{1/2} Q(t)^{1/2} u(X_t, t)) + \frac{\partial u(X_t, t)^\top}{\partial\theta} Q(t)^{1/2} Q(t)^{-1/2} a(t, X, \bar{u}) \\ &= \frac{1}{2} \frac{\partial}{\partial\theta} \|u(X_t, t) + Q(t)^{-1/2} a(t, X, u)\|_{Q(t)}^2 \end{aligned} \quad (34)$$

where  $Q(t)^{1/2}$  is defined as the matrix with the same eigenvectors as  $Q(t)$  and eigenvalues equal to the square root of the eigenvalues of  $Q(t)$ , and  $\bar{u} = \text{stopgrad}(u)$ . Notice adjoint  $a$  does not depend on  $\theta$ . Plugging equation 34 into equation 33, we can finally rewrite the gradient as the gradient of  $\mathcal{L}_{\text{Basic-Adj-Match}}$ .  $\square$

### A.2.3 Solving the open-loop problem: the adjoint matching loss for deterministic optimal control

**Proposition 3** (Adjoint matching for deterministic control). *Consider the lean adjoint ODE:*

$$\frac{d}{dt} \tilde{a}(t; X) = - \left[ (\nabla_{X_t} b(X_t, t))^\top \tilde{a}(t; X) + \nabla_{X_t} f(X_t, t) \right], \quad (35)$$

$$\tilde{a}(1; X) = \nabla \Phi(X_1). \quad (36)$$

Suppose that the control  $u : [0, T] \rightarrow \mathbb{R}^d$  is parameterized by  $\theta$ , and let  $J[u]$  be the open-loop control objective in equation 26. Then, the gradient  $\nabla_\theta J[u]$  is equal to the gradient of this loss:

$$\mathcal{L}_{\text{Adj-Match}}(u) := \frac{1}{2} \int_0^1 \|u(t) + Q(t)^{-1/2} \tilde{a}(t; X)\|^2 dt, \quad (37)$$

$$X \text{ s.t. } \dot{X}_t = b(X_t, t) + \bar{u}(t), \quad \bar{u} = \text{stop-gradient}(u),$$

where  $\bar{u} = \text{stop-gradient}(u)$  means that the gradients of  $\bar{u}$  with respect to the parameters  $\theta$  of the control  $u$  are artificially set to zero.

*Proof.* The proof mirrors the proof of [15, Prop. 2], and the proof of our Prop. 2. If we define the adjoint state

$$a(t, X) = \nabla_{X_t} \left( \int_0^T \left( \frac{1}{2} \|u(t)\|_{Q(t)}^2 + f(X_t, t) \right) dt + \Phi(X_T) \right), \quad (38)$$

$$\text{where } X \text{ is a solution of } \dot{X}_t = b(X_t, t) + u(t), \quad (39)$$

we have that  $a(t, X)$  satisfies the adjoint ODE in equations 28-29. Note that unlike in Prop. 2, the control  $u(t)$  does not depend on the state  $X_t$ , which simplifies expressions substantially as  $\nabla_{X_t} u(t) = 0$ . In analogy with equation 32 of [15] and our equation 33, we have that

$$\frac{d}{d\theta} J[u] = \frac{1}{2} \int_0^T \frac{\partial}{\partial\theta} \|u(t)\|_{Q(t)}^2 dt + \int_0^T \frac{\partial u(t)^\top}{\partial\theta} a(t, X) dt, \quad (40)$$

and completing the square as in equation 34, we obtain that  $\frac{1}{2} \frac{\partial}{\partial\theta} \|u(t)\|_{Q(t)}^2 + \frac{\partial u(t)^\top}{\partial\theta} a(t, X) = \frac{1}{2} \frac{\partial}{\partial\theta} \|u(t) + Q(t)^{-1/2} a(t, X)\|_{Q(t)}^2$ . Plugging this equality into equation 40 concludes the proof.  $\square$

## B Bounds of Resulted Distributions

### B.1 Bounding the Wasserstein-2 Distance

We first analyze the relationship between our objective of **VGG-Flow** and the 2-Wasserstein distance ( $W_2$ ). We show that our objective minimizes a strong upper bound on  $W_2(p_1, q_1)$ .

Let  $p_0 = q_0$  be the initial distribution. Consider the two flows, coupled by their initial condition:

$$\begin{aligned}\dot{x}_t &= v_\theta(x_t, t), & x_0 \sim p_0 &\implies x_t \sim p_t \\ \dot{y}_t &= v_{\text{base}}(y_t, t), & y_0 = x_0 \sim q_0 &\implies y_t \sim q_t\end{aligned}$$

By definition, the squared  $W_2$  distance is the minimum expected squared distance over all possible couplings. Our choice of  $x_0 = y_0$  is one such coupling, so it provides an upper bound:

$$W_2(p_t, q_t)^2 \leq \mathbb{E}[\|x_t - y_t\|^2]$$

**Proposition 4** ( $W_2$  Bound via Grönwall's Inequality). *Assume the base vector field  $v_{\text{base}}$  is  $L$ -Lipschitz in  $x$ . Then the  $W_2$  distance is bounded by the  $L_2$  FM loss:*

$$W_2(p_1, q_1)^2 \leq C \int_0^1 \mathbb{E}_{p_t}[\|\tilde{v}_\theta(x_t, t)\|^2] dt$$

where  $C = e^{2L+1}$  is a constant.

*Proof.* Let  $\Delta_t = x_t - y_t$  and  $u(t) = \mathbb{E}[\|\Delta_t\|^2]$ . We have  $u(0) = \mathbb{E}[\|x_0 - y_0\|^2] = 0$ . The time derivative is  $\dot{\Delta}_t = v_\theta(x_t, t) - v_{\text{base}}(y_t, t)$ . Let  $\tilde{v}_\theta(x, t) = v_\theta(x, t) - v_{\text{base}}(x, t)$ .

$$\begin{aligned}\frac{d}{dt}\|\Delta_t\|^2 &= 2\langle \Delta_t, \dot{\Delta}_t \rangle \\ &= 2\langle \Delta_t, [v_\theta(x_t, t) - v_{\text{base}}(x_t, t)] + [v_{\text{base}}(x_t, t) - v_{\text{base}}(y_t, t)] \rangle \\ &= 2\langle \Delta_t, \tilde{v}_\theta(x_t, t) \rangle + 2\langle \Delta_t, v_{\text{base}}(x_t, t) - v_{\text{base}}(y_t, t) \rangle\end{aligned}$$

We apply the Cauchy-Schwarz inequality to the first term and the  $L$ -Lipschitz condition to the second:

$$\frac{d}{dt}\|\Delta_t\|^2 \leq 2\|\Delta_t\|\|\tilde{v}_\theta(x_t, t)\| + 2\|\Delta_t\|(L\|\Delta_t\|)$$

Using Young's inequality ( $2ab \leq a^2 + b^2$ ) on the first term gives:

$$\frac{d}{dt}\|\Delta_t\|^2 \leq (\|\Delta_t\|^2 + \|\tilde{v}_\theta(x_t, t)\|^2) + 2L\|\Delta_t\|^2 = (2L+1)\|\Delta_t\|^2 + \|\tilde{v}_\theta(x_t, t)\|^2$$

Taking the expectation and letting  $b(t) = \mathbb{E}_{p_t}[\|\tilde{v}_\theta(x_t, t)\|^2]$ , we have the differential inequality:

$$\dot{u}(t) \leq (2L+1)u(t) + b(t)$$

By the integral form of Grönwall's inequality, which states that if

$$\dot{u}(t) \leq au(t) + b(t) \quad \text{with} \quad u(0) = 0, \quad \text{then} \quad u(t) \leq \int_0^t e^{a(t-s)} b(s) ds$$

Applying this with  $a = (2L+1)$ , the solution at  $t = 1$  is:

$$u(1) \leq \int_0^1 e^{(2L+1)(1-s)} b(s) ds \leq e^{2L+1} \int_0^1 b(s) ds$$

Since  $W_2(p_1, q_1)^2 \leq u(1)$ , we arrive at the bound:

$$W_2(p_1, q_1)^2 \leq e^{2L+1} \int_0^1 \mathbb{E}_{p_t}[\|\tilde{v}_\theta(x_t, t)\|^2] dt \quad (41)$$

□

This result confirms that our objective is a theoretically sound one for minimizing an upper bound on the  $W_2$  distance.

## B.2 Bounding the KL Divergence

We now analyze the KL divergence. Unlike the  $W_2$  distance, the KL divergence is sensitive to changes in density, which are governed by the *divergence* of the vector field.

The marginal densities satisfy the continuity equations ( $t \in (0, 1)$ ):

$$\begin{aligned}\partial_t p_t(x, t) &= -\nabla \cdot (p_t(x, t) v_\theta(x, t)) \\ \partial_t q_t(x, t) &= -\nabla \cdot (q_t(x, t) v_{\text{base}}(x, t))\end{aligned}$$

**Proposition 5** (KL Divergence Identity for ODEs). *Assume the vector fields  $v_\theta, v_{\text{base}}$  and densities  $p_t, q_t$  are sufficiently smooth and have sufficient decay at infinity such that all boundary terms from integration by parts vanish. Then, the exact identity for the final KL divergence is:*

$$\mathcal{D}_{\text{KL}}(p_1 \| q_1) = - \int_0^1 \mathbb{E}_{p_t} [\tilde{v}_\theta(x_t, t) \cdot \nabla \log q_t] dt - \int_0^1 \mathbb{E}_{p_t} [\nabla \cdot \tilde{v}_\theta(x_t, t)] dt,$$

where  $\tilde{v}_\theta = v_\theta - v_{\text{base}}$ .

Applying a bound to the first term (as we did for the  $W_2$  proof) gives the final inequality:

$$\mathcal{D}_{\text{KL}}(p_1 \| q_1) \leq \underbrace{\frac{1}{2} \int_0^1 \mathbb{E}_{p_t} [\|\tilde{v}_\theta(x_t, t)\|^2] dt}_{\text{(A) } L_2 \text{ Value Gradient Matching Loss}} + \underbrace{C(p, q)}_{\text{(B) Path-Dependent Term}} - \underbrace{\int_0^1 \mathbb{E}_{p_t} [\nabla \cdot \tilde{v}_\theta(x_t, t)] dt}_{\text{(C) Divergence Term}} \quad (42)$$

where  $C(p, q) = \frac{1}{2} \int_0^1 \mathbb{E}_{p_t} [\|\nabla \log q_t\|^2] dt$  is a functional that depends on both the target path  $q_t$  and the learned path  $p_t$ .

**Remark 6** (Justification for the  $L_2$  Proxy Objective). Equation 42 shows that the KL divergence is bounded by the  $L_2$  value gradient matching loss (Term A), a path-dependent term (Term B), and a divergence-dependent term (Term C). Term (B) depends on both the learned path  $p_t$  and the target path  $q_t$ . It can be bounded, for example, if the target score function has a uniform bound (i.e.,  $\|\nabla \log q_t(x)\| \leq M_t$  for all  $x, t$ ), which would imply  $\mathbb{E}_{p_t} [\|\nabla \log q_t\|^2] \leq M_t^2$ . The primary challenge is that Term (A) and Term (C) are geometrically independent, and Term (C) is computationally expensive to estimate. We therefore use the value gradient matching loss as a computationally efficient proxy objective. We empirically justify this choice, as our finetuned models produce high-quality samples. This success suggests that for our network architecture and problem setup, minimizing Term (A) is sufficient, and the "missing" divergence term (Term C) is implicitly regularized or remains small, likely due to the implicit bias of the neural network.

## C Experiment Details

### C.1 Finite difference for value consistency

Our value consistency loss requires the costly computation of second-order gradients during back-propagation. To save memory and time, we instead use finite differences to approximate the terms (with  $u = v_{\text{base}} - \frac{1}{\lambda}g_\phi$ ):

$$\frac{\partial}{\partial t}g_\phi(x_t, t) \approx \frac{g_\phi(x_t + \epsilon v(x_t, t), t + \epsilon) - g_\phi(x_t, t)}{\epsilon} \quad (43)$$

$$\left([\nabla g_\phi]^T \left(v_{\text{base}} - \frac{1}{\lambda}g_\phi\right)\right)_{(x_t, t)} \approx \frac{g_\phi(x_t + \epsilon \nabla[v(x_t, t)], t) - g_\phi(x_t - \epsilon \nabla[v(x_t, t)], t)}{2\epsilon} \quad (44)$$

$$\left([\nabla v_{\text{base}}]^T g_\phi\right)_{(x_t, t)} \approx \frac{v_{\text{base}}(x_t + \epsilon \nabla[g_\phi(x_t, t)], t) - v_{\text{base}}(x_t - \epsilon \nabla[g_\phi(x_t, t)], t)}{2\epsilon} \quad (45)$$

where  $\nabla(\cdot) = \text{stop-gradient}(\cdot)$ . The stop gradient operations on nested function calls prevent second-order gradients during backpropagation. Empirically, we find this approximation works well.

### C.2 More implementation details

In our experiments, we choose  $\eta_t = t^2$  in Equation 16 if not otherwise specified. We use a CFG scale of  $w_{\text{CFG}} = 5.0$  for all experiments, and the velocity fields of both the base and finetuned models are CFG-composited as  $v(x, t; c) = (1 + w_{\text{CFG}})v(x, t; c) - w_{\text{CFG}}v(x, t; \emptyset)$ . We stop the gradients on  $v(x, t; \emptyset)$  as we found this leads to faster convergence. We use the best learning rates (in terms of fast yet stable reward convergence) for each method instead of a fixed ones, as we observe that methods like ReFL and DRaFT can be unstable for very large learning rates. Specifically, we use  $5e-4$  for **VGG-Flow** on all reward models,  $5e-5$  for **VGG-Flow-PMP** on HPSv2 and PickScore, and  $1e-4$  for all others. We use the standard AdamW optimizer with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$  and weight decay  $1e-2$ . We clip the norm of network update gradients to 1. We use bfloat16 computation for the flow matching model but float32 for the reward model due to numerical precision issues.

## D Additional Figures

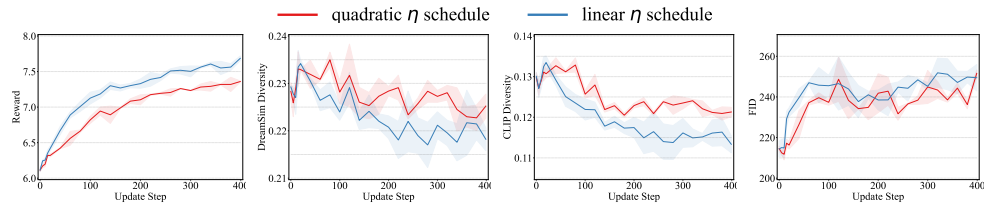


Figure 13: Evolution of metrics for different  $\eta$  schedule (experiments on Aesthetic Score). The linear schedule of  $\eta$  leads to faster convergence.

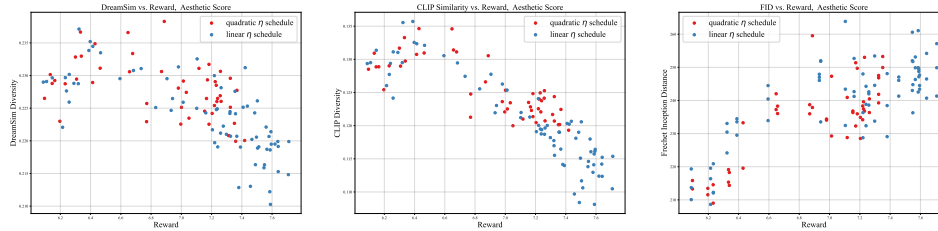


Figure 14: Trade-offs between metrics for different  $\eta$  schedule (experiments on Aesthetic Score).

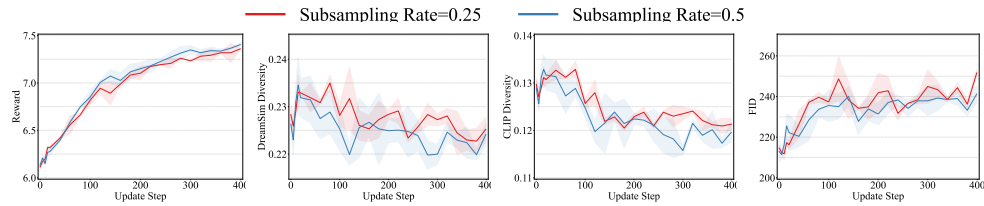


Figure 15: Evolution of metrics for different transition subsampling rates (experiments on Aesthetic Score).

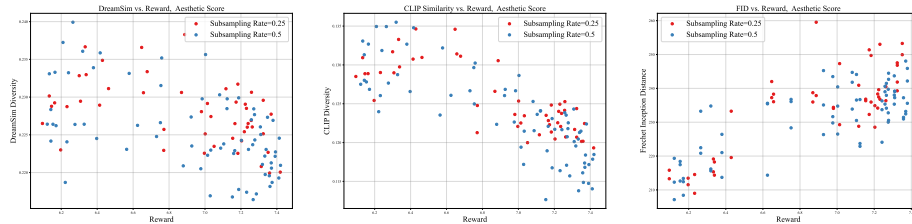


Figure 16: Trade-offs between metrics for different transition subsampling rates (experiments on Aesthetic Score).

## E Evolution of Generated Samples

We show in Figure 17 that our method is more capable of preserving the prior from the base model during the finetuning process.



Figure 17: The degradation of image quality of baselines, compared to the evolution sequence of results produced by our method.

## F More Generated Samples

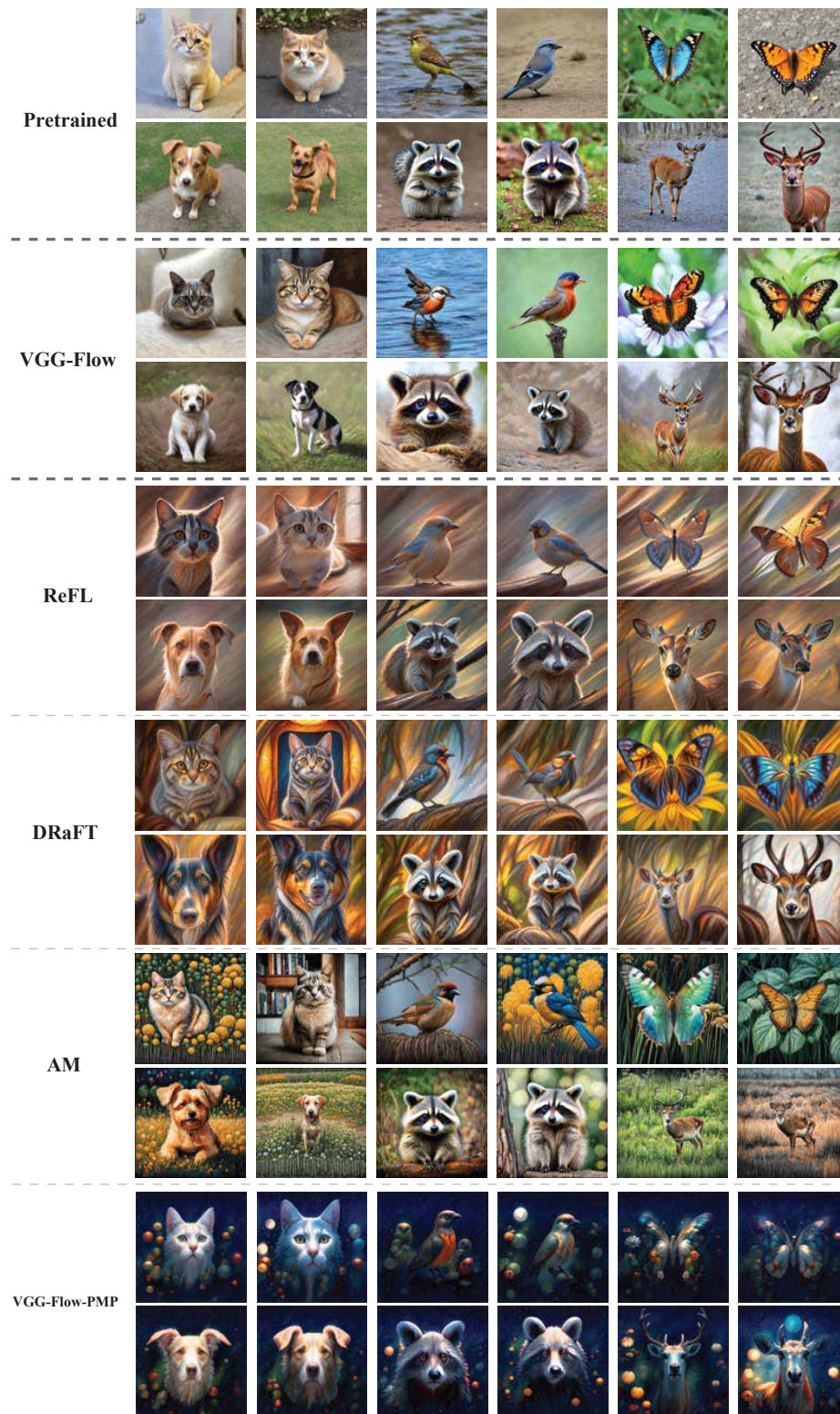


Figure 18: More qualitative results on Aesthetic Score.

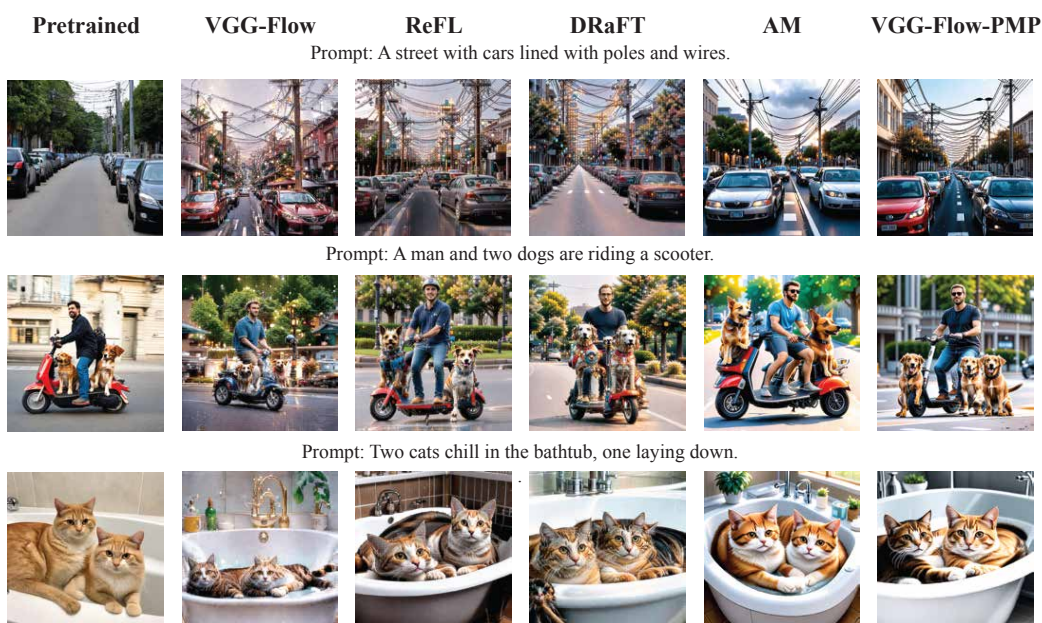


Figure 19: More qualitative results on HPSv2.

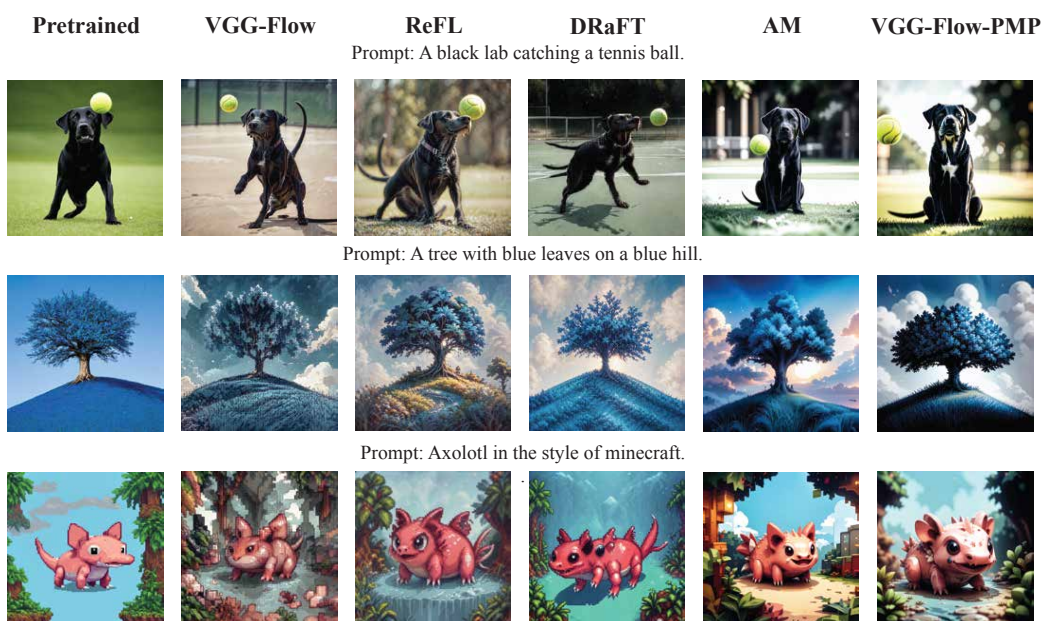


Figure 20: More qualitative results on PickScore.

## NeurIPS Paper Checklist

### 1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [\[Yes\]](#)

Justification: The claims are accurately reflected through corresponding sections.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

### 2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [\[Yes\]](#)

Justification: We incorporate a limitation discussion in the discussion Section 6.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

### 3. Theory assumptions and proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [\[Yes\]](#)

Justification: We provide rigorous proof to all theoretical results in Appendix.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

#### 4. Experimental result reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: We provide the algorithm pseudocode in Algorithm 1 and experimental details in Section 5 which ensures reproducibility.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
  - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
  - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
  - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
  - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

#### 5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [\[Yes\]](#)

Justification: We release the whole set of code that can reproduce our algorithm.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

## 6. Experimental setting/details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [\[Yes\]](#)

Justification: We provide all experimental details in Section 5 and Appendix.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

## 7. Experiment statistical significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [\[Yes\]](#)

Justification: Following common practice, we provide standard deviation based on repeated random seeds.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).

- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

#### 8. Experiments compute resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: Our compute resources are written in the Appendix.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

#### 9. Code of ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: The research conducted in the paper conform with the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

#### 10. Broader impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: We discussed the potential societal impact in the Section 7.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.

- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

## 11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: Not applicable for our case.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

## 12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: Every asset we use is properly credited and used.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, [paperswithcode.com/datasets](https://paperswithcode.com/datasets) has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.

- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

### 13. **New assets**

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [Yes]

Justification: We will provide detailed documentation for the released code.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

### 14. **Crowdsourcing and research with human subjects**

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: The paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

### 15. **Institutional review board (IRB) approvals or equivalent for research with human subjects**

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: This paper does not involve human subjects or crowdsourcing. All experiments are conducted using publicly available datasets or synthetic data without interaction with human participants.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.

#### 16. Declaration of LLM usage

Question: Does the paper describe the usage of LLMs if it is an important, original, or non-standard component of the core methods in this research? Note that if the LLM is used only for writing, editing, or formatting purposes and does not impact the core methodology, scientific rigorousness, or originality of the research, declaration is not required.

Answer: [NA]

Justification: LLMs are only used for writing polish and formatting purposes.

Guidelines:

- The answer NA means that the core method development in this research does not involve LLMs as any important, original, or non-standard components.
- Please refer to our LLM policy (<https://neurips.cc/Conferences/2025/LLM>) for what should or should not be described.